

**Item 1**

A.

Shad Azimi  
Vanterra Capital, LLC

Brochure Supplement  
Dated  
12/31/2021

Contact: Ryan Euell  
320 Park Avenue, 18<sup>th</sup> Floor  
New York, New York 10022

B.

**This Brochure Supplement provides information about Shad F. Azimi that supplements the Vanterra Capital LLC Brochure. You should have received a copy of that Brochure. Please contact Ryan Euell, if you did *not* receive Vanterra Capital LLC's Brochure or if you have any questions about the contents of this supplement.**

**Item 2 Education Background and Business Experience**

Mr. Shad Azimi is a Managing Partner of Vanterra Capital LLC. Mr. Azimi has over twenty years of global private equity investment experience. Previously, he was a Principal at NYL Capital, a private equity program sponsored by New York Life Investment Management and third-party institutional investors. His primary role was to identify, evaluate, and execute investment opportunities for the firm's fund investment program and direct investment funds. Prior to that, Mr. Azimi was an Associate at Lightyear Capital, as well as UBS/PaineWebber Capital. Mr. Azimi began his career as an investment banking Analyst at PaineWebber, Inc. Mr. Azimi received his B.S. with dual concentrations in Finance and International Business from the McIntire School of Commerce at the University of Virginia. He earned his MBA from Columbia Business School.

**Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is actively engaged in other investment-related businesses or occupations.
- B. The supervised person is actively engaged in non-investment-related business and occupation for compensation.

#### **Item 5 Additional Compensation**

Mr. Azimi receives compensation for non-investment and occupation related activities.

#### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee or investment adviser representative of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Ryan Euell at (212) 231.3930.

**Item 1**

A.

Alan Quasha

Vanterra Capital, LLC

Brochure Supplement

Dated

12/31/2021

Contact: Ryan Euell  
320 Park Avenue, 18<sup>th</sup> Floor  
New York, New York 10022

B.

**This Brochure Supplement provides information about Alan Quasha that supplements the Vanterra Capital LLC Brochure. You should have received a copy of that Brochure. Please contact Ryan Euell, if you did *not* receive Vanterra Capital LLC's Brochure or if you have any questions about the contents of this supplement.**

**Item 2 Education Background and Business Experience**

Mr. Alan Quasha is a Partner at Vanterra Capital. Mr. Quasha is involved in numerous boards and currently serves as President of Quadrant Management, Inc., investment committee member of Trilantic Capital Partners Fund IV, and member of the General Partner and investment committee of Vanterra Transformative Energy & Materials Fund I, L.P. He is also the chairman of Carret Asset Management Group, LLC and vice-chairman of Brean Capital. He served as a director of Richemont SA from 1988 until he joined the Board of Compagnie Financière Richemont SA (a leading luxury goods company) and was chief executive officer of North American Resources Limited, formerly a joint venture between Richemont SA and the Quasha family, between 1988 and 1998. He is also chairman of HKN, Inc. (a publicly traded independent oil and gas company), and chairman of the American Brain Trauma Foundation. He was a past director of American Express Funds and a former governor of the American Stock Exchange. Mr. Quasha holds a bachelor's degree from Harvard College, an MBA from Harvard Business School, a Master of Law degree from New York University Law School, and a Doctorate of Law from the Harvard Law School.

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. The supervised person is actively engaged in other investment-related businesses or occupations.
- B. The supervised person is actively engaged in non-investment-related business and occupation for compensation.

### **Item 5 Additional Compensation**

Mr. Quasha receives compensation for non-investment and occupation related activities.

### **Item                   6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee or investment adviser representative of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Ryan Euell at (212) 231.3930.