



Form ADV Part 2B – Individual Disclosure Brochure

Anthony L. Simonetti

Investment Adviser Representative

CRD #5592155

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This document provides information about Anthony L. Simonetti that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Amanda R. Esteves, Chief Compliance Officer of Novem Group, if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Anthony L. Simonetti is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Anthony L. Simonetti

Born: 1986

Education: Providence College
Bachelor of Science in Finance, 2008

Business Background (past 5 years)

2/2015 – Present Investment Adviser Representative
Novem Group

11/2014 – Present Investment Adviser Representative
American Portfolios Advisors, Inc.

10/2014 – Present Registered Representative
American Portfolios Financial Services, Inc.

Certifications

Series 7 General Securities Representative Exam
Series 66 Uniform Combined State Law Exam

Other Business Activities

Anthony L. Simonetti is a Registered Representative of American Portfolios Financial Services, Inc., an Investment Adviser Representative of American Portfolios Advisors, Inc., and a licensed insurance agent. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative.

Anthony L. Simonetti is engaged in business with AM Ginsburg Advisory Group, FIS Marketing LLC., KAFL, and Mass Mutual for life and health insurance products.

Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as a Registered Representative, Investment Adviser Representative, or licensed insurance agent.

Anthony L. Simonetti is the President of the Just Breathe Foundation, a charitable organization, and 25% owner of Adgreements, a web-based application designed to help college athletic departments with scheduling and game agreements. He is also a landlord/member of Rosepark Properties and receives investment income from apartment rentals.

Additional Compensation

Anthony L. Simonetti does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Anthony L. Simonetti works closely with their supervisor and all advice provided to clients and account-related transactions are reviewed by their supervisor. Anthony L. Simonetti adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all

policies and procedures outlined in the firm's Written Supervisory Procedures and Code of Ethics and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.