

**Item 1: Cover Sheet**

**FORM ADV PART 2A  
INFORMATIONAL BROCHURE**

**THE QUICK GROUP LLC**

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**This brochure provides information about the qualifications and business practices of The Quick Group LLC. If you have any questions about the contents of this brochure, please contact us at 646-395-3900. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. The Quick Group LLC is a registered investment adviser. Registration does not imply any certain level of skill or training.**

**Additional information about The Quick Group LLC is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2: Statement of Material Changes

We are required to state, in this Item 2, any material changes to this Form ADV Part 2A. This is the first filing for The Quick Group LLC so there is no disclosure to be made at this time.

## Item 3: Table of Contents

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## INFORMATIONAL BROCHURE

### THE QUICK GROUP LLC

#### **Item 4: Advisory Business**

The Quick Group LLC (also “The Quick Group”, “we”, or “the Firm”) is owned by Elizabeth Quick. The Firm provides asset management to high net worth individuals and their associated entities.

#### **Asset Management**

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Asset management services will be provided on a discretionary basis. When The Quick Group is engaged to provide asset management services on a discretionary basis, we will monitor client accounts to ensure that they are meeting asset allocation requirements. As a client, if any changes are needed to your investments, we will make those changes. These changes may involve selling a security or group of investments and buying others or keeping the proceeds in cash. You may at any time place restrictions on the types of investments we may use on your behalf, or on the allocations to each security type. As a client, you will receive written or electronic confirmations from your account custodian after any changes are made to your account. You will also receive statements, at least quarterly, from your account custodian.

In limited circumstances, and as a courtesy to some clients, The Quick Group may provide asset management services on a non-discretionary basis. When a client engages us to provide investment management services on a non-discretionary basis, we monitor the accounts in the same way as for discretionary services. The difference is that changes to your account will not be made until we have confirmed with you (either verbally or in writing) that our proposed change is acceptable to you.

#### **Assets Under Management**

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As of the date of this brochure, The Quick Group is a newly formed business, and as such, The Quick Group does not yet have any clients or assets under management.

#### **Item 5: Fees and Compensation**

##### **A. Fees Charged**

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All investment management clients will be required to execute an Investment Advisory Agreement that will describe the type of management services to be provided and the fees, among other items.

Generally, fees vary from 0% to 2.00% per annum of the market value of a client’s assets managed by The Quick Group, which includes assets held with any custodian or held separately without the services of a custodian such as would be the case with certain private investments. Accounts are grouped together in a household for the purpose of determining the annual fee to be charged in the sole discretion of The Quick Group. The fee range stated is a guide. Fees are negotiable, and may be higher or lower than this range, based on the nature of the account. Factors affecting fee percentages include the size of the account, complexity of asset structures, and other factors.

All clients, but especially those with smaller accounts, should be advised they may receive similar services from other professionals for higher or lower overall costs.

## **B. Fee Payment**

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For clients whose assets are managed by The Quick Group, investment advisory fees will be debited directly from each client's account. The advisory fee is paid quarterly, in advance, and the value used for the fee calculation is the net value as of the last market day of the previous quarter, including any cash in the client's account. For example, if your annual fee is 1.00%, each quarter we will multiply the value of your account by 1.00%, then divide by the number of days in that calendar year and multiply that number by days in the quarter to calculate our fee. Once the calculation is made, The Quick Group will instruct your account custodian to deduct the fee from your account and remit it to The Quick Group.

Clients whose fees are directly debited will provide written authorization to debit advisory fees from their accounts held by a qualified custodian chosen by the client. The client will receive a statement from their account custodian showing all transactions in their account, including the fee.

## **C. Other Fees**

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There are a number of other fees that can be associated with holding and investing in securities. While mutual funds are part of The Quick Group's investing strategies, expenses of a mutual fund or ETF will not be included in management fees, as they are deducted from the value of the shares by the manager. To the extent a client comes to The Quick Group with existing holdings that The Quick Group does not believe should remain with the client's portfolio, The Quick Group will work towards transitioning the portfolio. For complete discussion of expenses related to each mutual fund or ETF, you should read a copy of the prospectus issued by that fund. The Quick Group can provide or direct you to a copy of the prospectus for any fund that we recommend to you.

