

*This brochure supplement provides information about Kevin Charles Root that supplements the Oak Root LLC brochure. You should have received a copy of that brochure. Please contact Kevin Charles Root if you did not receive Oak Root LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Kevin Charles Root is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Oak Root LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Kevin Charles Root**

Personal CRD Number: 5553574

Investment Adviser Representative

Oak Root LLC  
2692 Sycamore Canyon Road Santa Barbara, CA 93108  
(646) 596-4249  
[kroot@oakrootllc.com](mailto:kroot@oakrootllc.com)

UPDATED: 01/30/2024

## Item 2: Educational Background and Business Experience

**Name:** Kevin Charles Root **Born:** 1970

### **Educational Background and Professional Designations:**

#### **Education:**

Masters of Business Administration Finance, Columbia University - 2008  
Bachelors Economics and Biology, Stanford University - 2007

#### **Business Background:**

06/2015 - Present	Managing Member Oak Root LLC
02/2013 - Present	Director Marin Financial Advisors LLC
04/2010 - 12/2012	Vice President Classic Capital
05/2009 - 06/2011	Vice President Streambank LLC
08/2008 - 03/2009	Associate Lehman Brothers
01/2006 - 06/2008	Student Columbia University
01/2003 - 12/2006	Managing Member LLC North Bay Imaging

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Kevin Charles Root is on the advisory board for Brandle, Inc. and Communiify Health. Clients are not offered any products or services from Mr. Root's roles outside of Oak Root LLC.

#### **Item 5: Additional Compensation**

Kevin Charles Root does not receive any economic benefit from any person, company, or organization, other than Oak Root LLC in exchange for providing clients advisory services through Oak Root LLC.

#### **Item 6: Supervision**

As the only owner and representative of Oak Root LLC, Kevin Charles Root supervises all activities of the firm. Kevin Charles Root's response information is on the cover page of this disclosure document. Kevin Charles Root adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.