



**GURTIN FIXED INCOME MANAGEMENT, LLC**  
**dba GURTIN MUNICIPAL BOND MANAGEMENT**

**440 Stevens Avenue, Suite 260**

**Solana Beach, CA 92075**

**P: 858-436-2200**

**F: 858-436-2201**

**[www.gurtin.com](http://www.gurtin.com)**

**William R. Gurtin**

**440 Stevens Avenue, Suite 260**

**Solana Beach, CA 92075**

**P: 858-436-2200**

This brochure supplement provides information about William R. Gurtin that supplements Gurtin Fixed Income Management, LLC, a PIMCO Company, dba Gurtin Municipal Bond Management's brochure. You should have received a copy of that brochure. Please contact Jeffery A. Yorg, 312.588.7364 if you did not receive Gurtin Fixed Income Management, LLC dba Gurtin Municipal Bond Management's brochure or if you have any questions about the contents of this supplement.

Additional information about William R. Gurtin is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

October 8, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

William R. Gurtin, 58 (1960)

### **Formal Education**

University of Chicago, Graduate School of Business, M.B.A., 1985

Brown University, B.S. Applied Mathematics & Economics, 1982

### **Business Background**

Founder, Gurtin Municipal Bond Management, a PIMCO Company 2008-President

Senior Vice President and Senior Portfolio Manager, Fixed Income Investments, Morgan Stanley,  
The Gurtin Group, 1999-2008

Senior Vice President, Merrill Lynch, 1995-1999

Vice President, Goldman Sachs, 1985-1995

### **Disciplinary Information**

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Mr. Gurtin Does not have any disciplinary history.

### **Other Business Activities**

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#### **Investment-related Activities**

William R. Gurtin serves on the Board of Directors of Pisces, Inc., a family office. The Board consists of five members, including three members of the family served by the family office. Board members serve for one-year terms and may be re-elected with no maximum term of service. Board members have fiduciary obligations to the shareholders of Pisces and meet at least once each year and have additional special meetings as necessary for corporate governance matters. This does not present a conflict of interest as board members are not compensated by Pisces for their service as directors.

William R. Gurtin also serves on the Strategic Advisory Committee of New MainStream Capital, a private investment firm specializing in strategic equity investments of lower middle market companies in selected growth industries. The Strategic Advisory Committee consists of six members (two of which are Founders who sit in) who provide strategic advice to the Partnership, the General Partner and the Investment Team with respect to such matters as investment policies and strategies, significant changes to portfolio companies or the management teams thereof, and other strategic transactions or matters as may arise from time to time. This does not present a conflict of interest as members of the Strategic Advisory Committee are not compensated by New MainStream Capital for their service as committee members.

### **Additional Compensation**

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**Economic Benefit**

William R. Gurtin's compensation comes from his regular salary, bonus, and previous ownership of Gurtin Municipal Bond Management.

**Supervision**

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**Describe Supervision**

The Investment Committee meets to make investment policy and manages portfolio strategy on behalf of the firm. William R. Gurtin is a member of the Investment Committee.

**Name, Title and Telephone Number of Supervisor**

As part of the PIMCO acquisition of Gurtin Municipal Bond Management, William R. Gurtin currently reports to Marc P. Seidner, Managing Director of PIMCO, 888-877-4626.



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**Michael E. Johnson**

**440 Stevens Avenue, Suite 260**

**Solana Beach, CA 92075**

**P: 858-436-2200**

This brochure supplement provides information about Michael E. Johnson that supplements Gurtin Fixed Income Management, LLC, a PIMCO company, dba Gurtin Municipal Bond Management's brochure. You should have received a copy of that brochure. Please contact Jeffery A. Yorg, 312.588.7364 if you did not receive Gurtin Fixed Income Management, LLC dba Gurtin Municipal Bond Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael E. Johnson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Michael E. Johnson, 46 (1972)

### **Formal Education**

New School University, M.S. Urban Policy Analysis and Management, 1997

University of California, Riverside, B.S. Ethnic Studies, 1994

### **Business Background**

Chief Risk Officer, Gurtin Municipal Bond Management, a PIMCO Company, 2008-Present

Director, Municipal Credit Team, Banking and Insurance Credit Teams, Merrill Lynch and Co., 2005-2008

Analyst, Co-Manager, Midwest Regional Office Moody's Investors Service, 1998-2005

### **Disciplinary Information**

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Mr. Johnson does not have any disciplinary history.