Please make sure to read Item 12 of this informational brochure, where we discuss broker-dealer and custodial issues.

## **D. Pro-rata Fees**

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If you become a client during a quarter, you will pay the management fee only for the portion of the quarter during which you are a client. If you terminate our relationship during a quarter, you will be refunded the portion of the prepaid management fee for the remainder of the quarter. Once your notice of termination is received, we will refund the unearned fees to you in whatever way you direct (check or wire back to your account). The Quick Group will cease to perform services, including processing trades and distributions, upon termination. Assets not transferred from terminated accounts within 30 (thirty) days of termination may be "de-linked," meaning they will no longer be visible to The Quick Group and will become an account with the custodian.

## **E. Compensation for the Sale of Securities**

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This item is not applicable.

## **Item 6: Performance-Based Fees**

The Quick Group does not charge performance based fees.

## **Item 7: Types of Clients**

Clients may include individuals, trusts, foundations, endowments, and corporations. The Quick Group does not require any account minimum.

## **Item 8: Methods of Analysis, Investment Strategies and Risk of Loss**

It is important for you to know and remember that all investments carry risks. **Investing in securities involves risk of loss that clients should be prepared to bear.**

### Methods of Analysis and Investment Strategies

Each client's portfolio will be invested according to that client's investment objectives. The Quick Group determines these objectives by interviewing the client and/or asking the client to put these objectives in writing. Once we understand your objectives for each account, we will develop a set of asset allocation guidelines. An asset allocation strategy is a percentage-based allocation to different investment types. For example, a client may have an asset allocation strategy that calls for 40-60% of the portfolio to be invested in equity securities, with 20% of that allocated to international equities and the remaining balance in fixed income. Another client may have an asset allocation of 50-60% in fixed income securities and the remainder equities. The percentages in each type that we recommend are based on the typical behavior of that security type, individual securities that we follow, current market conditions, your current financial situation, your financial goals, and the timeline to get you to those goals. Because we develop an investment strategy based on your personal situation and financial goals, your asset allocation guidelines may be similar to or different from another client's.

It is important to remember that because market conditions can vary greatly, your asset allocation guidelines are not necessarily strict rules. Rather, we review accounts individually, and may deviate from the guidelines as we believe necessary.

Additionally, part of The Quick Group process includes, where appropriate, involving multiple generations. This can increase the financial education of the later generations and manage expectations. However, potential for conflicts of interest exists with the exchange of intergenerational information. The Quick Group attempts to minimize these conflicts by treating each household as its own fiduciary relationship. Information can only be shared across generations with each household's consent.

The specific securities we recommend for your account will depend on market conditions and our research at the time. Generally, we recommend a mix of alternative assets, mutual funds, index funds, exchange traded funds, stocks, bonds, commodities, private funds and cryptocurrency based assets. We base our conclusions on predominantly publicly available research, such as regulatory filings, press releases, competitor analyses, and in some cases research we receive from our custodian or other market analyses. We may also use technical analysis, which means that we will review the past behaviors of the security and the markets in which it trades for signals as to what might happen in the future.

### Risks Associated with Our Approach and Investing in General

There are always risks to investing. Clients should be aware that all investments carry various types of risk including the potential loss of principal that clients should be prepared to bear. It is impossible to name all possible types of risks. Among the risks are the following:

- **Political Risk:** Most investments have a global component, even domestic stocks. Political events anywhere in the world may have unforeseen consequences to markets around the world.
- **General Market Risk:** Markets can, as a whole, go up or down on various news releases or for no understandable reason at all. This sometimes means that the price of specific securities could go up or down without real reason and may take some time to recover any lost value. Adding new securities may not help to minimize this risk since all securities may be affected by market fluctuations.

