

**FORM ADV PART 2B**

**QUAD-CITIES INVESTMENT GROUP, LLC**  
***5177 UTICA RIDGE ROAD***  
***DAVENPORT, IA 52807***

**PHONE: 563-484-5000**

**FAX: 563-449-5651**

[www.quadcitiesinvestmentgroup.com](http://www.quadcitiesinvestmentgroup.com)

[quadcitiesinvestmentgroup@goqcig.com](mailto:quadcitiesinvestmentgroup@goqcig.com)

This brochure supplement provides information about *Laura A. Swift* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Laura A. Swift* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*Laura A. Swift*

**Date of Birth:** *1/16/1962*

**Educational Background:**

- *Illinois State University BS 1985*

**Professional Designations**

- *CIMA (Certified Investment Management Analyst) 2014*

**Business Experience:**

- *Partner - Quad Cities Investment Group, LLC (2010-Present)*
- *Individual Registered Rep - Silver Oak Securities, Inc. (2010-2011)*
- *Sr. Vice President - Chicago Investment Group, LLC (2009-2010)*
- *Vice President-Investments - UBS Financial Services, Inc. (2006-2009)*
- *Vice President- Investments- Piper Jaffray (2001-2006)*
- *Financial Advisor- Merrill Lynch, Pierce, Fenner & Smith Inc. (2000-2001)*

**Disciplinary Information:**

*Ms. Swift has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Ms. Swift is a licensed insurance agent/broker. She may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Ms. Swift, as a fiduciary, will act in the client's best interest.*

**Additional Compensation:**

*Ms. Swift has no additional Compensation needing to be disclosed.*

**Supervision:**

*Laura A. Swift and Scott L. Stoltenberg* share supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

*Scott L. Stoltenberg's* contact information:

PHONE: *563-484-5000*

EMAIL: *sstoltenberg@goqcig.com*

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This brochure supplement provides information about *Wayne A. Wagner* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L. Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Wayne A. Wagner* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Wayne A. Wagner**

**Date of Birth:** 6/15/1943

**Educational Background:**

- *University of Illinois, BS Finance 1966*

**Business Experience:**

- *Investment Advisor- Quad Cities Investment Group, LLC (2015 to Present)*
- *Partner- Quad Cities Investment Group, LLC (2010 to 2015)*
- *Individual Registered Rep- Silver Oak Securities, Inc. (2010-2011)*
- *Branch Manager- Chicago Investment Group LLC (2009-2010)*
- *Vice President- Investments- UBS Financial Services Inc. (2006-2009)*
- *Branch Manager- Piper Jaffray (1995-2006)*
- *Registered Rep- Shearson (1981-1995)*
- *Branch Manager- Shearson (1984-1994)*

**Disciplinary Information:**

*Mr. Wagner has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mr. Wagner is not involved in business activities outside of Quad Cities Investment Group.*

**Additional Compensation:**

*Mr. Wagner has no additional Compensation needing to be disclosed.*

**Supervision:** *Wayne A Wagner* is supervised by *Scott L. Stoltenberg*. He reviews *Wayne's* work through frequent office interactions as well as remote interactions. He also reviews *Wayne's* activities through our client relationship management system.

*Scott L. Stoltenberg's* contact information:

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This brochure supplement provides information about *Scott L Stoltenberg* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Scott L Stoltenberg* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*Scott L. Stoltenberg*

**Date of Birth:** *6/26/1965*

**Education and Professional Licensing:**

- *St. Ambrose University, BA in Accounting & Business Administration, Minor Economics (1989)*

**Professional Designations:**

- *CPA (Certified Public Accountant Exam) 1991*

**Business Experience:**

- *Partner - Quad Cities Investment Group, LLC (2010- Present)*
- *Individual Registered Rep - Silver Oak Securities, Inc. (2010-2011)*
- *Sr. Vice-President Investments - Chicago Investment Group, LLC (2009- 2010)*
- *Vice-President Investments- UBS Financial Services, Inc. (2006- 2009)*
- *Vice-President Investments - Piper Jaffray (1996-2006)*
- *Controller - Heart of America Restaurants & Inns (1994-1996)*
- *Tax Supervisor - McGladrey & Pullen (1990-1994)*

**Disciplinary Information:**

*Mr. Stoltenberg has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mr. Stoltenberg is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Stoltenberg, as a fiduciary, will act in the client's best interest.*

**Additional Compensation:**

*Mr. Stoltenberg has no additional Compensation needing to be disclosed.*

**Supervision:**

*Scott Stoltenberg and Laura Swift* share joint supervisory responsibilities over each other on a daily basis through frequent office interactions as well as remote interactions. They also review each other's activities through the firm's client relationship management system.

