



Form ADV Part 2B – Individual Disclosure Brochure

James Gilmore Wood

Investment Adviser Representative

CRD #863331

Novem Group
350 Linden Oaks, Suite 140
Rochester, NY 14625
(585) 348-9525
gil@novemgroup.com

Updated: 8/18/2021

This document provides information about James Gilmore Wood that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on James Gilmore Wood is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: James Gilmore (Gil) Wood

Born: 1949

Education: St. Lawrence University
Bachelor of Science in History

Business Background (past 5 years)

8/2015 – Present Investment Adviser Representative
Novem Group

8/2015 – Present Registered Representative
American Portfolios Financial Services, Inc.

Certifications

Series 7 General Securities Representative Exam
Series 63 Uniform Securities Agent State Law Exam
Series 66 Uniform Combined State Law Exam

Other Business Activities

Gil Wood is a Registered Representative of American Portfolios Financial Services, Inc., and a licensed Insurance Agent. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a Registered Representative or licensed Insurance Agent.

Gil Wood is the President of Gil Wood & Associates and engaged in business with iTrust Advisors, which are both involved in health and life insurance sales.

Additional Compensation

Gil Wood does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Gil Wood works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Gil Wood adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.