

**Item 1**

A. Robert Todd Owens

## ADV Part 2B Brochure Supplement



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B.

This brochure supplement provides information about Robert Todd Owens, that supplements the Baker Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Todd Owens, Chief Compliance Officer, at 405-415-7200 or by email at [todd@bakerassetman.com](mailto:todd@bakerassetman.com), if you did not receive the brochure or if you have any questions about the content of this supplement.

Additional information about Robert Todd Owens is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Item 2 Education Background and Business Experience**

Robert Todd Owens was born in 1968. Mr. Owens graduated from Baylor University in 1992 with a Bachelor of Business Administration degree in Financial Services. Mr. Owens has been President of Baker Asset Management, LLC since formation of the company in 2005.

Mr. Owens has been a Chartered Financial Analyst (CFA®) since 1999. CFA® designates an international professional certificate that is offered by the CFA Institute. Candidates that pursue

the certification have in-depth knowledge of securities types and investment vehicles. In order to qualify for a CFA®, candidates must meet standards for examination, education, experience, and ethics.

Chartered Financial Analyst (CFA®): Chartered Financial Analysts are licensed by the CFA Institute to use the CFA mark.

CFA certification requirements:

- Hold a bachelor's degree from an accredited institution or have equivalent education or work experience.
- Successful completion of all three exam levels of the CFA Program. The exams are a series of 3 six-hour exams that covers ethics, quantitative methods, economics, corporate finance, financial reporting and analysis, security analysis, and portfolio management.
- Have 48- months of acceptable professional work experience in the investment decision making process.
- Fulfill society requirements, which vary by society. Unless you are upgrading from affiliate membership, all societies require two sponsor statements as part of each application; these are submitted online by your sponsors.
- Agree to adhere to a strict Code of Ethics and Standards governing their professional conduct, as reviewed by the CFA Institute

### **Item 3 Disciplinary Information**

None.

### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related business or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

### **Item 5 Additional Compensation**

None.

### **Item 6 Supervision**

Robert Todd Owens is the CCO & President for Baker Asset Management, LLC. His investment advisory and compliance related activities are supervised by Robert Todd Owens (405) 415-7200. In addition, his compliance related activities are reviewed by an outside and independent compliance firm. Adherence to the Firm's compliance manual and Code of Ethics is required.