- **Currency Risk:** When investing in another country using another currency, the changes in the value of the currency can change the value of your security value in your portfolio.
- **Regulatory Risk:** Changes in laws and regulations from any government can change the value of a given company and its accompanying securities. Certain industries are more susceptible to government regulation. Changes in zoning, tax structure or laws impact the return on these investments.
- **Tax Risk Related to Short Term Trading:** Clients should note that The Quick Group may engage in short-term trading transactions. These transactions may result in short term gains or losses for federal and state tax purposes, which may be taxed at a higher rate than long term strategies. The Quick Group endeavors to invest client assets in a tax efficient manner, but all clients are advised to consult with their tax professionals regarding the transactions in client accounts.
- **Purchasing Power Risk:** Purchasing power risk is the risk that your investment's value will decline as the price of goods rises (inflation). The investment's value itself does not decline, but its relative value does, which is the same thing. Inflation can happen for a variety of complex reasons, including a growing economy and a rising money supply.
- **Business Risk:** This can be thought of as certainty or uncertainty of income. Management comes under business risk. For example, cyclical companies (like automobile companies) may have more business risk because of the less steady income stream. On the other hand, fast food chains may tend to have steadier income streams and therefore, less business risk.
- **Financial Risk:** The amount of debt or leverage determines the financial risk of a company.
- **Default Risk:** This risk pertains to the ability of a company to service their debt. Ratings provided by several rating services help to identify those companies with more risk. Obligations of the U.S. government are said to be free of default risk but do carry some remote risk of default as do all sovereign debt issuances.
- **Liquidity Risk:** Many investments can be exited virtually on demand if the seller is willing to accept a lower execution price for exiting the investment quickly. However, some investments, notably private placements, investments in small companies, and private transactions may not be liquidated easily, and in some cases, not upon request at all. Even contractual terms that appear to guarantee a certain ability to liquidate an investment are frequently subject to conditions limiting the effectiveness of such provisions. Accordingly, clients should communicate with The Quick Group their needs for liquidity to ensure their needs match the investments chosen by The Quick Group.
- **Margin Risk:** The Quick Group may utilize margin on a limited basis for clients with higher risk tolerances. "Margin" is a tool used to maximize returns on a given investment by using securities in a client account as collateral for a loan from the custodian to the client. The proceeds of that loan are then used to buy more securities. In a positive result, the additional securities provide additional return on the same initial investment. In a negative result, the additional securities provide additional losses. Margin therefore carries a higher degree of risk than investing without margin. Any client account that will use margin will do so in accordance with Regulation T. Borrowing on margin requires a minimum level of collateral which is periodically (each day) measured by the custodian. Extreme market fluctuations may cause collateral values to fall below those amounts required by the custodian, which would trigger a liquidation of some or all securities, possibly at a time when market values are depressed resulting in unanticipated losses. The Quick Group may utilize margin on a limited basis for clients with higher risk tolerances.
- **Short Sales:** "Short sales" are a way to implement a trade in a security The Quick Group feels is overvalued. In a "long" trade, the investor is hoping the security increases in price. Thus, in a long trade, the amount of the investor's potential loss (without margin) is the amount paid for the security. In a short sale, the investor is hoping the security decreases in price. However, unlike a long trade where the price of the security can only go from the purchase price to zero, in a short sale, the price of the security can go infinitely upwards. Thus, in a short sale, the potential for loss is unlimited and unknown, where the potential for loss in a long

trade is limited and knowable. The Quick Group utilizes short sales only when the client's risk tolerances permit.

