

**Item 1 Cover Page for Brochure Supplement**

Ashley G. Klemann, Senior Integrated Wealth Manager  
CRD #7355505

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**This brochure supplement provides information about Ashley G. Klemann that supplements the Heritage Wealth Architects, Inc. brochure. You should have received a copy of that brochure. Please contact James S. Knapp, President/CEO if you did not receive Heritage Wealth Architects, Inc.'s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ashley G. Klemann is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Educational Background and Business Experience

Ashley G. Klemann, Senior Integrated Wealth Manager

Born: 1996

University of Wisconsin-Madison, May 2017 graduate, Bachelor of Economics & Bachelor of Political Science.

Ms. Klemann is a Senior Integrated Wealth Manager at Heritage Wealth Architects, LLC, June 2023 to Present. Prior to that, Ms. Klemann was a Financial Planner with Creative Planning from September 2022 to June 2023; an Associate Advisor with Wipfli Financial Advisors from February 2021 to September 2022; a Casualty Claim Adjuster with American Family Insurance from June 2017 to February 2021. She currently holds the CFP® designation and is Series 65 licensed.

### **CERTIFIED FINANCIAL PLANNER™ professional**

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNERTM professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at [www.cfp.net](http://www.cfp.net).

CFP professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is CFP® professionals have met CFP Board’s high standards for education, examination, experience and ethics designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – *Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct (“Code and Standards”), which sets forth the ethical and practice standards for CFP® professionals.*

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board’s Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the

client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.

- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

### **Item 3 Disciplinary Information**

There are no legal or disciplinary events or proceedings to report concerning Ms. Klemann.

### **Item 4 Other Business Activities**

There are no other business activities to report for Ms. Klemann.

### **Item 5 Additional Compensation**

Ms. Klemann does not receive compensation or other economic benefit from anyone for providing advisory services other than what has been described within the Heritage Wealth Architects, Inc. Brochure.

### **Item 6 Supervision**

James S. Knapp is the President/CEO and Chief Compliance Officer, who monitors the investment advisory activities, personal investing activities, and adherence to the Advisor's compliance program and code of ethics of Heritage Wealth Architects, Inc. supervised persons on a continuous basis using various methods, including periodic inspection and review of client securities positions and transaction activity, obtaining certifications of compliance with company policies and procedures from those supervised, and obtaining and reviewing brokerage statements or transactions and holdings reports of the supervised persons. To provide adequate oversight of Heritage Wealth Architects, Inc. personnel, LeeAnn Peterson, Office Manager, will provide the same oversight activities over the Chief Compliance officer. James S. Knapp can be reached at (651) 289-6444.