

Part 2B of Form ADV: *Brochure Supplement*

Bahl&Gaynor

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This brochure supplement provides information about investment management personnel of Bahl & Gaynor, Inc. that supplements the Bahl & Gaynor Investment Counsel brochure. You should have received a copy of that brochure. Please contact Bahl & Gaynor if you have not received the Bahl & Gaynor brochure or if you have any questions about the contents of the supplement.

Bahl & Gaynor, Inc is a registered investment adviser. Registration of an Investment Adviser does not imply any level of skill or training. The oral and written communications of an Adviser provide you with the information about which you determine to hire or retain an Adviser.

Additional information about Bahl & Gaynor, Inc. also is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as a CRD number. Our firm's CRD number is 106139.

Full Legal Name**VERE W. GAYNOR****Born** 1947**Education**

Columbia University; BS, Political Science; 1970

Columbia University; MBA, Finance; 1971

Business Experience**Bahl & Gaynor, Inc.;** Director Emeritus; from 4/2022 to current**Bahl & Gaynor, Inc.;** Chairman of the Board/President; from 7/2/1990 to 4/2022**Disciplinary Information**

Vere W. Gaynor has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Vere W. Gaynor is not engaged in any other investment related activities.
2. Vere W. Gaynor does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Vere W. Gaynor is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Vere W. Gaynor does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**CHARLES A. PETTENGILL****Born** 1961**Education**

Colgate University; BA, Economics; 1984

University of Chicago; MBA; Finance; 1986

Business Experience**Bahl & Gaynor, Inc.;** Chairman of the Board; from 4/2022 to current**Bahl & Gaynor, Inc.;** Vice President, Senior Wealth Advisor; from 5/1/1997 to 4/2022**Designation**

Charles A. Pettengill has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/1996

* Please see Minimum Qualifications Required below

Disciplinary Information

Charles A. Pettengill has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Charles A. Pettengill is not engaged in any other investment related activities.
2. Charles A. Pettengill does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Charles A. Pettengill is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Charles A. Pettengill does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**ELEANOR K. MOFFAT****Born** 1956**Education**

Princeton University; AB, History; 1978

John Hopkins University; MAS; Administrative Science; 1983

Business Experience**Bahl & Gaynor, Inc.;** Senior Wealth Advisor; from 1/1/1999 to current**Designation**

Eleanor K. Moffat has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/1988

* Please see Minimum Qualifications Required below

Disciplinary Information

Eleanor K. Moffat has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Eleanor K. Moffat is not engaged in any other investment related activities.
2. Eleanor K. Moffat does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Eleanor K. Moffat is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Eleanor K. Moffat does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**SCOTT D. RODES****Born** 1962**Education**

Vanderbilt University; BE, Mechanical Engineering; 1985

Xavier University; MBA; Business; 1987

Business Experience**Bahl & Gaynor, Inc.;** Senior Wealth Advisor; from 6/1/2001 to current**Designation**

Scott D. Rodes has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/1993

* Please see Minimum Qualifications Required below

Disciplinary Information

Scott D. Rodes has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Scott D. Rodes is not engaged in any other investment related activities.
2. Scott D. Rodes does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Scott D. Rodes is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Scott D. Rodes does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**EDWARD A. WOODS****Born** 1966**Education**

Wittenberg University; BA, Business Administration; 1989

University of Cincinnati, MBA, Finance; 1996

Business Experience**Bahl & Gaynor, Inc.;** Director, Senior Wealth Advisor; from 9/1/2004 to current**Designation**

Edward A. Woods has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/1996

* Please see Minimum Qualifications Required below

Disciplinary Information

Edward A. Woods has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Edward A. Woods is not engaged in any other investment related activities.
2. Edward A. Woods does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Edward A. Woods is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Edward A. Woods does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**JOHN B. SCHMITZ****Born** 1960**Education**

University of Cincinnati, BA, Finance and Real Estate; 1982

Business Experience**Bahl & Gaynor, Inc.;** Director, Portfolio Manager; from 12/1/2005 to current**Designation**

John B. Schmitz has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/1992

* Please see Minimum Qualifications Required below

Disciplinary Information

John B. Schmitz has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. John B. Schmitz is not engaged in any other investment related activities.
2. John B. Schmitz does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. John B. Schmitz is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