- **Information Risk:** All investment professionals rely on research in order to make conclusions about investment options. This research is usually a mix of both internal (proprietary) and external (provided by third parties) data and analyses. Even an adviser who says they rely solely on proprietary research must still collect data from third parties. This data, or outside research is chosen for its perceived reliability, but there is no guarantee that the data or research will be completely accurate. Failure in data accuracy or research will translate to a compromised ability by the adviser to reach satisfactory investment conclusions.
- **Small Companies:** Some investment opportunities in the marketplace involve smaller issuers. These companies may be starting up or are historically small. While these companies sometimes have potential for outsized returns, they also have the potential for losses because the reasons the company is small are also risks to the company's future. For example, a company's management may lack experience, or the company's capital for growth may be restricted. These small companies also tend to trade less frequently than larger companies, which can add to the risks associated with their securities because the ability to sell them at an appropriate price may be limited as compared to the markets as a whole. Not only do these companies have investment risk, if a client is invested in such small companies and requests immediate or short-term liquidity, these securities may require a significant discount to value in order to be sold in a shorter time frame.
- **Concentration Risk:** While The Quick Group selects individual securities, including mutual funds, for client portfolios based on an individualized assessment of each security, this evaluation comes without an overlay of general economic or sector specific issue analysis. This means that a client's equity portfolio may be concentrated in a specific sector, geography, or sub-sector (among other types of potential concentrations), so that if an unexpected event occurs that affects that specific sector or geography, for example, the client's equity portfolio may be affected negatively, including significant losses.
- **Transition Risk:** As assets are transitioned from a client's prior advisers to The Quick Group there may be securities and other investments that do not fit within the asset allocation strategy selected for the client. Accordingly, these investments will need to be sold in order to reposition the portfolio into the asset allocation strategy selected by The Quick Group. However, this transition process may take some time to accomplish. Some investments may not be unwound for a lengthy period of time for a variety of reasons that may include unwarranted low share prices, restrictions on trading, contractual restrictions on liquidity, or market-related liquidity concerns. In some cases, there may be securities or investments that are never able to be sold. The inability to transition a client's holdings into recommendations of The Quick Group may adversely affect the client's account values, as The Quick Group's recommendations may not be able to be fully implemented.
- **Restriction Risk:** Clients may at all times place reasonable restrictions on the management of their accounts. However, placing these restrictions may make managing the accounts more difficult, thus lowering the potential for returns.
- **Risks Related to Investment Term & Liquidity:** Securities do not follow a straight line up in value. All securities will have periods of time when the current price of the security is not an accurate measure of its value. If you require us to liquidate your portfolio during one of these periods, you will not realize as much value as you would have had the investment had the opportunity to regain its value. Further, some investments are made with the intention of the investment appreciating over an extended period of time. Liquidating these investments prior to their intended time horizon may result in losses.
- **REITs.** We may recommend that significant portions of client portfolios be allocated to real estate investment trusts, otherwise known as "REITs". A REIT is an entity, typically a trust or corporation that accepts investments from a number of investors, pools the money, and then uses that money to invest in real estate through either actual property purchases or mortgage loans. While there are some benefits to owning

REITs, which include potential tax benefits, income and the relatively low barrier to invest in real estate as compared to directly investing in real estate, REITs also have some increased risks as compared to more traditional investments such as stocks, bonds, and mutual funds. First, real estate investing can be highly volatile. Second, the specific REIT chosen may have a focus such as commercial real estate or real estate in a given location. Such investment focus can be beneficial if the properties are successful, but lose significant principal if the properties are not successful. REITs may also employ significant leverage for the purpose of purchasing more investments with fewer investment dollars, which can enhance returns but also enhances the risk of loss. The success of a REIT is highly dependent upon the manager of the REIT.

