

This brochure supplement provides information about Rachel Sloan that supplements the Sloan Advisory Group, Inc. brochure. You should have received a copy of that brochure. Please contact Rachel Sloan if you did not receive Sloan Advisory Group, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Rachel Sloan is also available on the SEC's website at www.adviserinfo.sec.gov.

Sloan Advisory Group, Inc.

Form ADV Part 2B – Individual Disclosure Brochure

for

Rachel Sloan

Personal CRD Number: 2412421

Investment Adviser Representative

Sloan Advisory Group, Inc.

12 E. Washington Street

Glens Falls, NY 12801

(888) 978-8880

Rachel@sloanadvisorygroup.com

UPDATED: 2/13/2019

Item 2: Educational Background and Business Experience

Name: Rachel Sloan

Born: 1969

Educational Background and Professional Designations:

Education:

Bachelor of Science Business Administration, University at Albany - SUNY - 1992

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

CRPC® – Chartered Retirement Planning Counselor

Individuals who hold the CRPC® designation have completed a course of study encompassing pre-and post-retirement needs, asset management, estate planning and the entire retirement planning process using models and techniques from real client situations. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations.

All designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process.

Designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

Business Background:

04/2009 - Present	President Sloan Advisory Group, Inc.
09/2014 – 07/2016	Investment Adviser Representative/ Registered Representative Voya Financial Advisors, Inc. (formerly ING Financial Partners, Inc.)
04/2009 - 09/2014	Investment Adviser Representative/Registered Representative ING Financial Partners, Inc.
11/2005 - 04/2009	Financial Advisory Merrill Lynch, Pierce, Fenner & Smith Incorporated

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Rachel Sloan is an independent licensed insurance agent, however she does not engage in commission sales.

Rachel Sloan is designer and creator of a trademarked financial planning process called Journey Through Retirement®. This is marketed towards financial planners to adopt in their own practice to enhance the client engagement and experience.

Rachel Sloan is a committee member of the Adirondack Mountain Club's Legacy Planning Committee.

Item 5: Additional Compensation

Rachel Sloan does not receive any economic benefit from any person, company, or organization, other than Sloan Advisory Group, Inc. in exchange for providing clients advisory services through Sloan Advisory Group, Inc..

Item 6: Supervision

As the Chief Compliance Officer of Sloan Advisory Group, Inc., Rachel Sloan supervises all activities of the firm. Rachel Sloan's contact information is on the cover page of this disclosure document. Rachel Sloan adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.