John B. Schmitz does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**ELLIS D. HUMMEL****Born** 1968**Education**

Skidmore College, BA, Government; 1990

Business Experience**Bahl & Gaynor, Inc.;** Director, Senior Wealth Advisor; from 2/1/2008 to current**Designation**

Ellis D. Hummel has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 6/2002

**Please see Minimum Qualifications Required below

Disciplinary Information

Ellis D. Hummel has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Ellis D. Hummel is not engaged in any other investment related activities.
2. Ellis D. Hummel does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Ellis D. Hummel is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Ellis D. Hummel does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**STEPHANIE S. THOMAS****Born** 1967**Education**

Wittenberg University, BA, Economics; 1989
University of Notre Dame, MBA 1996

Business Experience

Bahl & Gaynor, Inc.; Institutional Client Managing Director, Portfolio Manager;
from 7/2/2012 to current

Designation

Stephanie S. Thomas has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/2001

* Please see Minimum Qualifications Required below

Disciplinary Information

Stephanie S. Thomas has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Stephanie S. Thomas is not engaged in any other investment related activities.
2. Stephanie S. Thomas does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Stephanie S. Thomas is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Stephanie S. Thomas does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**W. JEFF BAHL****Born** 1979**Education**

Washington & Lee University, BSBA, Commerce; 2002

Business Experience**Bahl & Gaynor, Inc.;** Director, Senior Wealth Advisor; from 5/1/2014 to current**Disciplinary Information**

W. Jeff Bahl has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. W. Jeff Bahl is not engaged in any other investment related activities.
2. W. Jeff Bahl does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. W. Jeff Bahl is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

W. Jeff Bahl does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**CHRISTOPHER M. ROWANE****Born** 1960**Education**

Gannon University, BSBA, Finance; 1983

Gannon University, MBA, Finance; 1988

Business Experience**Bahl & Gaynor, Inc.;** Senior Wealth Advisor; from 5/1/2014 to current**Designation**

Christopher M. Rowane has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/1998

* Please see Minimum Qualifications Required below

Disciplinary Information

Christopher M. Rowane has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Christopher M. Rowane is not engaged in any other investment related activities.
2. Christopher M. Rowane does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Christopher M. Rowane is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Christopher M. Rowane does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**NICHOLAS W. PUNCER****Born** 1987**Education**

University of Cincinnati; BBA, Finance and Business Economics; 2010

Business Experience**Bahl & Gaynor, Inc.**; Institutional Product Managing Director, Portfolio Manager, from 5/1/2014 to current; Analyst, from 2010 to April 2014**Designation**

Nicholas W. Puncer has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/2014

* Please see Minimum Qualifications Required below

CFP®; College of Financial Planning™; 6/2014

** Please see Minimum Qualifications Required below

Disciplinary Information

Nicholas W. Puncer has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Nicholas W. Puncer is not engaged in any other investment related activities.
2. Nicholas W. Puncer does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Nicholas W. Puncer is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Nicholas W. Puncer does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**JAMES E. RUSSELL****Born** 1961**Education**

Centre College of Kentucky, BS, Chemistry; 1983
Emory University, MBA, Finance; 1986

Business Experience

Bahl & Gaynor, Inc.; Portfolio Manager; from 10/27/2014 to current

Designation

James E. Russell has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analysts; 9/1992

* Please see Minimum Qualifications Required below

Disciplinary Information

James E. Russell has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. James E. Russell is not engaged in any other investment related activities.
2. James E. Russell does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. James E. Russell is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

James E. Russell does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**CHRISTOPHER J. HEEKIN****Born** 1970**Education**

Duke University, BA, Economics; 1992

Darden School at University of Virginia, MBA, 1999

Business Experience**Bahl & Gaynor, Inc.;** Wealth Management Managing Director, Senior Wealth Advisor; from 10/17/2016 to current**Designation**

Christopher J. Heekin has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 7/2017

** Please see Minimum Qualifications Required below

Disciplinary Information

Christopher J. Heekin has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Christopher J. Heekin is not engaged in any other investment related activities.
2. Christopher J. Heekin does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Christopher J. Heekin is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Christopher J. Heekin does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**STEVEN N. BROWN****Born** 1981**Education**