- **Excess Cash Balance Risk:** Client accounts may have cash balances in excess of \$250,000, which is the insurance limit of the Federal Deposit Insurance Corporation. For cash balances in excess of that amount, there is an enhanced risk that operation related counterparty risk related to the account custodian could cause losses in the account. We mitigate this risk by carrying cash balances in amounts either subject to protection or as limited as you, the client, directs. You may elect to participate in a “cash sweep” program through your account custodian which automatically moves excess cash from your investment account into a cash account and then invests that cash into cash based investments, such as money market funds. We do not receive compensation of any kind for facilitating your participation in such cash sweep accounts.
- **Mutual Funds.** The performance of mutual funds is subject to market risk, including the possible loss of principal. The price of the mutual funds will fluctuate with the value of the underlying securities that make up the funds. The price of a mutual fund is typically set daily therefore a mutual fund purchased at one point in the day will typically have the same price as a mutual fund purchased later that same day. When selecting mutual funds that have multiple share classes for recommendation to clients, we will take into account the internal fees and expenses associated with each share class, as it is our policy to choose the lowest-cost share class available, absent circumstances that dictate otherwise.
- **ETFs.** The performance of ETFs is subject to market risk, including the possible loss of principal. The price of the ETFs will fluctuate with the price of the underlying securities that make up the funds. In addition, ETFs have a trading risk based on the loss of cost efficiency if the ETFs are traded actively and a liquidity risk if the ETFs have a large bid-ask spread and low trading volume. The price of an ETF fluctuates based upon the market movements and may dissociate from the index being tracked by the ETF or the price of the underlying investments. An ETF purchased or sold at one point in the day may have a different price than the same ETF purchased or sold a short time later.
- **Equity Securities.** Equity securities tend to be more volatile than other investment choices. The value of an individual mutual fund or ETF can be more volatile than the market as a whole. This volatility affects the value of the client’s overall portfolio. Small and mid-cap companies are subject to additional risks. Smaller companies may experience greater volatility, higher failure rates, more limited markets, product lines, financial resources, and less management experience than larger companies. Smaller companies may also have a lower trading volume, which may disproportionately affect their market price, tending to make them fall more in response to selling pressure than is the case with larger companies.
- **Fixed Income.** The issuer of a fixed income security may not be able to make interest and principal payments when due. Generally, the lower the credit rating of a security, the greater the risk that the issuer will default on its obligation. If a rating agency gives a debt security a lower rating, the value of the debt security will decline because investors will demand a higher rate of return. As nominal interest rates rise, the value of fixed income securities held by the Fund is likely to decrease. A nominal interest rate is the sum of a real interest rate and an expected inflation rate.

## Item 9: Disciplinary Information

There are no disciplinary items to report.



## **Item 10: Other Financial Industry Activities and Affiliations**

### **A. Broker-Dealer**

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Neither the principal of The Quick Group, nor any related persons are registered, or have an application pending to register, as a broker dealer or as an associated person of the foregoing entities.

### **B. Futures Commission Merchant/Commodity Trading Advisor**

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Neither the principal of The Quick Group, nor any related persons are registered, or have an application pending to register, as a futures commission merchant, commodity pool operator, a commodity trading advisor, or an associated person of the foregoing entities.

### **C. Relationship with Related Persons**

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This item is not applicable.

### **D. Recommendations of other Advisers for Compensation**

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The Quick Group does not utilize nor select other advisers or third party managers for compensation by those managers. Any third party manager that is recommended is recommended based on its merits and the results of The Quick Group's research process.

## **Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**

- A. A copy of our Code of Ethics is available upon request. Our Code of Ethics includes discussions of our fiduciary duty to clients, political contributions, gifts, entertainment, and trading guidelines.
- B. Not applicable. The Quick Group does not recommend to clients that they invest in any security in which The Quick Group or any principal thereof has any financial interest.
- C. On occasion, an employee of The Quick Group may purchase for his or her own account securities which are also recommended for clients. Our Code of Ethics details rules for employees regarding personal trading and avoiding conflicts of interest related to trading in one's own account. To avoid placing a trade before a client (in the case of a purchase) or after a client (in the case of a sale), all employee trades must be reviewed by the Compliance Officer.
- D. On occasion, an employee of The Quick Group may purchase for his or her own account securities which are also recommended for clients at the same time the clients purchase the securities. Our Code of Ethics details rules for employees regarding personal trading and avoiding conflicts of interest related to trading in one's own account.

## **Item 12: Brokerage Practices**

### **A. Recommendation of Broker-Dealer**

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The Quick Group has recommends custodians based on criteria such as, but not limited to, reasonableness of commissions charged to the Client, services made available to the Client, and the reputation and/or the location of the custodian's offices. The Quick Group maintains institutional relationships with the custodians, whereby The Quick Group receives economic benefits from the custodian. Please see Item 14 below.

