



Warren Capital Management Inc. doing business as

Warren Capital Group

(CRD# 137449)

Tel: (202) 550-0120

Web: www.warcap.com

Form ADV Part 2B Brochure Supplement For its Investment Adviser Representatives

February 13, 2024

This brochure supplement provides information about the investment adviser representatives of Warren Capital Group and is a supplement to the firm's Form ADV Part 2A Disclosure Brochure. If you have any questions about the contents of this brochure, please contact us at (202) 550-1020. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Warren Capital Group is a registered investment adviser. Registration as an investment adviser does not imply any level of skill or training. An adviser's oral and written communications provide you with information about which you determine whether to hire or retain an adviser.

Joseph Warren (CRD# 2957268)

(Born: 1975)

Mr. Warren is located in the Charleston, South Carolina offices and can be reached at 202.550.0120.

Item 2 – Formal Education after High School:

College of Charleston – Bachelor of Arts – 1997

Business Background:

2005 – Present	Warren Capital Group, CEO and Founder
2015 – 2024	Live Oak Endeavors, CEO and Founder
2016 – 2019	CheyTac USA LLC, Chief Executive Officer
1998 – 2005	Morgan Stanley, Vice President

Item 3 – Disciplinary Information:

Mr. Warren has no legal or disciplinary events required to be disclosed.

Item 4 – Other Business Activities

Mr. Warren serves as a board member for the following companies.

AmplifiedAg, Board Member

Mr. Warren serves as President and Founder of the Warren Capital Foundation, a 501c3 non-profit organization.

Item 5 – Additional Compensation

Mr. Warren does not receive compensation (i.e. sales awards or prizes, referral fees) for advisory services from any third party.

Item 6 – Supervision

Mr. Warren is supervised by Parker Allen. Mr. Allen can be reached at 803.730.2169. Mr. Warren is required to adhere to our processes and procedures as described in our firm's Code of Ethics. We will monitor the advice that Mr. Warren gives to you by performing the following reviews:

- A review of relevant account opening documentation when the relationship is established;
- A review of account transactions;
- Review custodial information every quarter to assess account activity;
- Perform annual oversight so that Mr. Warren is aware of your current financial situation, objectives, and individual investment needs; and,
- A review of client correspondence on an as-needed basis.

Item 7 – Requirements for State-Registered Advisers

Mr. Warren has not been found liable in any arbitration claim alleging damages in excess of \$2,500 nor any civil, self-regulatory, or administrative proceeding. Mr. Warren has not been the subject of a bankruptcy petition.

Stuart D. Brown (CRD# 1082825) (Born: 1955)

Mr. Brown works from his home office and can be reached at 202.674.3224 if you have any questions.

Item 2 - Formal Education after High School:

Thomas Jefferson College – Grand Valley University – Bachelor of Philosophy – 1981

Business Background:

2007 – Present	Warren Capital Group, Chief Investment Officer
2004 – 2007	Foxhall Capital, Vice President/Portfolio Manager
1983 – 2004	Smith Barney; Vice President/Portfolio Manager
1982 – 1983	Rivierre Securities, Financial Consultant

Professional Designations

None

Item 3 – Disciplinary Information:

Mr. Brown has no legal or disciplinary events required to be disclosed.

Item 4 – Other Business Activities

Mr. Brown is the managing member of Rock Creek Capital, which provides investment advisory services.

Item 5 – Additional Compensation

Mr. Brown does not receive compensation (i.e. sales awards or prizes, referral fees) for advisory services from any third party.

Item 6 – Supervision

Mr. Brown is supervised by Joseph Warren, Chief Executive Officer. Mr. Warren can be reached at 202.550.0120. Mr. Brown is required to adhere to our processes and procedures as described in our firm's Code of Ethics. We will monitor the advice that Mr. Brown gives to you by performing the following reviews:

- A review of relevant account opening documentation when the relationship is established;
- A review of account transactions;
- Review custodial information every quarter to assess account activity;
- Perform annual oversight so that Mr. Brown is aware of your current financial situation, objectives, and individual investment needs; and,
- A review of client correspondence on an as-needed basis.

