

Part 2B of Form ADV: Brochure Supplement

ITEM 1 - COVERSHEET

January 04, 2024

**Fred Columbus Dent III
Alexandria Fry
David Thomas Summers**

**Dent Wealth Advisors 301
Main St Suite 1502
Baton Rouge, LA 70801
225-922-9955**

This brochure supplement provides information about the individual(s) listed above that supplements the Dent Wealth Advisors brochure. You should have received a copy of that brochure. Please contact us at the number above if you did not receive Dent Wealth Advisor's brochure or if you have any questions about the contents of this supplement.

Additional information about the individual(s) listed above is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Full Legal Name: **Fred Columbus Dent III**

Born: **1963**

Education: **Louisiana State University; Bachelor of General Studies; 1987**

Business Experience: **DENTGROUP LLC dba Dent Wealth Advisors; Principal; from 7/1/1997 to present**

Professional Designations: Fred Columbus Dent III has earned the following designation(s) and is in good standing with the granting authority: **Chartered Financial Analyst; 9/16/1999**

This designation is offered by the [CFA Institute](#) (formerly the Association for Investment Management and Research [AIMR]). To obtain the CFA charter, candidates must successfully complete three difficult exams and gain at least three years of qualifying work experience, among other requirements. In passing these exams, candidates demonstrate their competence, integrity, and extensive knowledge in accounting, ethical and professional standards, economics, portfolio management, and security analysis.

ITEM 3 - DISCIPLINARY INFORMATION

Fred Columbus Dent III has no reportable disciplinary history.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Investment-Related Activities:

Fred Columbus Dent III is not engaged in any other investment related activities.

Fred Columbus Dent III does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities:

Fred Columbus Dent III is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

ITEM 5 - ADDITIONAL COMPENSATION

Fred Columbus Dent III does not receive any economic benefit from a non-advisory client for the provision of advisory services.

ITEM 6 - SUPERVISION

Supervisor: **Alexandria Fry / Title: Chief Compliance Officer / Phone Number: 225-922-9955**

Responsibility

Every employee has a responsibility for knowing and following the firm's policies and procedures. Every person in a supervisory role is also responsible for those individuals under his/her supervision. The Principal has overall supervisory responsibility for the firm. Alexandria Fry, as the Compliance Officer, has the overall responsibility for administering, monitoring, and testing compliance with Dent Wealth Advisor's policies and procedures.

Possible violations of these policies or procedures will be documented and reported for remedial action.

Procedure

Dent Wealth Advisors has adopted various procedures to implement the firm's policy, conducts reviews of internal controls to monitor and ensure the firm's supervision policy is observed, implemented properly and amended or updated, as appropriate which including the following:

- Designation of a Chief Compliance Officer as responsible for implementing and monitoring the firm's compliance policies and procedures.
- An Annual Compliance Meeting and on-going and targeted compliance training.
- Procedures for screening the background of potential new employees.
- Initial training of newly hired employees about the firm's compliance policies.
- Adoption of these written policies and procedures with statements of policy, designated persons

- responsible for the policy and procedures designed to implement and monitor the firm's policy.
- Annual review of the firm's policies and procedures by the Compliance Officer and senior management.
- Periodic reviews of employees' activities, e.g., personal trading.
- Annual written representations by employees as to understanding and abiding by the firm's policies.
- Supervisory reviews and sanctions for violations of the firm's policies or regulatory requirement

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Full Legal Name: **Alexandria Fry** Born: **1997**
 Education: **Louisiana State University; Bachelor of Science; Psychology; 2019**
University of Louisiana Monroe; MPA; 2023

Business Experience: **DENTGROUP LLC dba Dent Wealth Advisors; Process Improvement Manager from 10/24/2021 to present; Compliance Associate from 06/01/2022 to 10/04/2023; Chief Compliance Officer from 10/04/2023 to present**

ITEM 3 - DISCIPLINARY INFORMATION

Alexandria Fry has no reportable disciplinary history.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Investment-Related Activities:

Alexandria Fry is not engaged in any other investment related activities.

Alexandria Fry does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities:

Alexandria Fry is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

ITEM 5 - ADDITIONAL COMPENSATION

Alexandria Fry does not receive any economic benefit from a non-advisory client for the provision of advisory services.

