



**Form ADV Part 2B – Individual Disclosure Brochure**

**Alec J. Quaid**

Investment Adviser Representative  
CRD #6425034

Novem Group  
4700 South Syracuse Street  
Suite 830  
Denver, CO 80237  
[aquaid@americanportfoliosdenver.com](mailto:aquaid@americanportfoliosdenver.com)  
303-850-4867

Updated: 8/31/2021

*This document provides information about Alec J. Quaid that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Alec J. Quaid is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Personal Information**

Name: Alec J. Quaid

Born: 1994

Education: Colorado State University  
Bachelor of Science in Finance, 2017

**Business Background (past 5 years)**

5/2019 – Present	Registered Assistant Novem Group
5/2019 – Present	Registered Assistant American Portfolios Advisors, Inc.
3/2019 – Present	Registered Assistant American Portfolios Financial Services, Inc.
1/2015 – 1/2019	Registered Representative/Agent Northwestern Mutual

**Certifications**

Series 6 – Investment Company and Variable Contracts Products Representative Examination  
SIE – Securities Industry Essentials Examination  
Series 7TO – General Securities Representative Examination  
Series 63 – Uniform Securities Agent State Law Examination  
Series 65 – Uniform Investment Adviser Law Examination

**Other Business Activities**

Alec J. Quaid is a Registered Assistant of American Portfolios Financial Services, Inc. and American Portfolios Advisors, Inc., and a licensed Insurance Agent through American Portfolios Denver. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in the capacities listed above.

Alec J. Quaid is the President of the Young Professionals Executive Committee of the Aurora Chamber of Commerce, a Partnership Committee Member of the Colorado Financial Planning Association, and the President of Business Network International.

**Additional Compensation**

Alec J. Quaid does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

**Supervision**

As an Investment Adviser Representative, Alec J. Quaid works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Alec J. Quaid adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures

outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory requirements.

**Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.