

Item 1 Cover Page

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Chano Arellano

**Whitney-Kuhn, LLC dba
Kuhn Capital Partners**

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This brochure supplement provides information about Chano Arellano and supplements the Whitney-Kuhn, LLC brochure dba Kuhn Capital Partners. You should have received a copy of that brochure. Please contact Chano Arellano if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Chano Arellano (CRD #1521344) is available on the SEC's website at www.adviserinfo.sec.gov.

**NOVEMBER 15,
2019**

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

Chano Arellano

- Year of birth: 1961
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Item 2 - Educational Background and Business Experience

Educational Background:

- No Post-Secondary Education

Business Experience:

- Whitney-Kuhn, LLC; Investment Advisor Representative; 06/2018-Present
 - Chano Arellano, Sole Proprietor; Insurance Agent; 05/1998-Present
 - Building Equities; Insurance Agent; 07/1995-Present
 - Unemployed; 08/2017-05/2018
 - Calton & Associates, Inc.; Investment Advisor Representative; 05/2015-07/2017
 - Calton & Associates, Inc.; Registered Representative; 01/2015-07/2017
 - Client One Securities; Investment Advisor Representative; 11/2014-12/2014
 - Client One Securities; Registered Representative; 02/2014-12/2014
 - Ausdal Financial Partners, Inc.; Registered Representative; 07/2010-02/2014
 - LPL Financial Corporation; Registered Representative; 09/2009-07/2010
 - Waterstone Financial Group, Inc.; Registered Representative; 10/2004-09/2009
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Item 3 - Disciplinary Information

- A. Mr. Arellano has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:
1. Was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. Was found to have been involved in a violation of an investment-related statute or regulation; or
 4. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
- B. Mr. Arellano never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;

2. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority
 - (a) denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
 - C. Mr. Arellano has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
 1. Was found to have caused an investment-related business to lose its authorization to do business; or
 2. Was found to have been involved in a violation of the SRO's rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.
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Item 4 - Other Business Activities Engaged In

Chano Arellano has a financial affiliated business as an independent insurance agent. Less than 60% of his time is spent on this activity. He will offer Clients services from this activity. As an insurance agent, he may receive separate yet typical compensation.

This practice represents a conflict of interest because it gives an incentive to recommend products based on the commission amount received. This conflict is mitigated by disclosures, procedures and the firm's fiduciary obligation to place the best interest of the Client first and the Clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

Item 5 - Additional Compensation

Chano Arellano receives commissions on the insurance he sells.

Item 6 - Supervision

Andrew Kuhn is the Chief Compliance Officer of Whitney-Kuhn, LLC. Mr. Kuhn reviews Mr. Arellano's work through Client account reviews and quarterly personal transaction reports, as well as face-to-face and phone interactions. Mr. Kuhn can be reached at Andrew@kuhnpc.com or 972-591-6514.

Item 7 - Requirements for State-Registered Advisors

- A. Mr. Arellano has not been involved in any of the following:
 1. an arbitration claim alleging damages in excess of \$2,500 involving any of the following:
 - a) An investment or an investment-related business or activity;
 - b) Fraud, false statement(s) or omissions;
 - c) Theft, embezzlement or other wrongful taking of property;
 - d) Bribery, forgery, counterfeiting, or extortion;
 - e) Dishonest, unfair or unethical practices.
 2. been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) An investment or an investment-related business or activity;

- b) Fraud, false statement(s) or omissions;
- c) Theft, embezzlement or other wrongful taking of property;
- d) Bribery, forgery, counterfeiting, or extortion;
- e) Dishonest, unfair or unethical practices.

Mr. Arellano has never been the subject of a bankruptcy petition.