

**FORM ADV Uniform Application for Investment Adviser Registration  
Part 2B: Brochure Supplements  
Investment Adviser Representatives and/or Supervised Persons:  
Supplemental Information  
Item 1: Cover Page**

## **Lee Sterling**

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Suite 622  
Red Bank, NJ 07701  
(212) 402-2660

[www.SterlingWA.com](http://www.SterlingWA.com)

SEC File # 801-105478  
Firm CRD# 269892

March 5, 2019

*This brochure supplement provides information the above named representatives that supplements the Sterling Wealth Advisors, LLC Form ADV Part 2A which has been provided for your review. Should you have any questions about this supplement, or if you have not received the Form ADV Part 2A please contact the firm immediately.*

*The information in this brochure supplement has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Please note, where this brochure supplement may use the terms "registered investment adviser" and/or "registered", registration itself does not imply a certain level of skill or training.*

*Additional information about the firm and its representatives is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)*

## **Item 2 : Education and Background and Business Experience**

**Individual Full Name, Title or Designation:** Lee Sterling, Member & CCO

**Year Born:** January 1986

**Education:**

- George Washington University, B.A.

**Licenses/Professional Designations:**

- Series 7, 63 examinations

**Business Background:**

- 05/2015 to Present, Sterling Wealth Advisors, LLC., Member & CCO
- 08/2012 to Present, Tribal Capital Markets, LLC., Director
- 01/2011 to 08/2012, Cantor Fitzgerald & Co., Director
- 09/2008-01/2011 Braver Stern Securities LLC., Director

## **Item 3 : Disciplinary Information**

Mr. Sterling does not have any legal, civil, criminal, regulatory, or disciplinary history to be reported at this time.

## **Item 4 : Other Business Activities**

Mr. Sterling is separately licensed as a registered representative with Tribal Capital Markets, LLC ("Tribal"), a FINRA registered broker-dealer. In this capacity, Mr. Sterling may recommend securities offered as part of your investment portfolio. If you purchase these securities through Tribal, Mr. Sterling will receive the customary commission or a portion of the mark-up/mark-down in his separate capacity as a registered representative. Investment advisory accounts of SWA do not execute trades through Tribal.

## **Item 5 : Additional Compensation**

Mr. Sterling receives compensation for services he provides to Tribal Capital Markets, LLC and to SWA.

## **Item 6 : Supervision**

David Mangone is responsible for the supervision of Mr. Lee Sterling. This supervision extends to reviewing the business practices and services Mr. Lee Sterling provides to SWA. Questions related to the activities of Mr. Lee Sterling may be directed to Mr. David Mangone at the phone number listed on the cover of this brochure supplement.

## **Item 7 Requirements for State Registered Advisers**

Lee Sterling does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.