

Form ADV Part 2B – Brochure Supplement
for
Dale Browne
Sundance Advisors, Inc.
Founder & President
Effective: February 6, 2024

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Dale Browne in addition to the information contained in the Sundance Advisors, Inc. (CRD# 139741) Disclosure Brochure.

If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Sundance Advisors, Inc. Disclosure Brochure or this Brochure Supplement, please contact the Advisor at 412-366-2813 or by email at dalebrowne@sundanceadvisors.net.

Additional information about Mr. Browne is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or her Individual CRD# 139741.

Item 2 – Educational Background and Business Experience

Dale Browne, born in 1950, is dedicated to advising Clients of Sundance Advisors, Inc. as President of Sundance Advisors. Mr. Browne earned a Bachelor's of Science in Health Care Administration from Kent State University in 1974.

Additional information regarding Mr. Browne's employment history is included below.

Employment History:

| | | |
|-----------|-------------------------|---------------------|
| President | Sundance Advisors, Inc. | June 2006 - Present |
|-----------|-------------------------|---------------------|

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Browne. Mr. Browne has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Browne.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Browne.

However, we do encourage you to independently view the background of Mr. Browne on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 139741

Item 4 – Other Business Activities

Mr. Browne is not engaged in any other business activities.

Item 5 – Additional Compensation

Mr. Browne receives no economic benefit, including sales awards, other prizes, and any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts, for providing advisory services.

Item 6 – Supervision

Dale Browne, Founder & Principal, supervises all persons named in this Form ADV Part 2B Investment Adviser Brochure Supplement. Dale Browne supervises these persons by holding regular staff, investment and other ad-hoc meetings. In addition, Dale regularly reviews client reports, emails (client communications), and trading activity. Dale Browne may be reached at (412) 366-2813.

Form ADV Part 2B – Brochure Supplement
for
Mark Browne
Sundance Advisors, Inc.
Financial Advisor
Effective: February 6, 2024

This Form ADV 2B (“Brochure Supplement”) provides information about the background and qualifications of Mark Browne in addition to the information contained in the Sundance Advisors, Inc. (CRD# 139741) Disclosure Brochure.

If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the Sundance Advisors, Inc. Disclosure Brochure or this Brochure Supplement, please contact the Advisor at 412-364-0490 or by email at markbrowne@sundanceadvisors.net.

Additional information about Mr. Browne is available on the SEC’s Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with his full name or her Individual CRD# 139741.

Item 2 – Educational Background and Business Experience

Mark Browne, born in 1983, is dedicated to advising Clients of Sundance Advisors, Inc. as a Financial Advisor. Mr. Browne earned a Bachelor's of Science in Finance from Robert Morris University in 2006, and a Masters of Business Administration from University of Pittsburgh in 2011.

Additional information regarding Mr. Browne's employment history is included below.

Employment History:

| | | |
|---|------------------------------|---------------------------|
| Financial Advisor | Sundance Advisors, Inc. | September 2016 - Present |
| Senior Portfolio Manager | Huntington National Bank | March 2015 – August 2016 |
| Senior Fiduciary Officer / Relationship Manager | BNY Mellon Wealth Management | January 2006 – March 2015 |

Designation:

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| Certified Trust and Fiduciary Advisor (CTFA) |
| The Certified Trust and Fiduciary Advisor (CTFA) is a professional designation offered by the American Bankers Association (ABA), which provides training and knowledge in taxes, investments, financial planning, trusts, and estates. |

Item 3 – Disciplinary Information

There are no legal, civil or disciplinary events to disclose regarding Mr. Browne. Mr. Browne has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Browne.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair or unethical practices. As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Browne.

However, we do encourage you to independently view the background of Mr. Browne on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov by searching with her full name or her Individual CRD# 139741

Item 4 – Other Business Activities

Mr. Browne is not engaged in any other business activities.

Item 5 – Additional Compensation

Mr. Browne receives no economic benefit, including sales awards, other prizes, and any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts, for providing advisory services.

Item 6 – Supervision

Dale Browne, Founder & Principal, supervises all persons named in this Form ADV Part 2B Investment Adviser Brochure Supplement. Dale Browne supervises these persons by holding regular staff, investment and other ad-hoc meetings. In addition, Dale regularly reviews client reports, emails (client communications), and trading activity. Dale Browne may be reached at (412) 366-2813.