

## Item 1- Cover page

**This brochure supplement provides information about Mr Warren D. Alderige that supplements the Pacific Harbor Holdings, Limited (“Pacific Harbor” or “the Company”) brochure. You should have received a copy of that brochure. Please contact Mr Warren D. Alderige if you did not receive Pacific Harbor’s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr Warren D. Alderige is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**



### **Pacific Harbor Holdings, Limited**

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Hong Kong  
Tel: (852) 2167 0500

**Brochure Supplement/Form ADV Part 2B**  
**(as part of Pacific Harbor’s Brochure / Form ADV Part 2A)**  
**for**  
**Warren D. Alderige**

March 31, 2022

## **Item 2 – Educational background and business Experience**

Function: Chief Executive Officer

Year of birth: 1956

Education: B.S., Cornell University, M.B.A., Harvard University

Business background: Chief Executive Officer of Pacific Harbor for the preceding 15 years

## **Item 3 – Disciplinary Information**

No information is applicable to this Item.

## **Item 4 – Other Business Activities**

Mr Alderige is the director of various Pacific Harbor Group's companies.

## **Item 5 – Additional Compensation**

Mr Alderige does not receive additional compensation from non-clients for providing advisory services.

## **Item 6 – Supervision**

Mr Alderige is subject to Pacific Harbor's Code of Ethics and compliance policies and procedures (the "Compliance Program") as described in more detail Pacific Harbor's brochure. In addition to establishing certain standards for professional conduct, the Compliance Program imposes specific requirements aimed at preventing, detecting and correcting fraudulent activity or activities that would pose a conflict of interest in connection with personal transactions. Among other measures imposed by the Compliance Program, Mr Alderige, as an "access person" of Pacific Harbor, is required to submit initial, quarterly and annual reports of his accounts and securities positions to Pacific Harbor's Chief Compliance Officer. Please see item 11 of Pacific Harbor's brochure for more information about our Code of Ethics.

Mr Alderige's advisory activities on behalf of Pacific Harbor are supervised by the Board of Directors of Pacific Harbor.

Board of Directors of Pacific Harbor can be contacted on +(852) 2167 0500.