ITEM 6 - SUPERVISION

Supervisor: **Fred C Dent III / Title: Principal / Phone Number: 225-922-9955**

Responsibility

Every employee has a responsibility for knowing and following the firm's policies and procedures. Every person in a supervisory role is also responsible for those individuals under his/her supervision. The Principal has overall supervisory responsibility for the firm. Alexandria Fry, as the Compliance Officer, has the overall responsibility for administering, monitoring, and testing compliance with Dent Wealth Advisors' policies and procedures.

Possible violations of these policies or procedures will be documented and reported for remedial action.

Procedure

Dent Wealth Advisors has adopted various procedures to implement the firm's policy, conducts reviews of internal controls to monitor and ensure the firm's supervision policy is observed, implemented properly and amended or updated, as appropriate which including the following:

- Designation of a Chief Compliance Officer as responsible for implementing and monitoring the firm's compliance policies and procedures.

- An Annual Compliance Meeting and on-going and targeted compliance training.
- Procedures for screening the background of potential new employees.
- Initial training of newly hired employees about the firm's compliance policies.
- Adoption of these written policies and procedures with statements of policy, designated persons responsible for the policy and procedures designed to implement and monitor the firm's policy.
- Annual review of the firm's policies and procedures by the Compliance Officer and senior management.
- Periodic reviews of employees' activities, e.g., personal trading.
- Annual written representations by employees as to understanding and abiding by the firm's policies.
- Supervisory reviews and sanctions for violations of the firm's policies or regulatory requirement.

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Full Legal Name: **David Thomas Summers**

Born: **1984**

Education: **University of North Texas; Bachelor of Music; 2016**

Business Experience: **New York Life Insurance Company; Agent; 8/2016 to 10/11/2023; NYLife Securities LLC; Registered Representative; 12/2016 to 10/11/2023; Eagle Strategies LLC; Investment Advisor Representative; 9/2023 to 10/11/2023; DENTGROUP LLC dba Dent Wealth Advisors; Investment Advisor Representative; from 10/12/2023 to present**

ITEM 3 - DISCIPLINARY INFORMATION

David Thomas Summers has no reportable disciplinary history.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Investment-Related Activities:

David Thomas Summers is not engaged in any other investment-related activities.

David Thomas Summers does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products.

Non-Investment-Related Activities:

David Thomas Summers is employed part-time (10-15 hours per week) by St. Joseph Cathedral as an Organist and Director of Music. This position does not pose any material conflicts of interest with his position as an Investment Advisor Representative with DENTGROUP LLC dba Dent Wealth Advisors.

ITEM 5 - ADDITIONAL COMPENSATION

David Thomas Summers does not receive any economic benefit from a non-advisory client for the provision of advisory services.

ITEM 6 - SUPERVISION

Supervisor: **Fred Columbus Dent III / Title: Principal / Phone Number: 225-922-9955**

Responsibility

Every employee has a responsibility for knowing and following the firm's policies and procedures. Every person in a supervisory role is also responsible for those individuals under his/her supervision. The Principal has overall supervisory responsibility for the firm. Alexandria Fry, as the Compliance Officer, has the overall responsibility for administering, monitoring, and testing compliance with Dent Wealth Advisor's policies and procedures.

Possible violations of these policies or procedures will be documented and reported for remedial action.

Procedure

Dent Wealth Advisors has adopted various procedures to implement the firm's policy, conducts reviews of internal controls to monitor and ensure the firm's supervision policy is observed, implemented properly and amended or updated, as appropriate which including the following:

- Designation of a Chief Compliance Officer as responsible for implementing and monitoring the firm's compliance policies and procedures.
- An Annual Compliance Meeting and on-going and targeted compliance training.
- Procedures for screening the background of potential new employees.
- Initial training of newly hired employees about the firm's compliance policies.
- Adoption of these written policies and procedures with statements of policy, designated persons responsible for the policy and procedures designed to implement and monitor the firm's policy.
- Annual review of the firm's policies and procedures by the Compliance Officer and senior management.
- Periodic reviews of employees' activities, e.g., personal trading.
- Annual written representations by employees as to understanding and abiding by the firm's policies.
- Supervisory reviews and sanctions for violations of the firm's policies or regulatory requirement