

*This brochure supplement provides information about Hans Frederick Smith that supplements the One Day In July LLC brochure. You should have received a copy of that brochure. Please contact Hans Frederick Smith if you did not receive One Day In July LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Hans Frederick Smith is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **One Day In July LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Hans Frederick Smith**

Personal CRD Number: 6322329

Investment Advisor Representative

One Day In July LLC  
77 College Street, #3A  
Burlington, VT 05401  
(802)-881-9020  
[dan@onedayinjuly.com](mailto:dan@onedayinjuly.com)

UPDATED: 08/22/2019

## **Item 2: Educational Background and Business Experience**

**Name:** Hans Frederick Smith      **Born:** 1981

### **Educational Background and Professional Designations:**

#### **Education:**

Bachelor of Arts of Economics – University of Vermont - 2003

#### **Business Background:**

01/2017 - Present	Investment Advisor Representative One Day In July LLC
05/2014 – 12/2016	Investment Advisor Representative Thornton Investment Management LLC

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of Hans Frederick Smith.

## **Item 4: Other Business Activities**

None.

## **Item 5: Additional Compensation**

Hans Frederick Smith does not receive any economic benefit from any person, company, or organization, other than One Day In July LLC, in exchange for providing clients advisory services through One Day In July LLC.

## **Item 6: Supervision**

Hans Frederick Smith adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. One Day in July LLC's code of ethics and compliance manual is the guiding force behind the firm's supervisory functions and is subject to inspection by regulatory bodies having jurisdiction over the firm and its representatives' investment advisory activities. Daniel P. Cunningham, Chief Compliance Officer, who can be reached by telephone at (802) 881-9020, is responsible for supervising Hans Frederick Smith's advisory services on behalf of One Day in July LLC. Periodic meetings are held between Hans Frederick Smith and Daniel P. Cunningham to review and discuss overall portfolio positioning, investment strategy, financial planning strategies and approaches, and firm security measures.

## **Item 7: Requirements for State Registered Advisors**

Item 7 does not apply to One Day In July LLC.