



**REALTA**  
**W E A L T H**

**Louis Tucci**

**1201 N. Orange Street, Suite 729**

**Wilmington, DE 19801**

**888.657.5200**

This Brochure Supplement provides information about Louis Tucci that supplements the Realta Investment Advisors, Inc. ("RIA")'s Brochure. You should have received a copy of that Brochure. Please contact Mr. Barrett Schultz at (888) 657-5200 if you did not receive RIA's Brochure or if you have any questions about the contents of this supplement.

Additional information about Louis Tucci is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Louis A. Tucci was born in 1956. He started his career in Financial Services in 2002, coming from an entrepreneurial background of operating other successful businesses for over 30 years.

He started his first business in 1981 as a General Contract and Real Estate investor whereby he employed 20 + employees.

Louis successfully transitioned into the Financial Services industry in 2002.

Using his business experience Louis focuses on a select group of very successful business owners and individuals who amongst other things desire a work-optional lifestyle within 5 – 7 years. He has developed and refined a process that puts all the pieces of that puzzle together, so his clients have a complete picture. He calls this process the D3 Process; Discover, Design and Deploy.

Standing by the importance of investing not just in one's own future but in society's as well, Louis is actively involved in several community and charitable organizations.

In the past, Louis has served on the Advisory Board of Bergen Community College @ The Meadowlands, Mentored veterans through the Veterans Launching Ventures program. Served on the board of Directors Lodi and Hackensack Boys and Girls Club.

Also served as an instructor and consultant to the Rutgers Business college designing courses on Strategic business Planning and Exit Planning.

Louis is a frequent Keynote speaker and presenter at industry association conferences and other venues.

Louis resides in North Haledon, NJ with his wife Linda and their pet Bichon, Ashley.

In his spare time, he enjoys spending time with family, cooking, travel, weight training and fishing.

## ITEM 3- DISCIPLINARY INFORMATION

Mr. Tucci has a disciplinary history, the details of which can be found on FINRA's BrokerCheck system or the Investment Adviser Public Disclosure (IAPD) website. The BrokerCheck link <https://brokercheck.finra.org/>; the IAPD link is [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Upon clicking on these links, you can either enter the individual's name or CRD number located above.

## ITEM 4- OTHER BUSINESS ACTIVITIES

Louis is licensed to sell securities through Realta Equities, Inc. ("REI"), a securities broker/dealer registered with the Financial Industry Regulatory Authority ("FINRA"). In this capacity, Louis may be involved in the sale of investment products such as securities, mutual funds and variable products. If an advisory client implements recommendations made by Louis by purchasing securities or other products through REI, Louis will receive additional compensation in the form of commissions, including 12b-1 fees for the sale of such products. Louis spends approximately 1% of his professional time in his sales capacities at REI.

## ITEM 5- ADDITIONAL COMPENSATION

Aside from the activities discussed in Item 4 above, Louis receives no economic benefit from any non-client third party.

## ITEM 6- SUPERVISION

As of January 2, 2024

Mr. Barrett Schultz (Chief Compliance Officer) and designated supervisors monitor the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. Mr. Schultz can be reached at 888.657.5200.