



Form ADV Part 2B – Individual Disclosure Brochure

Stephen T. Mitchell

Investment Adviser Representative
CRD #6320900

Novem Group
350 Linden Oaks, Suite 140
Rochester, NY 14625
(585) 348-9525
smitchell@novemgroup.com

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This document provides information about Stephen T. Mitchell that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Stephen T. Mitchell is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Stephen T. Mitchell

Born: 1993

Business Background (past 5 years)

7-2018 – Present	Novem Group Trading & Operations Associate
2/2017 – Present	American Portfolios Financial Services, Inc. Registered Assistant
2/2017 – 8/2018	American Portfolios Advisors, Inc. Registered Assistant
4/2014 – 7/2018	Masiello Retirement Solutions Administrative Assistant
6/2015 – 2/2017	Cetera Advisors, LLC Registered Representative

Certifications

Series 7	General Securities Representative Exam
Series 63	Uniform Securities Agent State Law Exam
Series 66	Uniform Combined State Law Exam

Other Business Activities

Stephen T. Mitchell is a Registered Assistant of American Portfolios Financial Services, Inc. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a Registered Representative.

Additional Compensation

Stephen T. Mitchell does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Stephen T. Mitchell works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Stephen T. Mitchell adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.