University of Cincinnati; BA, Finance; 2004

Business Experience

Bahl & Gaynor, Inc.; Financial Planning Specialist; from 1/8/2018 to current
Huntington National Bank; Vice President and Wealth Advisor; from August 2011 to January 2018

Designation

Steven N. Brown has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 3/2008

** Please see Minimum Qualifications Required below

Disciplinary Information

Steven N. Brown has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Steven N. Brown is not engaged in any other investment related activities.
2. Steven N. Brown does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Steven N. Brown is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Steven N. Brown does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**SAMUEL L. KOOPMAN****Born** 1988**Education**

Xavier University, BSBA, Finance; 2010

Business Experience**Bahl & Gaynor, Inc.**; Senior Wealth Advisor; from 7/1/2018 to current; Wealth Advisor Analyst; from 6/15/2015 to 6/30/2018**United States Army**, Company Executive Officer; from 1/1/2014 to 6/1/2015; Brigade Medical Operations Officer; from 1/1/2013 – 12/31/2014**Designation**

Samuel L. Koopman has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 5/2017

** Please see Minimum Qualifications Required below

Disciplinary Information

Samuel L. Koopman has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Samuel L. Koopman is not engaged in any other investment related activities.
2. Samuel L. Koopman does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Samuel L. Koopman is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Samuel L. Koopman does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name
KEVIN T. GADE

Born 1991

Education

University of Cincinnati; BBA, Finance & Business Economics; 2014

Business Experience

Bahl & Gaynor, Inc.; Chief Operating Officer & Portfolio Manager, from 4/2022 to current; Portfolio Manager, from 7/17/2018 to 4/2022; Portfolio Analyst, from 9/1/2016 to 7/11/2018

Designation

Kevin T. Gade has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 8/2017

* Please see Minimum Qualifications Required below

CFP®; College of Financial Planning™; 3/2018

** Please see Minimum Qualifications Required below

Disciplinary Information

Kevin T. Gade has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Kevin T. Gade is not engaged in any other investment related activities.
2. Kevin T. Gade does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Kevin T. Gade is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Kevin T. Gade does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**KEITH HERBERT RENNEKAMP****Born** 1980**Education**

The Ohio State University Fisher College of Business BSBA, Finance, 2002

Xavier University Williams College of Business MBA, Finance, 2007

Business Experience**Bahl & Gaynor, Inc.;** Senior Wealth Advisor; from 5/29/2018 to current**Huntington National Bank;** Vice President, Senior Portfolio Manager; from September 2013 to May 2018**Designation**

Keith Herbert Rennekamp has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/2009

* Please see Minimum Qualifications Required below

CFP®; College of Financial Planning™; 4/2012

** Please see Minimum Qualifications Required below

Disciplinary Information

Keith Herbert Rennekamp has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Keith Herbert Rennekamp is not engaged in any other investment related activities.
2. Keith Herbert Rennekamp does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

Keith Herbert Rennekamp consults with the CFA Institute that results in approximately 120 hours of his time in a one-year cycle. The majority of that time is outside of normal business hours, and Mr. Rennekamp is paid a stipend for his consultation.

Additional Compensation

Keith Herbert Rennekamp does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**PETER MICHAEL KWIATKOWSKI****Born** 1969**Education**

California State University at Long Beach BS, Finance, Real Estate, & Law 1999

Business Experience**Bahl & Gaynor, Inc.;** Chief Investment Officer, Portfolio Manager; from 4/2022 to current; Portfolio Manager; from 1/14/2019 to 4/2022**ClearArc Capital;** in multiple positions most recently as Director, Growth & Income Strategies from 11/2002 to 12/2018**Designation**

Peter Michael Kwiatkowski has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/2002

* Please see Minimum Qualifications Required below

Disciplinary Information

Peter Michael Kwiatkowski has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

Peter Michael Kwiatkowski is not engaged in any other investment related activities.