### **Other Business Activities**

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As of January 2018, Michael Johnson, Chief Risk Officer, is a member of the Schwab Advisor Services Advisory Board (the "Board").

### **Additional Compensation**

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### **Economic Benefit**

Michael E. Johnson's compensation comes from his regular salary, bonus and previous ownership of Gurtin Municipal Bond Management.

### **Supervision**

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### **Describe Supervision**

The Investment Committee meets to make investment policy and manages portfolio strategy on behalf of the firm. Michael E. Johnson is a member of the Investment Committee.

### **Name, Title and Telephone Number of Supervisor**

William R. Gurtin, Founder, 858-436-2200

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**James M. Grandinetti**

**440 Stevens Avenue, Suite 260**

**Solana Beach, CA 92075**

**P: 858-436-2200**

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Additional information about James M. Grandinetti is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

James M. Grandinetti, 42 (1976)

### **Formal Education**

Fordham University, B.A. Economics, 1999

### **Business Background**

Head of Portfolio Management, Gurtin Municipal Bond Management, a PIMCO company, 2008-Present

Vice President, Trading, Morgan Stanley, 2004-2008

Trader, Royal Alliance, 2001-2004

Trader, Hennion & Walsh, 1999-2001

### **Disciplinary Information**

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Mr. Grandinetti does not have any disciplinary history.

### **Other Business Activities**

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Mr. Grandinetti is not actively engaged in any other investment-related business activities.

### **Additional Compensation**

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### **Economic Benefit**

James M. Grandinetti's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

### **Supervision**

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#### **Describe Supervision**

The Investment Committee meets to make investment policy and manages portfolio strategy on behalf of the firm. James M. Grandinetti is a member of the Investment Committee.

#### **Name, Title and Telephone Number of Supervisor**

Michael E. Johnson, Chief Risk Officer, 858-436-2200



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**Nicholas C. Downer**

**440 Stevens Avenue, Suite 260**

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Additional information about Nicolas C. Downer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019



## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Nicholas C. Downer, 30 (1988)

### **Formal Education**

Dartmouth College, B.A. Asian and Middle Eastern Studies, 2011

### **Business Background**

Vice President, Advisory Services, Gurtin Municipal Bond Management, a PIMCO Company, 2012 – Present

Analyst, Jefferies and Company, 2011 – 2012

### **Disciplinary Information**

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Mr. Downer does not have any disciplinary history.

### **Other Business Activities**

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Mr. Downer is not actively engaged in any other investment-related activities.

### **Additional Compensation**

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#### **Economic Benefit**

Nicholas C. Downer's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

### **Supervision**

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#### **Describe Supervision**

Nicholas C. Downer is a member of the Advisory Services team that meets regularly to discuss new economic and financial developments.

#### **Name, Title and Telephone Number of Supervisor**

David Alan Trice, Head of Advisory Services, Senior Vice President, 858-436-2200



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**David Alan Trice**

**440 Stevens Avenue, Suite 260**

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Additional information about David Alan Trice is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

David Alan Trice, 37 (1981)

### **Formal Education**

UCLA Anderson School of Management, M.B.A., 2012

Duke University, B.A. Classical Studies, 2004

### **Business Background**

Head of Advisory Services, Gurtin Municipal Bond Management, a PIMCO Company, 2013 – Present

Vice President, Allianz Global Investors Capital, 2005 – 2012

## **Disciplinary Information**

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Mr. Trice does not have any disciplinary history.

## **Other Business Activities**

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Mr. Trice is not actively engaged in any other investment-related business activities.

## **Additional Compensation**

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### **Economic Benefit**

David Alan Trice's compensation comes from his regular salary and bonus from Gurtin Fixed Income Management LLC.

## **Supervision**

---

### **Describe Supervision**

David Alan Trice is a member of the Advisory Services team that meets regularly to discuss new economic and financial developments.

### **Name, Title and Telephone Number of Supervisor**

Michael E. Johnson, Chief Risk Officer, 858-436-2200



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**John Hornbeak**

**440 Stevens Avenue, Suite 260**

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Additional information about John Hornbeak is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

John Hornbeak, 38 (1980)

### **Formal Education**

University of Oxford, M.B.A., 2010

Stanford University, B.S. Management Science & Engineering, 2003

### **Business Background**

Vice President, Advisory Services Gurtin Municipal Bond Management, a PIMCO Company, 2014 – Present

Senior Associate, Green Street Advisors, 2010 – 2014

Investment Analyst, TriPacific Capital Advisors/Lowe Enterprises, 2005 – 2008

Associate Project Manager, Mid-Peninsula Housing, 2003 - 2005

## **Disciplinary Information**

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Mr. Hornbeak does not have any disciplinary history.

