



REALTA
W E A L T H

Robert “Thure” Gustafson

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This Brochure Supplement provides information about Thure Gustafson that supplements the Realty Investment Advisors, Inc. (“RIA”)’s Brochure. You should have received a copy of that Brochure. Please contact Mr. Barrett Schultz at (888) 657-5200 if you did not receive RIA’s Brochure or if you have any questions about the contents of this supplement.

Additional information about Thure Gustafson is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Thure Gustafson was born in 1963 and attended Purdue University in West Lafayette, Indiana where he received a Bachelor of Science degree in Computer Science in 1985. Upon graduation, Thure worked in the Chicago, Illinois financial markets for two premier proprietary trading firms: Chicago Research and Trading, and the Gelber Group, LLC. Thure attended graduate school at London Business School in London, United Kingdom where he received a Master in Business Administration (MBA) degree in 1997. While in London Thure was employed by Tudor Investment Corporation. In 2002, after a four-year career as an executive for three early stage technology companies in Atlanta, Georgia, Thure affiliated with Raymond James & Associates as a Registered Representative and Investment Adviser Representative in Atlanta, Georgia. While affiliated with Raymond James & Associates, Thure was honored with the Rising Star Award and later became a member of the Executive Council in 2017. In 2019 Thure affiliated with Realta Equities, Inc. and Realta Investment Advisors, Inc.

Thure is a Certified Exit Planning Advisor (CEPA), which is a designation offered by the Exit Planning Institute and is obtained by attending a five-day educational program and passing a multiple-choice, proctored, closed book final exam. Prerequisites include five years of relevant work experience, an undergraduate degree or relevant work experience, and good-standing membership in the Exit Planning Institute. The CEPA designation also requires 40 hours of continuing education every three years.

ITEM 3- DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There are no legal or disciplinary event(s) to disclose. Additional information about your Financial Advisor is available at www.finra.org/brokercheck or www.adviserinfo.sec.gov.

ITEM 4- OTHER BUSINESS ACTIVITIES

As a Financial Advisor and Structured Product Specialist, Thure is licensed to sell securities through Realta Equities, Inc. ("REI"), a securities broker/dealer registered with the Financial Industry Regulatory Authority ("FINRA"). In this capacity, Thure may be involved in the sale of investment products such as securities, structured products, mutual funds and variable products. If an advisory client implements recommendations made by Thure by purchasing securities or other products through REI, Thure will receive additional compensation in the form of commissions, including 12b-1 fees, or distribution fees, for the sale of such products. Thure spends approximately 30% of his professional time in his sales capacities at REI.

Thure is also a licensed insurance agent. Thure may recommend insurance products to advisory clients. He is paid a commission for selling such products. He spends approximately 2% of his time in his capacity as an insurance agent.

ITEM 5- ADDITIONAL COMPENSATION

Aside from the activities discussed in Item 4 above, Thure receives no economic benefit from any non-client third party.

ITEM 6- SUPERVISION

Mr. Barrett Schultz (Chief Compliance Officer) and designated supervisors monitor the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. Mr. Schultz can be reached at 888.657.5200.