



Domus Capital Group, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Carol A. Bates, CFP®

Personal CRD Number: 5189692

Investment Adviser Representative

Version Date: March 26, 2019

This brochure supplement provides information about Carol Anne Bates that supplements the Domus Capital Group LLC brochure. You should have received a copy of that brochure. Please contact Carol Anne Bates if you did not receive Domus Capital Group LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Carol Anne Bates is also available on the SEC's website at www.adviserinfo.sec.gov.

6639 Bay Laurel Drive
Suite B
Avila Beach, CA 93424
cbates@domuscapgroup.com
<https://www.domuscapgroup.com>

Item 2: Educational Background and Business Experience

Name: Carol Anne Bates

Year of Birth: 1985

Educational Background and Professional Designations:

Education:

- 2007: California Polytechnic State University; Bachelor of Arts in Business

Business Background:

- 11/2012 – Present Domus Capital Group, LLC; Investment Advisor
- 02/2016 – Present Purshe Kaplan Sterling Investments (PKS);
Registered Representative
- 11/2012 – 01/2016 Mutual Securities; Registered Representative
- 05/2009 – 11/2012 Wells Fargo Advisors, LLC; Registered Representative
- 03/2009 – 05/2009 Wachovia Securities, LLC; Registered Client Associated
- 09/2007 – 03/2009 UBS Financial Services, Inc.; CSA
- 05/1998 – 09/2007 Student

Exams, Licenses & Other Professional Designations:

- 2008: Series 7 & Series 66 Exams
- 2012: CA Insurance Licenses (Life; Variable Contracts)
- 2013: Series 24 Exam
- 2016: Certified Financial Planner™

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Ms. Bates is a registered representative of Purshe Kaplan Sterling Investments (PKS), member FINRA/SIPC. She may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Ms. Bates may earn and may not necessarily be in the best interests of the Client.

Non-Investment Related Activities:

Ms. Bates is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of her time.

Ms. Bates is a licensed insurance agent. She may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation Ms. Bates and/or other supervised persons may earn, which may not necessarily be in the best interests of the Client.

Ms. Bates does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 5: Additional Compensation

Ms. Bates does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6: Supervision

David Panagrossi Chief Compliance Officer of Domus Capital Group, LLC, co-supervises and monitors Carol A. Bates's activities on a regular basis. Mr. Panagrossi reviews all outgoing correspondence for written financial advice that Ms. Bates provides to clients. Please contact Mr. Panagrossi if you have any questions about Carol Bates's brochure supplement. Mr. Panagrossi can be reached at: (805) 476-7999.