

*This brochure supplement provides information about Elizabeth DeWan that supplements the WMG Financial Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Elizabeth DeWan if you did not receive WMG Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Elizabeth DeWan is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

**WMG Financial Advisors, LLC**  
Form ADV Part 2B – Individual Disclosure Brochure  
*for*  
**Elizabeth DeWan**  
Personal CRD Number: 5594340  
Investment Adviser Representative

WMG Financial Advisors, LLC  
620 Mabry Hood Road Suite 101  
Knoxville, TN 37932  
(865) 288-5001  
[edewan@wmgfa.com](mailto:edewan@wmgfa.com)

UPDATED: 05/15/2019

## **Item 2: Educational Background and Business Experience**

**Name:** Elizabeth DeWan

**Born:** 1986

### **Educational Background and Professional Designations:**

#### **Education:**

BS Business, University of Tennessee - 2008

#### **Business Background:**

04/2019 - Present

Investment Adviser Representative  
WMG Financial Advisors, LLC

06/2008 - Present

Vice-President, Administration  
WMG Financial Advisors, LLC

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Elizabeth DeWan is a registered representative of ProEquities, Inc., a registered broker-dealer; however, she does not act in a sales capacity and does not offer products or services to advisory clients from this activity.

## **Item 5: Additional Compensation**

Elizabeth DeWan does not receive any economic benefit from any person, company, or organization, other than WMG Financial Advisors, LLC in exchange for providing clients advisory services through WMG Financial Advisors, LLC.

## Item 6: Supervision

As a representative of WMG Financial Advisors, LLC, Elizabeth DeWan is supervised by D. William Bergner, the firm's Chief Compliance Officer. D. William Bergner is responsible for ensuring that Elizabeth DeWan adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for D. William Bergner is (865) 288-5000.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Elizabeth DeWan has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Elizabeth DeWan has NOT been the subject of a bankruptcy.