



Form ADV Part 2B
Disclosure Brochure Supplement

CRD number: 162785

August 29, 2019

Item 1 – Cover Page



Jeffrey J. Spitzmiller, CFA
Chief Executive Officer & Chief Investment Officer

212 East Third Street, Suite 100

Cincinnati, OH 45202

Telephone: 513.721.6089

Online: www.queencitycapitalmanagement.com

August 29, 2019

This Brochure Supplement provides information about Jeffrey J. Spitzmiller that supplements the Queen City Capital Management, LLC's (QCCM) Group Brochure. You should have received a copy of that brochure. Please contact Matt Swendiman, Chief Compliance Officer, at 513.562.1675, or at mswendiman@keybridgecompliance.com, if you did not receive QCCM's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffrey J. Spitzmiller is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Jeffrey J. Spitzmiller, CFA
Chief Executive Officer & Chief Investment Officer

Year of Birth: 1971

Education: Miami University, Bachelor's Degree in Business Administration: 1994; Boston University, Master's Degree in Banking and Financial Services: 2007

Business Experience:

- April 2012 to Present: Queen City Capital Management, LLC, Chief Executive Officer & Chief Investment Officer
- June 2007 to April 2012: Brecek and Young Advisors, Inc., Chief Investment Officer
- July 2003 to June 2007: Brecek and Young Advisors, Inc., Chief Investment Strategist

Professional Designations:

CFA®; Chartered Financial Analyst (2000)

Item 3 – Disciplinary Information

We are obligated to disclose any disciplinary event that would be material to you when evaluating Mr. Spitzmiller. We do not have any legal or other disciplinary items to report to you.

Item 4 – Other Business Activities

Please refer to Form ADV Part 2A - *Item 10: Other Financial Industry Activities and Affiliations* for additional information.

Item 5 – Additional Compensation

Mr. Spitzmiller does not receive any compensation or economic benefit from sources outside of QCCM for providing advisory services.

Item 6 – Supervision

Chief Compliance Officer, Matt Swendiman, generally supervises Mr. Spitzmiller by reviewing the processes and controls in place for the discretionary investment management responsibilities that he executes for clients. Mr. Swendiman can be reached by telephone at 513.562.1675 or by email at mwendiman@keybridgecompliance.com.

Item 1 – Cover Page



Christopher C. Hais
Portfolio Counselor

212 East Third Street, Suite 100

Cincinnati, OH 45202

Telephone: 513.721.6089

Online: www.queencitycapitalmanagement.com

August 29, 2019

This Brochure Supplement provides information about Christopher C. Hais that supplements the Queen City Capital Management, LLC's (QCCM) Group Brochure. You should have received a copy of that brochure. Please contact Matt Swendiman, Chief Compliance Officer, at 513.562.1675, or at mswendiman@keybridgecompliance.com, if you did not receive QCCM's Brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher C. Hais is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Christopher C. Hais
Portfolio Counselor

Year of Birth: 1988

Education: University of Cincinnati, Bachelor's Degree in Business Administration - Finance:
2012

Business Experience:

- April 2014 to Present: Queen City Capital Management, LLC, Portfolio Counselor
- July 2012 to April 2014: Great American Insurance, Associate Business Analyst

Professional Designations/Licenses:

FINRA Series 24 - General Securities Principal (2018)
CFP®; Certified Financial Planner Board of Standards, Inc. (2017)
Ohio Insurance Producer/Agent (2017)
FINRA Series 7 - General Securities Representative Examination (2016)
FINRA Series 66 - Uniform Combined (Series 63 & 65) State Law Examination (2016)

Item 3 – Disciplinary Information

We are obligated to disclose any disciplinary event that would be material to you when evaluating Mr. Hais. We do not have any legal or other disciplinary items to report to you.

Item 4 – Other Business Activities

Mr. Hais is engaged in the following investment-related business activities:

- Mr. Hais is Registered Representative and Registered Principal of Securities America, Inc., a registered broker/dealer with the SEC and member of FINRA.
- Mr. Hais is an investment adviser representative of Securities America Advisors, Inc., a registered investment adviser with the SEC, and an affiliate of Securities America, Inc.

Mr. Hais is a licensed insurance Producer/Agent with various insurance companies.

Please refer to Form ADV Part 2A - *Item 10: Other Financial Industry Activities and Affiliations* for additional information.

Item 5 – Additional Compensation

Mr. Hais may receive compensation in connection with his license as an independent insurance Producer/Agent, but he does not receive any additional economic benefit from sources outside of QCCM for providing advisory services.

Item 6 – Supervision

Chief Compliance Officer, Matt Swendiman, generally supervises Mr. Hais by reviewing the processes and controls in place for the discretionary investment management responsibilities that he executes for clients. Mr. Swendiman can be reached by telephone at 513.562.1675 or by email at mswendiman@keybridgecompliance.com.

Explanation of Designations

The Chartered Financial Analyst® (CFA) designation is a professional certification issued by the CFA Institute to qualified financial analysts who: (i) have a bachelor's degree and four years of qualified investment work experience [full time, but not necessarily investment related]; (ii) complete a self-study program (250 hours of study for each of the three levels); (iii) successfully complete a series of three six-hour exams; and (iv) pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The Certified Financial Planner™ (CFP®) and certification marks are financial planning credentials awarded by Certified Financial Planner Board of Standards Inc. (CFP Board) to individuals who meet education, examination, experience and ethics requirements.

Series 7 License - The general securities registered representative license administered by the Financial Industry Regulatory Authority (FINRA) that entitles the holder to sell all types of securities products with the exception of commodities and futures.

Series 24 License The securities license entitling the holder to supervise and manage branch activities. Before taking the Series 24 exam, a candidate must pass a prerequisite exam, the Series 7 being one example. The Series 24 exam is administered by the Financial Industry Regulatory Authority (FINRA) and covers topics such as corporate securities, real estate investment trusts, trading, customer accounts and regulatory guidelines.

Series 66 License - The Uniform Combined State Law Examination also called the Series 66 exam is developed by the North American Securities Administrators Association (NASAA) and is designed to qualify candidates as both securities agents and investment adviser representatives. The Uniform Combined State Law Examination consists of 100 multiple-choice questions and 10 pretest questions.