

## **Part 2B of Form ADV: Brochure Supplement**

### **HARVEY M KRAUS**

Managing Partner  
CRD Number: **4519827**

### **Walnut Investment Services LLC**

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**This brochure supplement provides information about Walnut Investment Services LLC that supplements the brochure of Walnut Investment Services LLC. You should have received a copy of that brochure. Please contact Harvey Kraus, Managing Partner, at (718) 788-1972 if you did not receive Walnut Investment Services LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Walnut Investment Services LLC is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

December 31, 2023

## **Item 2: Educational Background and Business Experience**

**Name:** Harvey Kraus

**Born:** 1951

### **Educational Background and Professional Designations:**

- Graduated from Brooklyn College in 1976 with a Bachelor's Degree in Accounting and for the past 35 years has run a successful accounting and tax-preparation practice.
- He earned his Registered Investment Advisor license (Series 65) in November of 2000.

### **Business Background:**

3/2000 - Present

Managing Partner  
Walnut Investment  
Services LLC

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Harvey M Kraus is an accountant, tax-preparer and an Enrolled agent with the IRS, who's ran his own neighborhood accounting practice for the past 35 years. He brings significant knowledge and experience in matters of taxation to our investment advisory clients. Mr. Kraus devotes approximately 50% of his time to his accounting practice. Clients are in no way required to utilize the services of any representative of Walnut Investment Services LLC in connection with such individual's activities outside of Walnut Investment Services LLC.

#### **Item 5: Additional Compensation**

Harvey Kraus does not receive any economic benefit from any person, company, or organization, other than Walnut Investment Services LLC in exchange for providing clients advisory services through Walnut Investment Services LLC.

#### **Item 6: Supervision**

As the Managing Partner of Walnut Investment Services LLC, Harvey Kraus supervises all activities of the firm. Harvey Kraus's contact information is on the cover page of this disclosure document. Harvey Kraus adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

## **Item 7: Requirements For State Registered Advisers**

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Harvey Kraus has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Harvey Kraus has NOT been the subject of a bankruptcy petition in the past ten years.