



Form ADV Part 2B – Individual Disclosure Brochure

Dan F. Dwyer

Investment Adviser Representative
CRD #4404821

Novem Group
350 Linden Oaks, Suite 140
Rochester, NY 14625
(585) 348-9543
ddwyer@novemgroup.com

Updated: 1/30/2019

This document provides information about Dan F. Dwyer that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Robert J. Bartolotta, President and Chief Compliance Officer of Novem Group if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Dan F. Dwyer is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Dan F. Dwyer

Born: 1954

Education: Cornell University
Bachelor of Science, 1976

Business Background (past 5 years)

1/2019 – Present	Investment Adviser Representative Novem Group
4/2003 – Present	Proprius Worth Management Owner
1/2016 – 1/2019	Investment Adviser Representative American Portfolios Advisors, Inc.
5/2015 – 1/2019	Registered Representative American Portfolios Financial Services, Inc.

Certifications

Series 7	General Securities Representative Exam
Series 66	Uniform Combined State Law Exam

Other Business Activities

Dan F. Dwyer is a licensed insurance agent. From time to time, the representative may offer clients advice or products from these activities. Clients should be aware that these services may pay a commission and may involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of an Investment Adviser Representative. Novem Group always acts in the best interest of the client including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any Investment Adviser Representative of Novem Group in their capacity as an insurance agent.

Dan F. Dwyer is the Owner and Chief Consultant of Proprius Worth Management, a non-investment-related company that specializes in business consulting.

Additional Compensation

Dan F. Dwyer does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Dan F. Dwyer works closely with his supervisor, Robert J. Bartolotta, and all advice provided to clients and account-related transactions are reviewed by his supervisor. Dan F. Dwyer adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisor's business.