*Laura Swift's* contact information:

PHONE: *563-484-5000*

EMAIL: *lswift@goqcig.com*

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This brochure supplement provides information about *David A. Borcharding* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *David A. Borcharding* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*David A. Borcharding*

**Date of Birth:** 5/27/1954

- *Wartburg College, BA Business Administration/Economics 1976*

**Business Experience:**

- *Investment Advisor- Quad Cities Investment Group, LLC (2010-present)*
- *Registered Representative -Silver Oak Securities, Inc. (2010-2013)*
- *Registered Representative- Chicago Investment Group, LLC (2009-2010)*
- *Financial Advisor- Century Securities Associates, Inc. (2009-2009)*
- *Financial Advisor- UBS Financial Services Inc. (2006-2008)*
- *Financial Advisor – Piper Jaffray(2005-2006)*

**Disciplinary Information:**

*Mr. Borcharding has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mr. Borcharding is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Borcharding, as a fiduciary, will act in the client's best interest.*

**Additional Compensation:**

*Mr. Borcharding has no additional Compensation needing to be disclosed.*

**Supervision:**

*David A. Borcharding* is supervised by *Scott L. Stoltenberg*. He reviews *David's* work through frequent office interactions as well as remote interactions. He also reviews *David's* activities through our client relationship management system.

*Scott L. Stoltenberg's* contact information:

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This brochure supplement provides information about *Christine A. McElvania* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Christine A. McElvania* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*Christine A. McElvania*

**Date of Birth:** 06/17/1985

**Educational Background:**

- *University of Northern Iowa, BA Finance/Economics 2007*
- *University of Iowa, Masters of Business Administration 2014*

**Professional Designations:**

- *Chartered Financial Analyst 2011*
- *Certified Financial Planner 2015*

**Business Experience:**

- *Partner – Quad Cities Investment Group (2015-present)*
- *Investment Advisor – Quad Cities Investment Group (2013-2014)*
- *Senior Investment Analyst - FBL Financial Group (2012-2013)*
- *Derivatives Analyst – FBL Financial Group (2010-2012)*
- *Assistant Derivatives Analyst – FBL Financial Group(2007-2010)*

**Disciplinary Information:**

*Mrs. McElvania has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Ms. McElvania is a licensed insurance agent/broker. She may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Ms. Swift, as a fiduciary, will act in the client's best interest.*

**Additional Compensation:**

*Mrs. McElvania has no additional Compensation needing to be disclosed.*

**Supervision:**

*Christine A. McElvania* is supervised by *Scott L. Stoltenberg*. He reviews *Christine's* work through frequent office interactions as well as remote interactions. He also reviews *Christine's* activities through our client relationship management system.

*Scott Stoltenberg's* contact information:

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This brochure supplement provides information about *Mark M. Grywacheski* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Mark M. Grywacheski* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*Mark M. Grywacheski*

**Date of Birth:** *07/22/1969*

**Educational Background:**

- *Illinois State University, BS Accounting, 1991*
- *Illinois State University, MS Accounting, 1993*

**Business Experience:**

- *Investment Advisor – Quad Cities Investment Group (2016-present)*
- *Investment Advisor – Irongate International (2014-2015)*
- *2<sup>nd</sup> Vice President – Northern Trust (2012-2014)*
- *Derivatives Trader – Ronin Capital (2009-2011)*
- *Derivatives Trader – Bluefin Trading (2008-2008)*
- *Derivatives Trader - PFTC Trading (2003-2007)*

**Disciplinary Information:**

*Mr. Grywacheski has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mr. Grywacheski is not involved in business activities outside of Quad Cities Investment Group.*

**Additional Compensation:**

*Mr. Grywacheski has no additional Compensation needing to be disclosed.*

**Supervision:**

*Mark M Grywacheski* is supervised by *Scott L. Stoltenberg*. He reviews *Mark's* work through frequent office interactions as well as remote interactions. He also reviews *Mark's* activities through our client relationship management system.

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This brochure supplement provides information about *Jeremy M. Hauer* that supplements the *Quad Cities Investment Group* brochure. You should have received a copy of that brochure. Please contact *Scott L Stoltenberg, Chief Compliance Officer* if you did not receive the *Quad Cities Investment Group* brochure or if you have any questions about the contents of this supplement. Additional information about *Jeremy M. Hauer* is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*Jeremy M. Hauer*

**Date of Birth:** *12/20/1986*

**Educational Background:**

- *Creighton University, BSBA Finance, 2009*

**Business Experience:**

- *Investment Advisor – Quad Cities Investment Group (2018-present)*
- *Fund Administrator – Gemini Fund Services (2014-2018)*
- *Corporate Actions – Gemini Fund Services (2012-2014)*
- *Fund Accountant – Gemini Fund Services (2011-2012)*

**Disciplinary Information:**

*Mr. Hauer has no legal or disciplinary events to disclose.*

**Other Business Activities:**

*Mr. Hauer is not involved in business activities outside of Quad Cities Investment Group.*

**Additional Compensation:**

*Mr. Hauer has no additional Compensation needing to be disclosed.*

**Supervision:**

*Jeremy M. Hauer* is supervised by *Scott L. Stoltenberg*. He reviews *Jeremy's* work through frequent office interactions as well as remote interactions. He also reviews *Jeremy's* activities through our client relationship management system.

*Scott Stoltenberg's* contact information:

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