



REALTA
WEALTH

Realta Investment Advisors, Inc.

Richard Toban

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This Brochure Supplement provides information about Richard Toban that supplements the Realta Investment Advisors, Inc. ("RIA")'s Brochure. You should have received a copy of that Brochure. Please contact Mr. Barrett Schultz at (888) 657-5200 if you did not receive RIA's Brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Toban is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Richard Toban, born April 28, 1965, graduated San Diego State University 1988 with a Bachelor of Science, Business Administration (Financial Services). He began his financial services career in 2010 as an insurance agent, then adding investments as a financial advisor and registered representative in 2012. Richard holds the Chartered Financial Consultant (ChFC®) and Chartered Life Underwriter (CLU®) designations from the American College of Financial Services, each requiring several courses of study, exams, and continuing education.

ITEM 3- DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There are no legal or disciplinary event(s) to disclose. Additional information about your Financial Advisor is available at www.finra.org/brokercheck or www.adviserinfo.sec.gov.

ITEM 4- OTHER BUSINESS ACTIVITIES

Richard is licensed to sell securities through Realta Equities, Inc. ("REI"), a securities broker/dealer registered with the Financial Industry Regulatory Authority ("FINRA"). In this capacity, Richard may be involved in the sale of investment products such as securities, mutual funds and variable products. If an advisory client implements recommendations made by Richard by purchasing securities or other products through REI, Richard will receive additional compensation in the form of commissions, including 12b-1 fees for the sale of such products. Richard spends approximately 20% of his professional time in his sales capacities at REI.

Richard is also a licensed insurance agent. He may recommend insurance products to advisory clients. He is paid a commission for selling such products. He spends approximately 20% of his time in his capacity as an insurance agent.

ITEM 5- ADDITIONAL COMPENSATION

Aside from the activities discussed in Item 4 above, Richard receives no economic benefit from any non-client third party.

ITEM 6- SUPERVISION

Mr. Barrett Schultz (Chief Compliance Officer) and designated supervisors monitor the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. Mr. Schultz can be reached at 888-657-5200.