

<b>Form ADV : Brochure Supplement</b>		<b>Rev. 01/2019</b>
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SEC File No.	801-71175	

#### **Item 1.**

This brochure supplement provides information about Otto Hueppi that supplements the Swiss American Advisors Ltd. brochure. You should have received a copy of that brochure. Please contact Otto Hueppi if you did not receive Swiss American Advisors Ltd's brochure or if you have any questions about the contents of this supplement.

#### **Item 2. Education and Business Background**

Otto Hueppi, born in 1959, is the Managing Director of the Company since its founding in 2009. He received a Bachelor of Arts in 1981, from the University of South Florida. He brings with him more than 37 years of experience, working with four Swiss Banks, the last nine years of which were with the Zurcher Kantonalbank in Zurich Switzerland, serving as Member of Management and later as Vice President, in their Private Banking Department.

#### **Item 3. Disciplinary Information**

In an event unrelated to Swiss American Advisors, Otto Hueppi was charged on 19 December 2012 by a grand jury in the Southern District of New York with one count of conspiracy in violation of United States Code 18, Section 371. No Plea has been entered.

#### **Item 4. Other Business Activities**

Otto Hueppi is currently not involved in any business activities other than those involving advising and management of client portfolios through Swiss American Advisors Ltd., as mentioned in ADV Part 2.

#### **Item 5. Additional Compensation**

The above-mentioned does not receive any additional compensation for services other than the advisory and management services which the company provides.

#### **Item 6: Supervision**

Managed Portfolios; The protocols for the decisions made by the management committee, of which Otto Hueppi is a member, and execution of these decisions are part of the annual certification completed by the Company's external auditors. Advisory Portfolios; There is no supervised person monitoring the advice being given to clients by Otto Hueppi.

#### **Item 7. Requirements for State-Registered Advisors**

- A) Otto Hueppi learned through the media that he has been charged on 19 December 2012 by a grand jury in the Southern District of New York with one count of conspiracy in United States Code 18, Section 371. No plea has been entered.
- B) Otto Hueppi has also not been the subject of any bankruptcy petition.