2. Peter Michael Kwiatkowski does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

Peter Michael Kwiatkowski is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Peter Michael Kwiatkowski does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**JOHN ERIC STRANGE****Born** 1973**Education**

Georgetown College, BS, Accounting, 1996

Business Experience**Bahl & Gaynor, Inc.;** Senior Wealth Advisor; from 4/15/2019 to current**Fifth Third Private Bank;** Vice President, Senior Portfolio Manager; from July 2016 to February 2019**Designation**

John Eric Strange has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analysts; 9/2002

* Please see Minimum Qualifications Required below

Disciplinary Information

John Eric Strange has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. John Eric Strange is not engaged in any other investment related activities.
2. John Eric Strange does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. John Eric Strange is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

John Eric Strange does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**ROBERT SCOTT GROENKE****Born** 1983**Education**

University of Michigan, BA, Economics, 2005

University of Chicago, MBA, 2012

Business Experience**Bahl & Gaynor, Inc.;** Chief Executive Officer & President, Portfolio Manager, from 4/2022 to current; Portfolio Manager, from 12/16/2019 to 4/2022**Franklin Templeton;** Vice President & Research Analyst, from 2018 to December 2019; Research Analyst; from August 2012 to 2018**Disciplinary Information**

Robert Scott Groenke has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Robert Scott Groenke is not engaged in any other investment related activities.
2. Robert Scott Groenke does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Robert Scott Groenke is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Robert Scott Groenke does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**MAURA ANNE KELLY****Born** 1980**Education**

University of Dayton, BSBA, Finance (major) & Accounting (minor), 2002

Business Experience**Bahl & Gaynor, Inc.;** Senior Wealth Advisor; from 3/30/2020 to current**US Bank;** Senior Vice President, Senior Portfolio Manager; from May 2017 to March 2020**Fifth Third Bank;** Vice President, Senior Portfolio Manager; from December 2012 to May 2017**Designation**

Maura Anne Kelly has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; Xavier University, 2/2008

** Please see Minimum Qualifications Required below

Disciplinary Information

Maura Anne Kelly has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Maura Anne Kelly is not engaged in any other investment related activities.
2. Maura Anne Kelly does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Maura Anne Kelly is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Maura Anne Kelly does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**ANDREW HAGEDORN****Born** 1976**Education**

University of Kentucky BSBA, Management and Economics, 1998

Business Experience

Bahl & Gaynor, Inc.; Senior Wealth Advisor; from 10/4/2021 to current
Huntington National Bank; Senior Vice President & National Portfolio Manager
Executive;
from 12/2001 to 9/2021

Disciplinary Information

Andrew Hagedorn has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Andrew Hagedorn is not engaged in any other investment related activities.
2. Andrew Hagedorn does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Andrew Hagedorn is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Andrew Hagedorn does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**IAN THOMAS OWENS****Born** 1993**Education**

University of Cincinnati, BBA, Finance; 2017

Business Experience**Bahl & Gaynor, Inc.**; Associate Portfolio Manager, from 5/2023 to current; Portfolio Analyst, from 8/14/2017 to 5/2023**Designation**

Ian Thomas Owens has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 11/2021

* Please see Minimum Qualifications Required below

Disciplinary Information

Ian Thomas Owens has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Ian Thomas Owens is not engaged in any other investment related activities.
2. Ian Thomas Owens does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Ian Thomas Owens is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Ian Thomas Owens does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**ERIC JAMES ZINS****Born** 1995**Education**

University of Cincinnati College of Business BBA, Finance; 2018

Business Experience**Bahl & Gaynor, Inc.;** Associate Portfolio Manager, from 5/2023 to current; Portfolio Analyst; from 8/20/2018 to 5/2023**Designation**

Eric James Zins has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 2/2021

* Please see Minimum Qualifications Required below

Disciplinary Information

Eric James Zins has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Eric James Zins is not engaged in any other investment related activities.
2. Eric James Zins does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Eric James Zins is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Eric James Zins does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**MATTHEW JOHN CARROLL****Born** 1997**Education**

Xavier University Williams College of Business BSBA, Finance, 2020

Business Experience

Bahl & Gaynor, Inc.; Wealth Management Analyst; from 8/17/2020 to current

Prior to 8/2022 Matthew John Carroll was a student at Xavier University

Designation

Matthew John Carroll has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 3/2022

