

**Item 1: Cover Page**  
**Part 2B of Form ADV: Brochure Supplement**  
**September 2019**

**Valli Doraiswami**

**Wealtheon ADVISORS LLC**  
**2603 Camino Ramon, Suite 426**  
**San Ramon, CA 94582**  
**[www.wealtheonadvisors.com](http://www.wealtheonadvisors.com)**

**Firm Contact:**  
**Jay Doraiswami**  
**Managing Member**

This brochure supplement provides information about Ms. Doraiswami that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Doraiswami if you did not receive Wealtheon ADVISORS LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Doraiswami is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #6604299.

## Item 2: Educational Background & Business Experience

**Valli Doraiswami**  
**Year of Birth:** 1962

### **Educational Background:**

- 1987: Nagarjuna University; MBA
- 1984: Maris Stella College; Bachelors of Commerce

### **Business Background:**

- 06/2019 – Present      Wealtheon ADVISORS LLC; Managing Member & Chief Compliance Officer
- 12/2015 – 03/2019      JP Morgan Chase; Relationship Banker
- 09/2006 – 06/2013      Mentis Solutions, Inc.; Vice President

### **Exams:**

- 2019: Series 65 Exam
- 2016: Series 6 & Series 63 Exam

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Ms. Doraiswami.

## Item 4: Other Business Activities

Ms. Doraiswami does not have any outside business activities to report.

## Item 5: Additional Compensation

Ms. Doraiswami does not receive any other economic benefit for providing advisory services in addition to advisory fees.

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

### **Item 6: Supervision**

Jay Doraiswami is a principal of Wealtheon ADVISORS LLC and as such supervises and monitors Ms. Doraiswami's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Jay Doraiswami if you have any questions about Ms. Doraiswami's brochure supplement at 925-359-6152.

### **Item 7: Requirements for State-Registered Advisers**

Ms. Doraiswami has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.