

Item 1 Cover Page

**SUPERVISED PERSON BROCHURE**  
FORM ADV PART 2B

Geoffrey Gannon

**Whitney-Kuhn, LLC dba  
Focused Compounding Capital  
Management**

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This brochure supplement provides information about Geoffrey Gannon and supplements the Whitney-Kuhn, LLC dba Kuhn Capital Partners brochure. You should have received a copy of that brochure. Please contact Geoffrey Gannon if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Geoffrey Gannon (CRD #6995477) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**OCTOBER 23, 2019**

## **Brochure Supplement (Part 2B of Form ADV)**

### **Supervised Person Brochure**

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#### **Geoffrey Gannon**

- Year of birth: 1985
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#### **Item 2 - Educational Background and Business Experience**

##### **Educational Background:**

- Hamilton College; General Studies; Attended 2004-2005
- Raritan Valley Community College; General Studies; Attended 2003-2004

##### **Business Experience:**

- Whitney-Kuhn, LLC; Investment Advisor Representative; 08/2018-Present
  - GuruFocus; Freelance Writer; 07/2016-08/2018
  - Singular Diligence; Journalist; 06/2012-07/2016
  - GuruFocus; Journalist; 02/2012-06/2012
  - Self-Employed; 08/2008-02/2012
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#### **Item 3 - Disciplinary Information**

- A. Mr. Gannon has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:
1. Was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
  2. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
  3. Was found to have been involved in a violation of an investment-related statute or regulation; or
  4. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
- B. Mr. Gannon never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;
  2. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority (a) denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.

- C. Mr. Gannon has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or
  2. Was found to have been involved in a violation of the SRO's rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.

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**Item 4 - Other Business Activities Engaged In**

Geoffrey Gannon does not have any outside business activities to disclose.

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**Item 5 - Additional Compensation**

Geoffrey Gannon does not have any additional compensation to disclose.

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**Item 6 - Supervision**

Andrew Kuhn is the Chief Compliance Officer of Whitney-Kuhn, LLC. Mr. Kuhn reviews Mr. Gannon's work through Client account reviews and quarterly personal transaction reports, as well as face-to-face and phone interactions. Mr. Kuhn can be reached at [Andrew@kuhnncp.com](mailto:Andrew@kuhnncp.com) or 972-591-6514.

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**Item 7 - Requirements for State-Registered Advisors**

A. Mr. Gannon has not been involved in any of the following:

1. an arbitration claim alleging damages in excess of \$2,500 involving any of the following:
  - a) An investment or an investment-related business or activity;
  - b) Fraud, false statement(s) or omissions;
  - c) Theft, embezzlement or other wrongful taking of property;
  - d) Bribery, forgery, counterfeiting, or extortion;
  - e) Dishonest, unfair or unethical practices.
2. been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - a) An investment or an investment-related business or activity;
  - b) Fraud, false statement(s) or omissions;
  - c) Theft, embezzlement or other wrongful taking of property;
  - d) Bribery, forgery, counterfeiting, or extortion;
  - e) Dishonest, unfair or unethical practices.

Mr. Gannon has never been the subject of a bankruptcy petition.