Following are additional details regarding the brokerage practices of The Quick Group:

1. Soft Dollars - Soft dollars are revenue programs offered by broker-dealers/custodians whereby an adviser enters

into an agreement to place security trades with a broker-dealer/custodian in exchange for research and other services. The Quick Group does not participate in soft dollar programs sponsored or offered by any broker-dealer/custodian. However, The Quick Group receives certain economic benefits from the custodian. Please see Item 14 below.

2. Brokerage Referrals – The Quick Group does not receive any compensation from a custodian in connection with the recommendation for establishing an account.

3. Directed Brokerage - All Clients are serviced on a “directed brokerage basis”, by custodians unless otherwise suggested by the Client and approved by The Quick Group. Further, all Client accounts are traded within their respective account[s]. The Quick Group will not engage in any principal transactions (i.e., trade of any security from or to the Adviser’s own account) or cross transactions with other Client accounts (i.e., purchase of a security into one Client account from another Client’s account[s]). The Quick Group will not be obligated to select competitive bids on securities transactions and does not have an obligation to seek the lowest available transaction costs. These costs are determined by the custodian.

We do not consider whether any other custodian, refers clients to The Quick Group as part of our evaluation of these custodians.

### **Your brokerage and custody costs**

For The Quick Group clients’ accounts that are maintained at a custodian, the custodian generally does not charge separately for custody services but is compensated by charging the client commissions or other fees on trades that it executes or that settle into the client’s account at the custodian.

### **Products and services available to The Quick Group from Custodians**

Custodians provide The Quick Group and The Quick Group’s clients with access to its institutional brokerage services (trading, custody, reporting, and related services), many of which are not typically available to retail customers of the custodians. Custodians also make available various support services. Some of those services help The Quick Group manage or administer The Quick Group’s clients’ accounts, while others help The Quick Group manage and grow our business. Custodians’ support services are generally available on an unsolicited basis (The Quick Group does not have to request them) and at no charge to The Quick Group. Following is a more detailed description of custodial support services:

#### *Services that benefit you*

Services include access to a broad range of investment products, execution of securities transactions, and custody of client assets. The investment products available include some to which we might not otherwise have access or that would require a significantly higher minimum initial investment by our clients.

#### *Services that may not directly benefit you*

Custodians also make available to The Quick Group other products and services that benefit The Quick Group but may not directly benefit the client or the client’s account. These products and services assist The Quick Group in managing and administering client accounts. They include investment research, which The Quick Group may use to service all or a substantial number of client accounts, including accounts not maintained at a specific custodian. In addition to investment research, custodians also make available software and other technology that:

- Provides access to client account data (such as duplicate trade confirmations and account statements)
- Facilitate trade execution and allocate aggregated trade orders for multiple client accounts
- Provide pricing and other market data
- Facilitate payment of our fees from clients’ accounts
- Assist with back-office functions, recordkeeping, and client reporting

*Services that generally benefit The Quick Group.*

Custodians also offer other services intended to help The Quick Group manage and further develop The Quick Group's business enterprise. These services include:

- Educational conferences and events
- Consulting on technology, compliance, legal, and business needs
- Publications and conferences on practice management and business succession
- Access to employee benefits providers, human capital consultants, and insurance providers
- Assistance related to the transition of client assets from prior firms

### **Our interest in Custodial services**

The availability of these services from custodians benefits The Quick Group because The Quick Group does not have to produce or purchase them. The Quick Group doesn't have to pay for services provided by custodians. These services are not contingent upon The Quick Group committing any specific amount of business to a custodian in trading commissions or assets in custody. The Quick Group may have an incentive to recommend that clients maintain accounts with certain custodians, based on The Quick Group's interest in receiving a custodian's services that benefit The Quick Group's business rather than based on the client's interest in receiving the best value in custody services and the most favorable execution of client transactions. This is a potential conflict of interest. The Quick Group believes, however, that The Quick Group's selection of a custodian and broker is in the best interests of The Quick Group's clients. The Quick Group's selection is primarily supported by the scope, quality, and price of a custodian's services (see "How we select brokers/ custodians") and not a custodian's services that benefit only The Quick Group.