** Please see Minimum Qualifications Required below

Disciplinary Information

Matthew John Carroll has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Matthew John Carroll is not engaged in any other investment related activities.
2. Matthew John Carroll does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Matthew John Carroll is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Matthew John Carroll does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**KELSEY MARIE FLANNERY****Born** 1995**Education**

University of Kentucky Gatton College of Business BBA, Finance 2016

Business Experience**Bahl & Gaynor, Inc.;** Wealth Management Analyst; from 8/26/2021 to current**Huntington National Bank;** Portfolio Manager; from 9/2017 to 8/2021**Designation**

Kelsey Marie Flannery has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 8/2022

** Please see Minimum Qualifications Required below

Disciplinary Information

Kelsey Marie Flannery has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Kelsey Marie Flannery is not engaged in any other investment related activities.
2. Kelsey Marie Flannery does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Kelsey Marie Flannery is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Kelsey Marie Flannery does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**MICHAEL MURRAY DELPRINCE****Born** 1990**Education**

Centre College, BA, Economics, 2013

University of Cincinnati, MS, Taxation, 2022

Business Experience**Bahl & Gaynor, Inc.;** Wealth Advisor; from 2/2023 to present; Senior Wealth Management Analyst; from 2/14/2022 to 2/2023**Nationwide Financial;** Territory Manager; from January 2017 to February 2022**Designation**

Michael Murray Del Prince has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 12/2016

** Please see Minimum Qualifications Required below

CLU®; Charter Life Underwriter ®; 8/2018

*** Please see Minimum Qualifications Required below

Disciplinary Information

Michael Murray DelPrince has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Michael Murray DelPrince is not engaged in any other investment related activities.

2. Michael Murray DelPrince does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Michael Murray DelPrince is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Michael Murray DelPrince does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**CHRISTIAN ROBERS WING****Born** 1974**Education**

University of Cincinnati BA, Communications 1997

Business Experience**Bahl & Gaynor, Inc.;** Senior Wealth Advisor; from 2/03/2023 to current**Huntington National Bank;** from June 2010 to January 2023**Disciplinary Information**

Christian Roberts Wing has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Christian Roberts Wing is not engaged in any other investment related activities.
2. Christian Roberts Wing does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Christian Roberts Wing is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Christian Roberts Wing does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**GRANT EMERSON COOPER****Born** 1987**Education**

Miami University, BS, Finance, 2009

University of Cincinnati, MS, Finance, 2015

Business Experience**Bahl & Gaynor, Inc.;** Wealth Advisor; from 10/31/2022 to current**US Bank Private Wealth Management;** Portfolio Manager and Vice President;
from May 2018 to October 2023**Johnson Investment Counsel;** Portfolio Manager Assistant, from May 2015 to May 2018**Designation**

Grant Emerson Cooper has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 9/2019

* Please see Minimum Qualifications Required below

CFP®; College of Financial Planning™; 3/2020

** Please see Minimum Qualifications Required below

Disciplinary Information

Grant Emerson Cooper has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Grant Emerson Cooper is not engaged in any other investment related activities.
2. Grant Emerson Cooper does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Grant Emerson Cooper is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Grant Emerson Cooper does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**MARCIE LIN WRIGHT****Born** 1974**Education**

Loyola University Chicago, Quinlan School of Business, M.B.A., Business Administration, 2014

Columbia College of Missouri, B.S., Business Administration, 2000

Highland Community College, A.S., Business Administration, 1995

Business Experience

Bahl & Gaynor, Inc.; Senior Wealth Advisor; from 5/1/24 to current

Fifth Third Bank; Senior Vice President, Managing Director, Private Bank Portfolio Management, Regional Director of Portfolio Management, Team Lead and Senior Portfolio Manager; from April 2005 – April 2024

Designation

Marcie Lin Wright has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 7/2008

** Please see Minimum Qualifications Required below

Disciplinary Information

Marcie Lin Wright has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Marcie Lin Wright is not engaged in any other investment related activities.
2. Marcie Lin Wright does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Marcie Lin Wright is a member of Haul Four Fast, LLC. The LLC; does not provide direct compensation or involve a substantial amount of time.

