



# CAP SIX

## FORM ADV PART 2B BROCHURE SUPPLEMENT

DECEMBER 2024

Cap Six Advisors LLC

CRD: 325164

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[www.cap-six.com](http://www.cap-six.com)

This brochure supplement provides information about investment professionals that supplements the Cap Six Advisors LLC Brochure. You should have received a copy of that Brochure. Please contact our Investor Relations at 281-671-7982 or [info@cap-six.com](mailto:info@cap-six.com) if you did not receive Cap Six Advisor LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about these investment professionals is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# Jeffrey A. Jorgensen (CRD# 5788307)

## Item 2 – Educational Background and Work Experience

Year of Birth: 1981

### Education:

University of Texas School of Law – Juris Doctor (2008)

Rice University – Bachelor of Arts in Economics, Managerial Studies and Sports Management (2003)

### Employment History:

Chief Investment Officer and Portfolio Manager  
Cap Six Advisors LLC, Houston, TX – January 2023 to present

Managing Director, Portfolio Manager and Director of Research, Energy Infrastructure Equities  
Brookfield Public Securities Group LLC, Chicago, IL – February 2018 to March 2022

Director of Research and Portfolio Manager  
Center Coast Capital Advisors, LP, Houston, TX – March 2014 to February 2018

Executive Director, Global Natural Resources Group  
UBS Financial Services Inc., Houston, TX – November 2011 to March 2014

Vice-President  
Donovan Capital, LLC, Houston, TX – May 2011 to November 2011

Associate, Global Energy Group, Investment Banking  
Morgan Stanley & Co. LLC, Houston, TX – March 2010 to April 2011

Attorney, Oil and Gas Projects Group  
Bracewell & Giuliani LLP, Houston, TX – August 2008 to March 2010

Internship, Oil and Gas Projects Group  
Bracewell & Giuliani LLP, Houston, TX – July 2006 to August 2008

## Item 3 – Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4 – Other Business Activities

Mr. Jorgensen is not actively engaged in any outside, investment-related business or occupation outside of his employment with Cap Six Advisors LLC (“Cap Six”).

## **Item 5 – Additional Compensation**

Portfolio personnel compensation typically includes an appropriate balance of current salary, bonus compensation and incentive-oriented compensation. Portfolio personnel may also be eligible for a long-term incentive plan as well as sharing of client generated performance fees. A key component of this plan is the achievement of client objectives in order to properly align interests with our clients.

Mr. Jorgensen does not receive additional compensation or economic benefit from sources outside of Cap Six for providing advisory services.

## **Item 6 – Supervision**

Cap Six has adopted policies and procedures that are reasonably designed to assist supervisors with the prevention, detection and correction of any actual or potential violations of applicable securities laws. Supervisors are responsible for ensuring that their employees conduct business in compliance with applicable securities laws and in keeping with the highest level of professional and ethical standards. Mr. Jorgensen is supervised by Coble Jorgensen, Chief Executive Officer who can be reached at (281) 671-7982.

Cap Six's Legal and Regulatory personnel conduct ongoing reviews of the policies and procedures to ensure strong systems of controls are in place to prevent violations of applicable securities laws and to protect the interests of Cap Six's clients.

# **Catharine Coble Armstrong Jorgensen (CRD# 7700798)**

## **Item 2 – Educational Background and Work Experience**

Year of Birth: 1980

### **Education:**

University of Texas School of Law – Juris Doctor (2008)

Harvard University – A.B.(2002)

### **Employment History:**

Chief Executive Officer and Founder

Cap Six Advisors LLC, Houston, TX – January 2023 to present

Manager

Ocaso Ventures LLC, Houston, TX – September 2020 to January 2023

## **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **Item 4 – Other Business Activities**

Director

Kleberg and Co. Bankers, Inc., Kingsville, TX – April 2020 to present

Director

King Ranch, Inc., Houston, TX – June 2022 to present

Member

CJJ 1929 Investments, LLC, Houston, TX – May 2019 to present

President

EJCJ Properties, LLC, Houston, TX – November 2010 to present

Ms. Jorgensen holds additional unpaid positions relating to other business activities that do not individually require more than 10% of her time.

## **Item 5 – Additional Compensation**

Portfolio personnel compensation typically includes an appropriate balance of current salary, bonus compensation and incentive-oriented compensation. Portfolio personnel may also be eligible for a long-term incentive plan as well as sharing of client generated performance fees. A key component of this plan is the achievement of client objectives in order to properly align interests with our clients.

Ms. Jorgensen does not receive additional compensation or economic benefit from sources outside of Cap Six for providing advisory services.

## **Item 6 – Supervision**

Cap Six has adopted policies and procedures that are reasonably designed to assist supervisors with the prevention, detection and correction of any actual or potential violations of applicable securities laws. Supervisors are responsible for ensuring that their employees conduct business in compliance with applicable securities laws and in keeping with the highest level of professional and ethical standards. Ms. Jorgensen's advisory activities are supervised by Jeff Jorgensen, Chief Investment Officer, who can be reached at (281) 671-7982. Ms. Jorgensen's compliance-related activities are supervised by Rachel Gilbert, Chief Operating Officer, who can be reached at (281) 671-7982.

Cap Six's Legal and Regulatory personnel conduct ongoing reviews of the policies and procedures to ensure strong systems of controls are in place to prevent violations of applicable securities laws and to protect the interests of Cap Six's clients.

# **Rachel H. Gilbert (CRD# 6300332)**

## **Item 2 – Educational Background and Work Experience**

Year of Birth: 1980

### **Education:**

University of South Carolina – Bachelor of Science in Chemistry (2002)

### **Employment History:**

Chief Operating Officer  
Cap Six Advisors LLC, Houston, TX – January 2023 to present

Director  
Brookfield Public Securities Group LLC, Chicago, IL – February 2018 to November 2020

Director of Operations  
Center Coast Capital Advisors, LP, Houston, TX – October 2012 to February 2018

Investor Relations  
Center Coast Capital Advisors, LP, Houston, TX – February 2010 to October 2012

Professional Sales Representative  
Johnson & Johnson, Chattanooga, TN – July 2004 – June 2009

## **Item 3 – Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **Item 4 – Other Business Activities**

Ms. Gilbert is not actively engaged in any outside, investment-related business or occupation outside of his employment with Cap Six Advisors LLC (“Cap Six”).

## **Item 5 – Additional Compensation**

Portfolio personnel compensation typically includes an appropriate balance of current salary, bonus compensation and incentive-oriented compensation. Portfolio personnel may also be eligible for a long-term incentive plan as well as sharing of client generated performance fees. A key component of this plan is the achievement of client objectives in order to properly align interests with our clients.

Ms. Gilbert does not receive additional compensation or economic benefit from sources outside of Cap Six for providing advisory services.

## Item 6 – Supervision

Cap Six has adopted policies and procedures that are reasonably designed to assist supervisors with the prevention, detection and correction of any actual or potential violations of applicable securities laws. Supervisors are responsible for ensuring that their employees conduct business in compliance with applicable securities laws and in keeping with the highest level of professional and ethical standards. Ms. Gilbert is supervised by Coble Jorgensen, Chief Executive Officer who can be reached at (281) 671-7982.

Cap Six's Legal and Regulatory personnel conduct ongoing reviews of the policies and procedures to ensure strong systems of controls are in place to prevent violations of applicable securities laws and to protect the interests of Cap Six's clients.