



REALTA WEALTH

Craig Wiener

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This Brochure Supplement provides information about Craig Wiener that supplements the Realta Investment Advisors, Inc. ("RIA")'s Brochure. You should have received a copy of that Brochure. Please contact Mr. Barrett Schultz at (888) 657-5200 if you did not receive RIA's Brochure or if you have any questions about the contents of this supplement.

Additional information about Craig Wiener is available on the SEC's website at www.adviserinfo.sec.gov.

ITEM 2- EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Craig Wiener was born in Fitchburg, Massachusetts in 1960. He attended the University of Tennessee from 1979-1981, and then CW Post College in Greenvale NY, although did not complete a degree. Prior to joining Realta as an Investment Advisor Representative in 2018, he was most recently employed as an Independent Financial Advisor at Aaron Capital Incorporated from 2008-2014, and at B. Riley Wealth Management from 2014-2018.

ITEM 3- DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There are no legal or disciplinary event(s) to disclose. Additional information about your Financial Advisor is available at www.finra.org/brokercheck or www.adviserinfo.sec.gov.

ITEM 4- OTHER BUSINESS ACTIVITIES

Craig is licensed to sell securities through Realta Equities, Inc. ("REI"), a securities broker/dealer registered with the Financial Industry Regulatory Authority ("FINRA"). In this capacity, Craig may be involved in the sale of investment products such as securities, mutual funds and variable products. If an advisory client implements recommendations made by Craig by purchasing securities or other products through REI, Craig will receive additional compensation in the form of commissions, including 12b-1 fees for the sale of such products. Craig spends approximately 95% of his professional time in his sales capacities at REI.

ITEM 5- ADDITIONAL COMPENSATION

Aside from the activities discussed in Item 4 above, Craig Wiener receives no economic benefit from any non-client third party.

ITEM 6- SUPERVISION

Mr. Barrett Schultz (Chief Compliance Officer) and designated supervisors monitor the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. Mr. Schultz can be reached at 888.657.5200.