Additional Compensation

Marcie Lin Wright does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**CHRISTOPHER ANTHONY GUY****Born** 1999**Education**

University of Cincinnati College of Business BBA, Finance, 2022

Business Experience

Bahl & Gaynor, Inc.; Wealth Management Analyst; from 08/15/2022 to current
Prior to 8/2022 Chris Anthony Guy was a student at University of Cincinnati

Designation

Christopher Anthony Guy has earned the following designation and is in good standing with the granting authority.

CFP®; College of Financial Planning™; 8/2024

** Please see Minimum Qualifications Required below

Disciplinary Information

Christopher Anthony Guy has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Christopher Anthony Guy is not engaged in any other investment related activities.
2. Christopher Anthony Guy does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Christopher Anthony Guy is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Christopher Anthony Guy does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**DERRICK KIHEMBO****Born** 1994**Education**

The Johns Hopkins University B.S., Economics 2016

Business Experience**Bahl & Gaynor, Inc.;** Associate Portfolio Manager & Senior Trader; from 6/01/2018 to current**Designation**

Derrick Kihembo has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 2/2022

* Please see Minimum Qualifications Required below

Disciplinary Information

Derrick Kihembo has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Derrick Kihembo is not engaged in any other investment related activities.
2. Derrick Kihembo does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Derrick Kihembo is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Derrick Kihembo does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name

Shane Rishaun Farmer

Born 1997

Education

University of Cincinnati College of Business BBA, Finance, 2022

Business Experience

Bahl & Gaynor, Inc.; Wealth Management Analyst; from 02/17/2023 to current

Apple Inc.; from 5/2017 to 2/2023

Prior to 2017 Shane Rishaun Farmer was a student at University of Cincinnati

Disciplinary Information

Shane Rishaun Farmer has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Shane Rishaun Farmer is not engaged in any other investment related activities.
2. Shane Rishaun Farmer does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Shane Rishaun Farmer is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Shane Rishaun Farmer does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**MARY KATHERINE WALSH****Born** 1999**Education**

University of Cincinnati, BBA, Finance, 2022

University of Cincinnati, MS, Marketing, 2023

Business Experience**Bahl & Gaynor, Inc.;** Wealth Management Analyst; from 08/14/2023 to current

Prior to 8/2023 Mary Katherine Walsh was a student at University of Cincinnati

Disciplinary Information

Mary Katherine Walsh has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

1. Mary Katherine Walsh is not engaged in any other investment related activities.
2. Mary Katherine Walsh does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Mary Katherine Walsh is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Mary Katherine Walsh does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**JOHN WILLIAM LANGENDERFER****Born** 1999**Education**

The Ohio State University, Max M. Fisher College of Business, BBA, Finance, 2023

Business Experience

Bahl & Gaynor, Inc.; Wealth Management Analyst; from 08/14/2023 to current
Prior to 8/2023 John William Langenderfer was a student at The Ohio State University

Disciplinary Information

John William Langenderfer has no reportable disciplinary history.

Other Business Activities**A. Investment Related Activities**

John William Langenderfer is not engaged in any other investment related activities.

2. John William Langenderfer does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. John William Langenderfer is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

John William Langenderfer does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**WESLEY ANN ALSPAUGH****Born** 2001**Education**

University of Cincinnati, BBA, Accounting, 2023

University of Cincinnati, Certificate, Business Analytics, 2023

University of Cincinnati, MS, Finance, 2024

Business Experience**Bahl & Gaynor, Inc.;** Wealth Management Analyst; from 01/22/2024 to current

Prior to 01/2024 Wesley Ann Alspaugh was a student at University of Cincinnati

Disciplinary Information

Wesley Ann Alspaugh has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Wesley Ann Alspaugh is not engaged in any other investment related activities.
2. Wesley Ann Alspaugh does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Wesley Ann Alspaugh is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Wesley Ann Alspaugh does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Full Legal Name**KATHERINE HELEN KOBER****Born** 1995**Education**

Georgetown University BSBA, Finance & Accounting, 2017

Business Experience**Bahl & Gaynor, Inc.;** Portfolio Analyst from 12/2021 to current**Blue Owl Capital (formerly known as Dyal Capital):** Associate from 2/2020 to 9/2021**J.P. Morgan Chase:** Analyst from 6/2017-2/2020**Designation**

Katherine Helen Kober has earned the following designation and is in good standing with the granting authority.

