



**Form ADV Part 2B – Individual Disclosure Brochure**

**Thomas C. Burke**

Investment Adviser Representative  
CRD #1217162

Novem Group  
100 Linden Oaks  
Suite 205  
Rochester, NY 14625  
(585) 419-2312  
[tburke@novemgroup.com](mailto:tburke@novemgroup.com)

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*This document provides information about Thomas C. Burke that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Thomas C. Burke is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**Personal Information**

Name: Thomas C. Burke

Born: 1961

Education: Cornell University  
Bachelor of Science in Applied Economics, 1983

**Business Background**

6/2016 – Present Investment Adviser Representative  
Novem Group

12/2014 – Present Non-Registered Assistant  
American Portfolios Financial Services, Inc.

6/2015 – 6/2016 VP of New Business Development  
APFS Wealth Management, Inc.

7/2009 – 12/2014 Self-employed

1/2003 – 7/2009 Registered Representative  
American Portfolios Financial Services, Inc.

2/1995 – 12/2002 Registered Representative  
Nathan & Lewis Securities, Inc.

12/1994 – 12/2001 Representative  
Aetna Investment Services, LLC

10/1993 – 3/1994 Representative  
Aetna Investment Services, Inc.

12/1983 – 10/1993 Agent  
Aetna Life Insurance & Annuity Co.

**Certifications**

Series 65 Uniform Investment Adviser Law Examination

**Other Business Activities**

Thomas C. Burke is affiliated with American Portfolios Financial Services, Inc. as a Non-Registered Assistant and is a licensed insurance agent with APFS Rochester, Inc. From time to time, the representative may offer clients advice or products from the latter activity. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a registered representative or licensed insurance agent.

**Additional Compensation**

Thomas C. Burke does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

**Supervision**

As an Investment Adviser Representative, Thomas C. Burke works closely with their supervisor and all advice provided to clients and account-related transactions is reviewed by their supervisor. Thomas C. Burke adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory requirements.

**Disciplinary Information**

Reporting Source:	Individual
Firm Name:	American Portfolios Financial Services,
Inc. Product Type:	No Product
Termination Type:	Voluntary Resignation
Termination Date:	7/20/2009
Details:	The voluntary resignation was accepted while the representative's files were under review for alleged discrepancies in client signatures