



## **INNOVATION PARTNERS LLC**

### **Fairview Plaza Office Complex**

5950 Fairview Road

Suite 140

Charlotte, NC 28210

(704) 708-5461

[www.innovationpartnersllc.com](http://www.innovationpartnersllc.com)

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This Brochure provides information about the advisory personnel on whom you may rely for investment advice. Please contact IPLLC if you did not receive our Brochure (ADV Part 2A) or if you have any questions about the contents of this supplement. Additional information about IPLLC or advisory personnel is available on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).

#### **Advisory Personnel**

**Daniel Kendrick**

CRD#:1832435

## **Item 2- Educational Background and Business Experience**

### **Daniel Kendrick**

Year of Birth: 1935

### **Education**

Mr. Daniel Kendrick is an Investment Advisor Representative and a Registered Representative in the states of GA, NC and NM. He passed the FINRA Series 6, 22, 24, 62, and is a licensed life, accident and health, variable life and annuities insurance agent in the state of GA, NC, and NM.

Mr. Kendrick a Personal Financial Specialist and holds the PFS designation. He is also holds the Certified Public Accountant (CPA) Designation.

### **Business Background:**

**Innovation Partners LLC:** 06/2016 – Present – Investment Advisor Representative and Registered Representative  
**Newport Coast Securities, Inc.:** 10/2010 to 07/2016 – Registered Representative and Investment Advisor Representative  
**Brookstone Securities, Inc:** 10/2008 to 10/2010 – Registered Representative and Investment Advisor Representative  
**Empire Financial Group, Inc:** 07/2001 – 10/2008 – Registered Representative and Investment Advisor Representative

## **Item 3 – Disciplinary Information**

Registered advisers are required to disclose all material facts regarding any legal or disciplinary events that would-be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## **Item 4 – Other Business Activities**

Mr. Kendrick is an investment advisor representative, registered representative and insurance agent with Innovation Partners LLC. Mr. Kendrick receives a fee from estate planning and accounting services. He also receives a commission from fixed life insurance sales as an independent insurance agent. Innovation Partners LLC, is not affiliated with Mr. Kendrick CPA or estate planning practice.

## **Item 5 – Additional Compensation**

Registered Investment Advisors are required to disclose if the supervised person received an economic benefit from someone who is not a client for providing advisory services. No information is applicable to this Item.

## **Item 6 – Supervision**

The supervised person is monitored by the Chief Compliance Officer (CCO)/Authorized Compliance Officers and Chief Investment Advisory Principal through periodic sampling of archived emails, mandatory compliance meetings and ongoing review of marketing materials.

## **Item 7 – Requirement for State Registered Advisors**

Registered Investment Advisors are required to disclose whether the supervised person has been involved in any events related or otherwise being found liable to arbitration claims alleging damages in excess of \$2,500.00, civil or self-regulatory organization or administrative proceedings involving: investment or investment related activity, fraud, false statement (s) or omissions, theft, embezzlement, wrongful taking of property, bribery, forgery, counterfeiting, extortion, dishonest, unfair and unethical practices. No information is applicable to this Item.

Registered Investment Advisors are required to disclose whether the supervised person has been the subject of a bankruptcy petition. No information is applicable to this item.