

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
March 27, 2019**



Marjorie C. Carbajal

**5201 Great America Parkway, Suite 320
Santa Clara, CA 95054
www.FigTreeAdvisors.com**

**Firm Contact:
Regina Legates
Chief Compliance Officer**

This brochure supplement provides information about Ms. Carbajal that supplements our brochure. You should have received a copy of that brochure. Please contact Regina Legates if you did not receive FigTree Financial Advisors, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Carbajal is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #4759556.

Item 2: Educational Background & Business Experience

Marjorie C. Carbajal

Year of Birth: 1981

Educational Background:

2003: San Jose State University; Bachelor of Science in Business Administration/ Finance

Business Background:

- 04/2019 – Present FigTree Financial Advisors, LLC; Investment Advisor Representative
- 06/2017 – 04/2019 Prosperity Financial Group, Inc. dba FigTree Financial Advisors; Financial Advisor
- 07/2004 – 06/2017 Ameriprise Financial; Paraplanner

Exams, Licenses & Other Professional Designations:

- 07/2004: Series 66 Exam
- 06/2004: Series 7 Exam
- 11/2004: Accident, Life, Health, & Variable Contracts Insurance License (Lic. No. 0E62922)

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Ms. Carbajal.

Item 4: Other Business Activities

Ms. Carbajal is a licensed insurance agent/broker. She may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Ms. Carbajal, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Ms. Carbajal does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Regina Legates, Managing Member & Chief Compliance Officer of FigTree Financial Advisors, LLC, supervises and monitors Ms. Carbajal's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Regina Legates if you have any questions about Ms. Carbajal's brochure supplement at 408-891-3574.

Item 7: Requirements for State-Registered Advisers

Ms. Carbajal has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, she has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.