

This brochure supplement provides information about Francis M. Antalek that supplements the Sage Hill Advisory and Management LLC brochure. You should have received a copy of that brochure. Please contact Francis M. Antalek, Managing Member if you did not receive Sage Hill Advisory and Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Francis M. Antalek is also available on the SEC's website at www.adviserinfo.sec.gov.

Sage Hill Advisory and Management LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Francis M. Antalek

Personal CRD Number: 1371939

Investment Adviser Representative

Sage Hill Advisory and Management LLC
18 Division Street, Suite 303B
Saratoga Springs, NY, 12866
(518) 871-1214
www.sagehilladvisory.com
fantalek@sagehilladvisory.com

UPDATED: 3/26/2019

Item 2: Educational Background and Business Experience

Name: Francis M. Antalek

Born: 1961

Education Background and Professional Designations:

Education:

BA Economics/Business, SUNY Plattsburgh – 1983

MA Economics, SUNY Albany – 1985

ChFC Finance, The American College - 2001

Designations:

ChFC®- Chartered Financial Consultant®

ChFC® MINIMUM QUALIFICATIONS:

- ☐ Bachelor's degree or its equivalent, in any discipline, from an accredited university, this qualifies as one year of business experience
- ☐ Three years of full-time business experience is required; this three-year period must be within the five years preceding the date of the award (part-time qualifying business experience is also credited toward the three-year requirement with 2,000 hours representing the equivalent of one year full-time experience).
- ☐ Must fulfill the ChFC® seven course curriculum, as well as two additional elective courses
- ☐ Pass the exams for all required and elective courses
- ☐ Pass a background check and candidate fitness standards test. You must reveal any criminal history, pending litigation or ethical violations. The CFP board verifies all employment history, qualifications and disciplinary issues via FINRA's Central Registration Depository.

Business Background:

2009 – Present	Managing Member Sage Hill Advisory and Management LLC
2015 – Present	Registered Representative Halliday Financial, LLC
2009 – 2015	Registered Representative Purshe Kaplan Sterling Investments
2007 – 2009	CCO Sage 360
2007 – 2007	Registered Representative Cambridge Investment Research
1999 – 2007	Wealth Management Consultant The Private Consulting Group

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Francis M. Antalek is a registered representative with Halliday Financial, LLC. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. SAGE HILL always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of SAGE HILL in their capacity as a registered representative.

Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, Francis M. Antalek does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Sage Hill Advisory and Management LLC.

Item 6: Supervision

As a co-owner/ representative of Sage Hill Advisory and Management LLC, Francis M. Antalek works closely with Phillip J. Toffel, Jr., and all advice provided to clients is reviewed by either representative prior to implementation. Francis M. Antalek's contact information is on the cover page of this disclosure document.

Item 7: Requirements For State Registered Advisers

This disclosure is required by New York securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Francis M. Antalek has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

B. Francis M. Antalek. has **NOT** been the subject of a bankruptcy petition at any time.