## **Other Business Activities**

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Mr. Hornbeak is not actively engaged in any other investment-related activities.

## **Additional Compensation**

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### **Economic Benefit**

Mr. Hornbeak's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

## **Supervision**

---

### **Describe Supervision**

John Hornbeak is a member of the Advisory Services team that meets regularly to discuss new economic and financial developments.

### **Name, Title and Telephone Number of Supervisor**

David Alan Trice, Head of Advisory Services, Senior Vice President, 858-436-2200



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**Eric Stroiman**

**440 Stevens Avenue, Suite 260**

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Additional information about Eric Stroiman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Eric Stroiman, 26 (1992)

### **Formal Education**

Vanderbilt University, B.A. Public Policy, 2015

### **Business Background**

Senior Associate, Advisory Services, Gurtin Municipal Bond Management, a PIMCO Company, 2015 – Present

## **Disciplinary Information**

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Mr. Stroiman does not have any disciplinary history.

## **Other Business Activities**

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Mr. Stroiman is not actively engaged in any other investment-related activities.

## **Additional Compensation**

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### **Economic Benefit**

Mr. Stroiman's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

## **Supervision**

---

### **Describe Supervision**

Eric Stroiman is a member of the Advisory Services team that meets regularly to discuss new economic and financial developments.

### **Name, Title and Telephone Number of Supervisor**

David Alan Trice, Head of Advisory Services, Senior Vice President, 858-436-2200



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**Nathan O'Farrell**

**440 Stevens Avenue, Suite 260**

**Solana Beach, CA 92075**

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Additional information about Nathan O'Farrell is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019



## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Nathan E. O'Farrell, 25 (1993)

### **Formal Education**

University of Portland, B.B.A. Finance, 2016

### **Business Background**

Senior Associate, Advisory Services, Gurtin Municipal Bond Management, a PIMCO Company, 2016 – Present

## **Disciplinary Information**

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Mr. O'Farrell does not have any disciplinary history.

## **Other Business Activities**

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Mr. O'Farrell is not actively engaged in any other investment-related activities.

## **Additional Compensation**

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### **Economic Benefit**

Nathan E. O'Farrell's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

## **Supervision**

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### **Describe Supervision**

Nathan E. O'Farrell is a member of the Investment Research and Strategy team that works regularly with Advisory Services to discuss new economic and financial developments.

### **Name, Title and Telephone Number of Supervisor**

Alex Etzkowitz, Senior Associate, Investment Research & Strategy 858-436-2200



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**Shaun Johnson**

**440 Stevens Avenue, Suite 260**

**Solana Beach, CA 92075**

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Additional information about Shaun Johnson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Shaun S. Johnson, 40 (1978)

### **Formal Education**

University of Texas at Austin, B.A. Economics, 2017

### **Business Background**

Analyst, Advisory Services, Gurtin Municipal Bond Management, a PIMCO Company, 2017 – Present

## **Disciplinary Information**

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Mr. Johnson does not have any disciplinary history.

## **Other Business Activities**

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Mr. Johnson is not actively engaged in any other investment-related activities.

## **Additional Compensation**

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### **Economic Benefit**

Shaun S. Johnson's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

## **Supervision**

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### **Describe Supervision**

Shaun S. Johnson is a member of the Investment Research and Strategy team that works regularly with Advisory Services team to discuss new economic and financial developments.

### **Name, Title and Telephone Number of Supervisor**

Alex Etzkowitz, Senior Associate, Investment Research & Strategy 858-436-2200



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**Brian Hannibal**

**440 Stevens Avenue, Suite 260**

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Additional information about Brian Hannibal is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Brian Hannibal, 37 (1981)

### **Formal Education**

San Diego State University, B.A. Economics, 2007

Chartered Financial Analyst (CFA), 2012

### **Business Background**

Vice President, Portfolio Management Gurtin Municipal Bond Management, a PIMCO company, 2013 – Present

Senior Associate, Eaton Vance Management, 2009 – 2013

Senior Associate, State Street Bank, 2007 – 2009

## **Disciplinary Information**

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Mr. Hannibal does not have any disciplinary history.

