

This brochure supplement provides information about Douglas Castro that supplements the ADC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Douglas Castro, Managing Member if you did not receive ADC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

ADC Wealth Management, LLC
Form ADV Part 2B – Individual Disclosure Brochure

for

Douglas Castro
Personal CRD Number: 2263200
Investment Adviser Representative

ADC Wealth Management, LLC
30 D Broadway, Suite 200
Massapequa, New York, 11758
(516) 308-7514
(516) 308-7517 - Fax

douglas@adcwealth.com

UPDATED: 1/14/2019

Item 2: Educational Background and Business Experience

Name: Douglas Castro

Born: 1970

Education Background and Professional Designations:

Education:

Douglas Castro has no formal education after high school.

Business Background:

09/2011 – Present

Managing Member
ADC Wealth Management, LLC

09/1993 – 12/2011

Private Client Consultant/Registered Rep.
Charles Schwab and Co.

Item 3: Disciplinary Information

Douglas Castro settled an employment suit by Charles Schwab & Co for \$350,000 with payments spread over following 10 years

Item 4: Other Business Activities

Douglas Castro is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, Douglas Castro does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through ADC Wealth Management, LLC.

Item 6: Supervision

As the only owner and representative of ADC Wealth Management, LLC, Douglas Castro supervises all duties and activities of the firm. Douglas Castro's contact information is on the cover page of this disclosure document. Douglas Castro adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.