



Form ADV Part 2B – Individual Disclosure Brochure

Gregory M. Wagner

Investment Adviser Representative
CRD #6713489

Novem Group
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Updated: 7/22/2021

This document provides information about Gregory M. Wagner that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Gregory M. Wagner is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Gregory M. Wagner
Born: 1990
Education: Nazareth College
Bachelor of Science in Business Administration, 2013

Business Background (past 5 years)

7/2021 – Present	Investment Adviser Representative Novem Group
7/2021 – Present	Registered Representative American Portfolios Financial Services, Inc.
6/2019 – 7/2021	Investment Adviser Representative Cetera Investment Advisers
6/2019 – 7/2021	Registered Representative Cetera Investment Services
11/2018 – 3/2020	Health Club Attendant Woodcliff Hotel & Spa
4/2019 – 6/2019	Investment Adviser Representative Foresters Advisory Services
4/2017 – 6/2019	Registered Representative Foresters Financial Services
10/2016 – 4/2017	Agent Foresters Financial Services
1/2014 – 10/2016	Assistant Manager Sherwin-Williams

Certifications

Series 6 – Investment Company Products/Variable Contracts Representative Examination
Series 7 (SIE) – General Securities Representative Examination (Securities Industry Essentials Examination)
Series 63 – Uniform Securities Agent State Law Examination
Series 65 – Uniform Investment Adviser Law Examination

Other Business Activities

Gregory M. Wagner is a Registered Representative of American Portfolios Financial Services, Inc., and a licensed insurance agent. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as

commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a Registered Representative or licensed insurance agent.

Additional Compensation

Gregory M. Wagner does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

Gregory M. Wagner works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Gregory M. Wagner adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.