The Quick Group places trades for its clients' accounts subject to its duty to seek best execution and its other fiduciary duties. The Quick Group may use broker-dealers other than where the client account is maintained to execute trades for client accounts maintained at a different custodian, but this practice may result in additional costs to clients so that The Quick Group is more likely to place trades through the custodian where the client account is held rather than other broker-dealers. Execution quality may be different with various custodians.

### **B. Aggregating Trades**

Commission costs per client may be lower on a particular trade if all clients, in whose accounts the trade is to be made, are executed at the same time. This is called aggregating trades. Instead of placing a number of trades for the same security for each account, we will, when appropriate, execute one trade for all accounts and then allocate the trades to each account after execution. If an aggregate trade is not fully executed, the securities will be allocated to client accounts on a *pro rata* basis, except where doing so would create an unintended adverse consequence (For example, if a *pro rata* division would result in a client receiving a fraction of a share, or a position in the account of less than 1%.)

### **Item 13: Review of Accounts**

All accounts will be reviewed on at least an annual basis. However, it is expected that market conditions, changes in a particular client's account, or changes to a client's financial circumstances will trigger a review of accounts.

All clients will receive statements and confirmations of trades directly from the Custodian. Please refer to Item 15 regarding Custody.

## **Item 14: Client Referrals and Other Compensation**

### **A. Economic Benefit Provided by Third Parties for Advice Rendered to Client**

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*Please refer to Item 12, where we discuss recommendation of Broker-Dealers.*

### **B. Compensation to Non-Advisory Personnel for Client Referrals.**

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The Quick Group does not directly or indirectly compensate any person who is not advisory personnel for client referrals.

## **Item 15: Custody**

The Quick Group deducts fees from client accounts but does not have custody of client funds otherwise. Clients will receive statements directly from the Custodians, and copies of all trade confirmations directly from the Custodians.

Clients whose fees are directly debited will provide written authorization to debit advisory fees from their accounts held by a qualified custodian chosen by the client. The client will receive a statement from their account custodian showing all transactions in their account, including the fee.

We encourage clients to carefully review the statements and confirmations sent to them by their custodian, and to compare the information on any reports prepared by The Quick Group against the information in the statements provided directly from the Custodians. Please alert us of any discrepancies.

## **Item 16: Investment Discretion**

Asset management services will generally be provided on a “discretionary” basis. When The Quick Group is engaged to provide asset management services on a discretionary basis, it will monitor your accounts to ensure that they are meeting your asset allocation requirements. If any changes are needed to your investments, we will make the changes. These changes may involve selling a security or group of investments and buying others or keeping the proceeds in cash. You may at any time place restrictions on the types of investments we may use on your behalf, or on the allocations to each security type. You will receive written or electronic confirmations from your account custodian after any changes are made to your account. You will also receive statements at least quarterly from your account custodian.

## **Item 17: Voting Client Securities**

Copies of our Proxy Voting Policies are available upon request.

From time to time, shareholders of stocks, mutual funds, exchange traded funds or other securities may be permitted to vote on various types of corporate actions. Examples of these actions include mergers, tender offers, or board elections. Clients are required to vote proxies related to their investments, or to choose not to vote their proxies. The Quick Group will not accept authority to vote client securities. Clients will receive their proxies directly from the custodian for the client account. The Quick Group will not give clients advice on how to vote proxies.

**Item 18: Financial Information**

The Quick Group does not require the prepayment of fees more than six (6) months or more in advance and therefore has not provided a balance sheet with this brochure.

There are no material financial circumstances or conditions that would reasonably be expected to impair our ability to meet our contractual obligations to our clients.