

*This brochure supplement provides information about Andrea N. Mullins that supplements the SWM Advisors brochure. You should have received a copy of that brochure. Please contact Andrea N. Mullins, CCO if you did not receive SWM Advisors' brochure or if you have any questions about the contents of this supplement.*

*Additional information about Andrea N. Mullins is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **SWM Advisors**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Andrea Nolan Mullins**

Personal CRD Number: 2081957

Investment Adviser Representative

SWM Advisors  
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Updated 02/28/2019

## Item 2: Educational Background and Business Experience

**Name:** Andrea Nolan Mullins **Born:** 1967

### Educational Background and Professional Designations:

#### Education:

BS in Accounting and Finance, Florida State University - 1990

#### Designations:

##### CPA – Certified Public Accountant

**Certified Public Accountant:** CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college [education](#) (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum [experience](#) levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. AIPCA, June 2015

#### Business Background:

|                   |   |
|-------------------|---|
| 08/2014 – Present | CCO<br>SWM Advisors (Formerly Seabridge Wealth Management, LLC) |
| 02/2014 – Present | Consultant<br>Self-Employed                                     |

***Business Background Continued:***

|                   |  |
|-------------------|--|
| 12/2013 – Present | Independent Trustee<br>Valued Advisers Trust                                     |
| 02/2019 – Present | Independent Trustee<br>Angel Oak Funds Trust and Angel Oak Strategic Credit Fund |
| 2004-2010         | CFO<br>Eagle Family of Funds, Subsidiary of Raymond James<br>Financial           |

**Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

**Item 4: Other Business Activities**

Andrea N. Mullins is an Independent Trustee for the Valued Advisers Trust.

**Item 5: Additional Compensation**

Andrea N. Mullins does not receive any economic benefit from any person, company, or organization, other than SWM Advisors in exchange for providing clients advisory services through SWM Advisors.

**Item 6: Supervision**

As a representative of SWM Advisors, Andrea N. Mullins works closely with supervisor Matt DiGennaro, and all advice provided to clients is reviewed by this supervisor prior to implementation. Matt DiGennaro can be reached at (727) 456-0011. Andrea N. Mullins adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.