## **Other Business Activities**

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Mr. Hannibal is not actively engaged in any other investment-related activities.

## **Additional Compensation**

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### **Economic Benefit**

Mr. Hannibal's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

## **Supervision**

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### **Describe Supervision**

The Investment Committee meets to make investment policy and manages portfolio strategy on behalf of the firm. Brian Hannibal is a member of the Investment Committee.

### **Name, Title and Telephone Number of Supervisor**

Jim Grandinetti, Head of Portfolio Management, 858-436-2200



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**Myles Grenier**

**440 Stevens Avenue, Suite 260**

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**P: 858-436-2200**

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Additional information about Myles Grenier is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Myles Grenier, 33 (1985)

### **Formal Education**

University of Massachusetts, B.A. Finance, 2007

Chartered Financial Analyst (CFA), 2012

### **Business Background**

Vice President, Portfolio Management, Gurtin Municipal Bond Management, a PIMCO Company, 2014 – Present

Performance and Attribution Analyst / Securities Data Analyst, Putnam Investments, 2010 – 2014

Senior Account Controller, State Street Bank & Trust, 2008 - 2010

## **Disciplinary Information**

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Mr. Grenier does not have any disciplinary history.

## **Other Business Activities**

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Mr. Grenier is not actively engaged in any other investment-related activities.

## **Additional Compensation**

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### **Economic Benefit**

Mr. Grenier's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

## **Supervision**

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### **Describe Supervision**

The Investment Committee meets to make investment policy and manages portfolio strategy on behalf of the firm. Myles Grenier is a member of the Investment Committee.

### **Name, Title and Telephone Number of Supervisor**

Jim Grandinetti, Head of Portfolio Management, 858-436-2200



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**Peter Gunther**

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Additional information about Peter Gunther is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019



## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Peter Gunther, 36 (1981)

### **Formal Education**

Master of Business Administration, California State University Long Beach 2011

Bachelor of Arts, University San Diego 2003

Chartered Financial Analyst (CFA) 2015

### **Business Background**

Senior Associate, Portfolio Management Gurtin Municipal Bond Management, a PIMCO Company, 2015 – Present

Analyst, Manulife Asset Management August 2013- September 2015

Analyst, John Hancock October 2011 – August 2013

### **Disciplinary Information**

---

Mr. Gunther does not have any disciplinary history.

### **Other Business Activities**

---

Mr. Gunther is not actively engaged in any other investment-related activities.

### **Additional Compensation**

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#### **Economic Benefit**

Mr. Gunther's compensation comes from his regular salary and bonus from Gurtin Municipal Bond Management.

### **Supervision**

---

#### **Describe Supervision**

The Investment Committee meets to make investment policy and manages portfolio strategy on behalf of the firm. Peter Gunther is a member of the Investment Committee.

#### **Name, Title and Telephone Number of Supervisor**

Jim Grandinetti, Head of Portfolio Management, 858-436-2200



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**Alex Etzkowitz**

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Additional information about Alex Etzkowitz is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

January 31, 2019

## **Educational Background & Business Experience**

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### **Name, Age (Year of Birth)**

Alex Etzkowitz, 31 (1987)

### **Formal Education**

Columbia Business School, M.B.A., 2014

Pomona College, B.A. Economics, 2010

### **Business Background**

Senior Associate, Investment Research and Strategy, Gurtin Municipal Bond Management, a PIMCO Company, 2015 – Present

Investment Analyst, TFMG Associates, 2013 – 2014

Analyst, Cornerstone Research, 2010 - 2012

## **Disciplinary Information**

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Mr. Etzkowitz does not have any disciplinary history.

## **Other Business Activities**

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Mr. Etzkowitz is not actively engaged in any other investment-related activities.

## **Additional Compensation**

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### **Economic Benefit**

Mr. Etzkowitz's compensation comes from his regular salary and bonus from Gurtin Fixed Income Management LLC.

## **Supervision**

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### **Describe Supervision**

Alex Etzkowitz is a member of the Investment Research and Strategy team that works with Advisory Services regularly to discuss new economic and financial developments.

### **Name, Title and Telephone Number of Supervisor**

David Alan Trice, Head of Advisory Services, Senior Vice President, 858-436-2200