



Form ADV Part 2B – Individual Disclosure Brochure

Cynthia M. Deal

Investment Adviser Representative
CRD #5138926

Novem Group
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This document provides information about Cynthia M. Deal that supplements the Novem Group Firm Brochure, which you should have received a copy of. Please contact Robert J. Bartolotta, President and Chief Compliance Officer of Novem Group if you did not receive a copy of the Firm Brochure or if you have any questions on the contents of this document. Additional information on Cynthia M. Deal is available on the SEC's website at www.adviserinfo.sec.gov.

Personal Information

Name: Cynthia M. Deal (Cyndi Deal)

Born: 1962

Education: Boston University
BS Business Administration, 1984

Business Background (past 5 years)

10/2015 – Present	Investment Adviser Representative Novem Group
1/2006 – Present	Sales Assistant American Portfolios Financial Services, Inc.
11/2014 – 10/2015	Sales Assistant Novem Group
11/2006 – 12/2014	Sales Assistant American Portfolios Advisors, Inc.

Certifications

Series 65 Uniform Investment Adviser Law Exam

Other Business Activities

Cyndi Deal is a Sales Assistant with American Portfolios Financial Services, Inc. and an employee of Topsfield Financial Group.

Additional Compensation

Cyndi Deal does not receive any economic benefit from any person, company, or organization, other than Novem Group, in exchange for providing clients advisory services through Novem Group.

Supervision

As an Investment Adviser Representative, Cyndi Deal works closely with her supervisors, Robert J Bartolotta & Amanda Abdoo, and all advice provided to clients and account-related transactions are reviewed by her supervisors. Cyndi Deal adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's Written Supervisory Procedures manual, including the Code of Ethics, and regulatory requirements.

Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this representative's business.