



EAGLE BAY ADVISORS

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# Disclosure BROCHURE SUPPLEMENTS (ADV PART 2B) March 30, 2019

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40 Wall Street 53<sup>rd</sup> floor  
New York, NY 10005

212.634.7879

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# BROCHURE SUPPLEMENT (ADV PART 2B)

Michael D. Nelson

40 Wall Street 53<sup>rd</sup> floor  
New York, NY 10005

212.634.7879

This Brochure Supplement provides information about Michael D. Nelson that supplements the Disclosure Brochure of Eagle Bay Advisors LLC (hereinafter “Eagle Bay”), a copy of which you should have received. Please contact Eagle Bay’s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Michael D. Nelson is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# Michael D. Nelson

## **Item 2. Educational Background and Business Experience**

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Born 1965

### **Post-Secondary Education**

Lewis & Clark Law School | J.D., Corporate and Tax Law | 1995 California  
State University, Long Beach | B.S., Finance | 1990

### **Recent Business Background**

Eagle Bay Advisors LLC | Chief Executive Officer and Managing Partner | June 2015 – Present Executive  
Monetary Management, LLC | Executive Vice President | June 2009 – June 2016

### **Professional Designation**

Michael D. Nelson holds the professional designation of Chartered Financial Analyst (“CFA”).

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

For additional information about this credential, please refer directly to the website of the issuing organization.

## **Item 3. Disciplinary Information**

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Eagle Bay is required to disclose information regarding any legal or disciplinary events material to a client’s evaluation of Michael D. Nelson. Eagle Bay has no information to disclose in relation to this Item.

## **Item 4. Other Business Activities**

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Eagle Bay is required to disclose information regarding any investment-related business or occupation in which Michael D. Nelson is actively engaged. Eagle Bay has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

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Eagle Bay is required to disclose information regarding any arrangement under which Michael D. Nelson receives an economic benefit from someone other than a client for providing investment advisory services. Eagle Bay has no information to disclose in relation to this Item.

## **Item 6. Supervision**

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Michael D. Nelson is the Chief Executive Officer and Managing Partner of Eagle Bay and is generally responsible for his own supervision. Michael D. Nelson seeks to ensure that investments are suitable for his individual clients and consistent with their individual needs, goals, objectives and risk tolerance, as well as any restrictions requested by Eagle Bay’s clients.

# BROCHURE SUPPLEMENT (ADV PART 2B)

David Neubert

40 Wall Street 53<sup>rd</sup> floor  
New York, NY 10005

212.634.7879

This Brochure Supplement provides information about David Neubert that supplements the Disclosure Brochure of Eagle Bay Advisors LLC (hereinafter “Eagle Bay”), a copy of which you should have received. Please contact Eagle Bay’s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about David Neubert is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. Educational Background and Business Experience**

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Born 1965

### **Post-Secondary Education**

Harvard University Kennedy School of Government | Master in Public Policy – International Finance | 1990  
Massachusetts

Pitzer College | Honors BA Economics (minor: Math) | 1988

### **Recent Business Background**

Eagle Bay Advisors LLC | Partner | April 2016 – Present

Scout Trading | Portfolio Manager - Quantitative Strategies | 2013-2014

Kapital Inc | Online Broker | Co-founder | 2007-2013

Lehman Brothers | Managing Director - Head of Equity Trading Strategy and Technology | 2003-2005

Morgan Stanley | Managing Director – Head of Global Equity Portfolio Trading | New York, London, Sao Paolo, Brazil | 1993-2003

### **Professional Designation**

N/A

## **Item 3. Disciplinary Information**

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Eagle Bay is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of David Neubert. Eagle Bay has no information to disclose in relation to this Item.

## **Item 4. Other Business Activities**

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Eagle Bay is required to disclose information regarding any investment-related business or occupation in which Michael D. Nelson is actively engaged. Eagle Bay has no information to disclose in relation to this Item.

## **Item 5. Additional Compensation**

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Eagle Bay is required to disclose information regarding any arrangement under which Michael D. Nelson receives an economic benefit from someone other than a client for providing investment advisory services. Eagle Bay has no information to disclose in relation to this Item.

## **Item 6. Supervision**

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David reports to Mr. Michael D. Nelson the Chief Executive Officer and Managing Partner of Eagle Bay. Mr. Nelson can be reached at 212-634-7879. David's activities are also monitored by Eagle Bay's compliance and supervisory structure. In addition Mr. Nelson monitors the management of client accounts