



Form ADV Part 2B – Individual Disclosure Brochure

Mackenzi E. Springer

Investment Adviser Representative
CRD #6939365

Novem Group
350 Linden Oaks, Suite 140
Rochester, NY 14625
mspringer@novemgroup.com
585-348-9525

Updated: 8/12/2021

This document provides information about Mackenzi E. Springer that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Mackenzi E. Springer is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Mackenzi E. Springer

Born: 1995

Education: St. John Fisher College
Bachelor of Arts in Media & Communications, 2017

Business Background (past 5 years)

2/2020 – Present	Investment Adviser Representative Novem Group
1/2020 – Present	Registered Assistant American Portfolios Financial Services, Inc.
4/2018 – 1/2020	Investment Assistant Novem Group
4/2018 – 1/2020	Non-Registered Assistant American Portfolios Financial Services, Inc.
2/2017 – 4/2018	Marketing Coordinator Studco Building Systems
9/2014 – 12/2017	Student St. John Fisher College
9/2016 – 4/2017	Spa Concierge Woodhouse Day Spa
8/2012 – 1/2017	Server Kobe Japanese Steak & Sushi

Certifications

SIE & S7TO – Securities Industry Essentials & Series 7 Top Off Examinations
Series 63 – Uniform Securities Agent State Law Examination
Series 65 – Uniform Investment Adviser Law Examination

Other Business Activities

Mackenzi E. Springer is a Registered Assistant of American Portfolios Financial Services, Inc.

Additional Compensation

Mackenzi E. Springer does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Mackenzi E. Springer works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Mackenzi E. Springer adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory

requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.