CFA®; Institute of Chartered Financial Analyst; 7/2022

* Please see Minimum Qualifications Required below

Disciplinary Information

Katherine Helen Kober has no reportable disciplinary history.

Other Business Activities

A. Investment Related Activities

1. Katherine Helen Kober is not engaged in any other investment related activities.
2. Katherine Helen Kober does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non-Investment Related Activities

1. Katherine Helen Kober is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

Katherine Helen Kober does not receive any economic benefit from a non-advisory client for the provision of advisory services.

All Bahl & Gaynor financial professionals who meet their goals are eligible to participate in an equity program.

Supervision of Firm

Supervisor & Title: Robert S. Groenke, Chief Executive Officer & President
Phone Number: 513-287-6100

Robert S. Groenke oversees the company and business practices of Bahl & Gaynor. **Jenelle M. Armstrong, Chief Administrative Officer**, is responsible for managing staff-related matters, while **Tita A. Rogers, IACCP®, Chief Compliance Officer**, manages the Code of Ethics, Investment Policies, Review Processes, Conflicts, and Risk Assessments.

Mrs. Armstrong and Mrs. Rogers report any concerns or risks to Robert S. Groenke, who holds supervisory responsibility for all investment and executive employees.

Above Business Experience is for the most recent 5 years.

** CFA - Minimum Qualifications Required*

The CHARTERED FINANCIAL ANALYST, CFA® charter is a professional designation established in 1962 and awarded by CFA Institute. To earn the CFA charter, candidates must pass three sequential, six-hour examinations over two to four years. The three levels of the CFA Program test a wide range of investment topics, including ethical and professional standards, fixed-income analysis, alternative and derivative investments, and portfolio management and wealth planning. In addition, CFA charter holders must have at least four years of acceptable professional experience in the investment decision-making process and must commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

*** CFP - Minimum Qualifications Required*

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

*** **CLU - Minimum Qualifications Required**

The CHARTER LIFE UNDERWRITER®, CLU® is a financial professional with extensive knowledge of life insurance. The American College of Financial Services is an accredited non-profit educational institution founded in 1927. It has the highest level of educational accreditation—regional accreditation—through the Middle States Commission on Higher Education. The college has a full-time faculty of industry experts and is one of the leading educators of financial professionals in the United States.

To earn the CLU, individuals must complete five core courses plus three elective courses and pass eight 100-question, two-hour examinations. Required course titles include Fundamentals of Insurance Planning, Individual Life Insurance, Life Insurance Law, Fundamentals of Estate Planning and Planning for Business Owners and Professionals.¹ Other course topics include financial planning, health insurance, income taxation, group benefits, investments, and retirement planning.

A Chartered Life Underwriter must adhere to The American College of Financial Services' Code of Ethics, which includes the following professional pledge:

"I shall, in light of all conditions surrounding those I serve, which I shall make every conscientious effort to ascertain and understand, render that service which, in the same circumstances, I would apply to myself." Furthermore, maintaining the designation requires 30 hours of continuing education every two years, and the designation may be removed for unethical conduct through the certification committee of The American College's Board of Trustees.

**** **IACCP – Minimum Qualifications Required**

INVESTMENT ADVISOR CERTIFIED COMPLIANCE PROFESSIONAL, IACCP® The first industry designation of its kind, the Investment Adviser Certified Compliance Professional (IACCP®), was developed by the Center for Compliance Professionals, the education and professional development division of National Regulatory Services (NRS), a resource closely in tune with the changing complexity of the financial securities compliance profession and provider of compliance education for over 20 years.

The IACCP® is awarded to knowledgeable, experienced individuals who complete an instructor-led program of face-to-face and online study, pass a certifying examination, and meet work experience, ethics and continuing education requirements. The designation signifies knowledge of investment adviser regulation and compliance best practice, and adherence to national recognized professional standards and ethical leadership.

Exhaustive certification and course development, together with expert instructors and facilitators from the compliance, legal, regulatory, industry, and academic sectors, help ensure that individuals earning the IACCP® designation have been trained, tested and certified to meet high industry professional standards.

IACCP® is a certification owned by the Investment Adviser Compliance Certificate Program®. This certification is awarded to individuals who successfully complete the program's initial and ongoing certification requirements.