



Form ADV Part 2B – Individual Disclosure Brochure

Thomas K. Lyons

Investment Adviser Representative
CRD #6806224

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This document provides information about Thomas K. Lyons that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Thomas K. Lyons is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Thomas K. Lyons

Born: 1994

Education: Binghamton University
Bachelor of Arts in Economics, 2016

Business Background (past 5 years)

12/2017 – Present	Investment Adviser Representative Novem Group
5/2017 – Present	Operations Associate Novem Group
5/2017 – Present	Non-Registered Assistant American Portfolios Financial Services, Inc.
4/2017 – 5/2017	Accounting Associate Monro Muffler
10/2016 – 2/2017	Membership & Billing Quality Control Specialist Excellus BlueCross BlueShield
6/2016 – 8/2016	Inspector Packer Associate Thermo Fisher Scientific

Certifications

Series 65 Uniform Investment Adviser Law Exam

Other Business Activities

Thomas K. Lyons is a Non-Registered Assistant of American Portfolios Financial Services, Inc.

Additional Compensation

Thomas K. Lyons does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Thomas K. Lyons works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Thomas K. Lyons adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.