Item 7 – Requirements for State-Registered Advisers

Mr. Brown has not been found liable in any arbitration claim alleging damages in excess of \$2,500 nor any civil, self-regulatory, or administrative proceeding. He has not been the subject of a bankruptcy petition.

Parker S. Allen (CRD# 6438989) (Born: 1991)

Mr. Allen is located in the Charleston, South Carolina office and can be reached at 803.730.2169 if you have any questions.

Item 2 - Formal Education after High School:

College of Charleston – Bachelor of Science in Business Management – 2014

College of Charleston – Bachelor of Science in Hospitality and Tourism Management - 2014

Business Background:

2014 – Present Warren Capital Group, Financial Advisor and Chief Compliance Officer

2017 – Present Parker Allen Consulting LLC, Managing Member

2016 – 2019 CheyTac USA LLC, Chief Financial Officer

Professional Designations

None

Item 3 – Disciplinary Information:

Mr. Allen has no legal or disciplinary events required to be disclosed.

Item 4 – Other Business Activities

Since February 2017, Mr. Allen is the managing member of Parker Allen Consulting LLC, which provides investment advisory and consulting services for small businesses.

Item 5 – Additional Compensation

Mr. Allen does not receive compensation (i.e. sales awards or prizes, referral fees) for advisory services from any third party.

Item 6 – Supervision

Mr. Allen is supervised by Joe Warren, Chief Executive Officer. Mr. Warren can be reached at 202.550.0120. Mr. Allen is required to adhere to our processes and procedures as described in our firm's Code of Ethics. We will monitor the advice that Mr. Allen provides to you by performing the following reviews:

- A review of relevant account opening documentation when the relationship is established;
- A review of account transactions;
- Review custodial information every quarter to assess account activity;
- Perform annual oversight so that Mr. Allen is aware of your current financial situation, objectives, and individual investment needs; and,
- A review of client correspondence on an as-needed basis.

Item 7 – Requirements for State-Registered Advisers

Mr. Allen has not been found liable in any arbitration claim alleging damages in excess of \$2,500 nor any civil, self-regulatory, or administrative proceeding. He has not been the subject of a bankruptcy petition.

Hector Molini (CRD# 6923097)

(Born: 1985)

Mr. Molini is located in the Charleston, South Carolina office and can be reached at 843.284.3314 if you have any questions.

Item 2 - Formal Education after High School:

College of Charleston – Master of Business Administration - 2014

College of Charleston – Bachelor of Science in Business Management - 2008

Business Background:

2018 – Present Warren Capital Group – Financial Advisor

2017 – Present HEM Consulting LLC – Managing Member

2017 – 2022 Live Oak Endeavors – Analyst

2015 – 2017 The Baltazar Group – Principal

Professional Designations

None

Item 3 – Disciplinary Information:

Mr. Molini has no legal or disciplinary events required to be disclosed.

Item 4 – Other Business Activities

Mr. Molini is the managing member of HEM Consulting LLC, which provides investment advisory services, real estate, insurance, and small business consulting services.

Item 5 – Additional Compensation

Mr. Molini does not receive compensation (i.e. sales awards or prizes, referral fees) for advisory services from any third party.

Item 6 – Supervision

Mr. Molini is supervised by Joe Warren, Chief Executive Officer. Mr. Warren can be reached at 202.550.0120. Mr. Molini is required to adhere to our processes and procedures as described in our firm's Code of Ethics. We will monitor the advice that Mr. Molini provides to you by performing the following reviews:

- A review of relevant account opening documentation when the relationship is established;
- A review of account transactions;
- Review custodial information every quarter to assess account activity;
- Perform annual oversight so that Mr. Molini is aware of your current financial situation, objectives, and individual investment needs; and,
- A review of client correspondence on an as-needed basis.

Item 7 – Requirements for State-Registered Advisers

Mr. Molini has not been found liable in any arbitration claim alleging damages in excess of \$2,500 nor any civil, self-regulatory, or administrative proceeding. He has not been the subject of a bankruptcy petition.