



BROCHURE SUPPLEMENT

FORM ADV, PART 2B

EMILY A.H. SMITH

CRD# 6106606

DAVINCI FINANCIAL DESIGNS LLC dba DAVINCI FINANCIAL DESIGNS

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FIRM CRD: 163362

JAMES S. AGOSTINI, CHIEF COMPLIANCE OFFICER

September 3, 2019

This brochure supplement provides information about Mrs. Smith that supplements our brochure. You should have received a copy of that brochure. Please contact Mrs. Smith if you did not receive DaVinci Financial Designs' brochure or if you have any questions about the contents of this supplement.

Additional information about Mrs. Smith is available on the SEC's website at www.adviserinfo.sec.gov.

**CORPORATE OFFICE: 5301 N. TRENHOLM ROAD, SUITE A
COLUMBIA, SC 29206
803-741-0134**

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EMILY A. H. SMITH

Born in 1987

Educational Background:

- Christopher Newport University; Bachelor's of Science; 2010

Business Background:

- 12/2015 – Present DaVinci Financial Designs LLC; Investment Adviser
- 12/2015 – Present LPL Financial; Registered Representative
- 08/2012 – 12/2014 First Command Financial Planning; Investment Adviser

Licenses, Exams and Other Professional Designations

- Series 6 and 63 held with LPL Financial
- Series 65 held with DaVinci Financial Designs
- Virginia life, health, and accident resident insurance license

Disciplinary Information

There are no legal or disciplinary events material to your evaluation of Emily Smith. We are required to disclose all material facts regarding those events.

Other Business Activities

Emily Smith is a registered representative of LPL Financial Corporation, member FINRA/SIPC and an agent for various insurance companies. As such, the Adviser is paid separate, yet customary commissions from LPL Financial Corporation, third party investment management companies, and issuing insurance company when you implement a recommendation to purchase a security investment or an insurance product. Because the compensation we receive from these organizations vary, we may have an incentive to direct you to investments, products, and/or third party money managers who will pay higher compensation and/or share of its advisory services fees. You, however, are not under any obligation to engage your Adviser when considering implementing any recommendation to purchase a security or insurance. Implementing any or all of your Adviser's recommendations is solely at your discretion.

You should be aware that when an investment advisor receives compensation from other companies, it creates a conflict of interest that may impair the objectivity of your Adviser when making advisory recommendations. For example, your Adviser receives a portion of the advisory fee that you pay us, either directly as a percentage of your overall fee or as the Adviser's compensation from our firm. In cases where your Adviser is paid a percentage of your overall advisory fee, this may

create an incentive to recommend that you participate in a wrap fee program rather than a non-wrap fee program (where you would pay for trade execution costs) or brokerage account where commissions are charged. This is because, in some cases, we may stand to earn more compensation from advisory fees paid to us through a wrap fee program arrangement if your account is not actively traded.

DaVinci Financial Designs endeavors at all times to put the interest of its clients first as part of our fiduciary duty as a registered investment adviser; we take the following steps to address this conflict:

- we disclose to you the existence of all material conflicts of interest, including the potential for our firm and Advisers to be compensated from advisory clients in addition to our firm's advisory fees;
- we disclose to you that you are not obligated to implement your Adviser's recommendations by purchases of recommended investment and/or insurance products through our Advisers;
- we collect, maintain and document accurate, complete and relevant client background information, including your financial goals, objectives and risk tolerance;
- our firm's management conducts regular reviews of each client account to verify that all recommendations made to you are suitable to your needs and circumstances;
- we require that our Advisers seek prior approval of any outside employment activity so that we may ensure that any conflicts of interests in such activities are properly addressed;
- we periodically monitor these outside employment activities to verify that any conflicts of interest continue to be properly addressed by our firm;
- we educate our employees regarding the responsibilities of a fiduciary, including the need for having a reasonable and independent basis for the investment advice provided to you.

We refer you to the following sections of our Firm Brochure for more detailed information regarding our affiliations with certain parties: "Third Party Money Managers" under "About Our Advisory Services" and "Fees."

Additional Compensation

It is our policy not to engage or pay anyone for referring potential clients to our firm. To the extent that they are in compliance with law and regulations relating to client referrals, your Adviser may participate in various marketing programs but the Adviser does not get paid a fee for any lead or solicitation of a potential client. Emily Smith is paid by sponsoring mutual fund companies for providing advisory services to benefit employers and employees at no fee for our services and we receive advisory fees from third party money managers to whom we refer clients. Both of these circumstances are described in greater detail under "About Our Advisory Services" in our Firm Brochure.

Emily Smith may also be compensated by LPL Financial Corporation, our broker-dealer, in different ways, for example, payments based on production, reimbursements of fees we pay to LPL Financial Corporation for items such as administrative services, and other things of value such as free or reduced-costs marketing materials, payments in connection with the transition of an Adviser's association from another investment advisor and/or broker dealer to us and LPL Financial Corporation, or attendance at LPL conferences and events. These payments are typically received by an Adviser based on the Adviser's overall production and/or on the amount of assets serviced by LPL as broker dealer. As a result of this compensation, your Adviser may have a financial incentive to recommend some services or programs to you.

Emily Smith may benefit when LPL Financial Corporation or a mutual fund company, without cost and/or at a discount, provides us support services and/or products, to assist us to better monitor and service client accounts maintained at such institutions. Included within the support services your Adviser may receive investment-related research, pricing information and market data, computer hardware and/or software and other technology to assist in the investment advisory business operations, compliance and/or practice management-related publications, consulting services, attendance at conferences, meetings, and other educational and/or social events, and marketing support.

You do not pay more for investment transactions effected and/or assets maintained at LPL Financial Corporation as a result of these arrangements. There is no commitment made by us to LPL Financial Corporation or any other institution as a result of the above arrangement.

Supervision

Jim Agostini is a principal of DaVinci Financial Designs and as such supervises and monitors Emily Smith's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Agostini if you have any questions about Emily Smith's brochure supplement at (803) 741-0134.