

**Item 1 Cover Page**

**Tiancai Global Markets Org LLC**  
Firm CRD Number: 300808

**Form ADV Part 2A – Disclosure Brochure**

Effective: May 30, 2019

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**This brochure provides information about the qualifications and business practices of Tiancai Global Markets Org LLC. If you have any questions about the contents of this brochure, please contact us at 02 97092763 or by email: [jun.ou.finance@gmail.com](mailto:jun.ou.finance@gmail.com).**

**The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Registration as a registered investment advisor does not imply a certain level of skill or training.**

**Additional information about Tiancai Global Markets Org LLC, CRD #300808 also is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Material Changes**

Form ADV 2A (*the "Disclosure Brochure"*) provides information about a variety of topics relating to an Advisor's business practices and conflicts of interest.

### Initial Filing

Tiancai Global Markets Org LLC is a new Registered Investment Advisor. This is the initial filing of the Disclosure Brochure.

### Future Changes

From time to time, we may amend this Disclosure Brochure to reflect changes in our business practices, changes in regulations and routine annual updates as required by the securities regulators. Our updated Disclosure Brochure or a Summary of Material Changes shall be available on our website.

At any time, you may view the current Disclosure Brochure on-line at the SEC's Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Item 4 Advisory Business**

### **A. Description of Advisor Firm.**

Tiancai Global Markets Org LLC (“Tiancai” or “Advisor”) is formed as a Limited Liability Company in the state of Delaware as of January 3, 2019 and is requesting registration as an investment advisor with the Securities and Exchange Commission (“SEC”). The principal owner of the firm is Qiangsheng Ding.

Tiancai will not have a principal place of business in the United States. Rather, the Advisor will offer its services through the internet to individuals in the United States and abroad from its office location in Australia. For a more complete description of our services, see Item 4B.

### **B. Description of Advisory Services Offered**

Tiancai has created a proprietary technology platform to provide non-discretionary portfolio construction, analytics and investment discovery and recommendation tools to visitors (“Clients”) using its web site located at [www.tiancaiglobal.com](http://www.tiancaiglobal.com).

Clients normally begin by creating a risk profile which is a quantitative mathematical function representing their risk tolerance, constructed via a sophisticated series of risk/reward decisions. The algorithm begins with the Client’s stated investment amount, and bases each question on the combination of preceding answers to pinpoint that Client’s risk tolerance to within a reasonable margin of error.

Based on the outcome of the risk profile and the current investment positions the Client holds in their portfolio the Client will be provided with a recommended investment strategy based on the Client’s level of risk. It is in the sole discretion of the Client to execute on the recommended investment strategy through the Client’s own broker dealer or custodian. Tiancai does not recommend broker dealers or custodians to its Clients.

At no time are any of the product’s calculations or services based on subjective information about a particular security, but rather on a Client’s inputs, as well as market data about the historical return and volatility of securities, provided by third party vendors. The securities that may be included in a Client’s portfolio include exchange listed securities.

Once a Client’s investment strategy is provided, the Client makes the determination if they want to implement the investment strategy within the portfolio. If the Client elects to implement the investment strategy, the Client will do so at a broker dealer or custodian of their own choice. Tiancai does not implement the recommended investment strategy on its website. The Client is under no obligation to implement any recommendation as provided and if a Client does implement these recommendations, they will have the sole power to determine when to implement them. Tiancai does not implement any investment recommendations on behalf of Clients nor will it provide portfolio management services to Clients. Tiancai will also not provide securities custodial or other administrative services for Clients.

**Tiancai strongly recommends that users of this tool should not make any investment decision without consulting your personal financial advisor, and conducting your own research, including the careful review of annual reports, quarterly reports, and other public filings of the issuer. Further, Clients who use this site are strongly encouraged to consult with an independent tax, legal or financial adviser as necessary.**

### **C. Clients Tailored Services and Client Imposed Restrictions**

The Tiancai web site is designed to allow each Client who decides to use its site to include their individual risk tolerance to determine their own investment portfolio based upon their individual needs. Tiancai helps the Client to design such a portfolio by providing the Internet tools for this purpose. Tiancai does not offer the ability for Clients to execute the recommended investment strategy on its website.

#### **D. Wrap Fee Programs**

Tiancai does not provide portfolio management services to wrap fee programs.

#### **E. Assets Under Management**

Tiancai does not manage Client assets, therefore does not have assets under management.

#### **Item 5 Fees and Compensation**

##### **A. & B. Method of Compensation and Fee Schedule and Client Payment of Fees**

Clients who elect to use our site, before the investment strategy is recommended will enter their credit card information in a secure portal and will be charged a one-time fee, in advance. This one-time fee will be \$500.

**Clients who use this site are strongly encouraged to consult with an independent tax, legal or financial adviser as necessary.**

#### **C. Additional Client Fees Charged**

Clients should be aware that if they make the decision to execute the recommended investment strategy offered by Tiancai, Client will incur brokerage commissions, account opening fees, transaction fees, custodian fees, investment adviser fees and other related costs and expenses that will be incurred directly by the Client and billed directly to the Client's account.

#### **D. Prepayment of Client Fees**

Tiancai's fee is billed to the Client's credit card in advance. This fee is a one-time fee to enter Tiancai's website to use the tools offered and for the Client to receive the recommended investment strategy provided by Tiancai. Clients will be notified, in advance, that there is no refund policy.

#### **E. External Compensation for the Sale of Securities to Clients**

Tiancai does not accept compensation for the sale of securities. Our only compensation for this Internet service is as described in Item 5A&B above.

#### **Item 6 Performance-Based Fees and Side-by-Side Management**

Tiancai does not charge performance-based fees.

#### **Item 7 Types of Clients and Minimum Account Size**

Tiancai does not individually advise Clients. Therefore, it does not have a minimum account size.

The users of Tiancai's web site are generally individual investors who are seeking to optimize their investment portfolio. However, as this product is offered on the Internet, Tiancai has no control over who will choose to use the service.

Prior to receiving a personalized investment recommendations from Tiancai, individuals are required to open a user account. To open a user account, a prospective Client is required to provide Tiancai with:

- Identifying information (name, email address and password);
- The amount of money the Client has to invest;
- The investment holdings currently in the Client's personal portfolio;
- Agreement to Tiancai's terms of service; and
- Agreement to Tiancai's privacy policy and access to this Brochure

Some of Tiancai's informational services are accessible prior to registering an account, to allow prospective Clients to understand the service prior to opening a user account. Such services do not involve the provision of investment advice.

## **Item 8 Methods of Analysis, Investment Strategies and Risk of Loss**

### **A. Methods of Analysis and Investment Strategies**

As described in Item 4B above, Tiancai formulates and provides Clients with investment advice via its web site. After capturing a Client's personal risk tolerance, the service provides the Client with an investment strategy to match their own risk tolerance. Clients make the choice to implement the investment strategy at their own broker dealer or custodian.

Our investment strategies are developed through a rigorous internal process which starts with our research. The strategy design process begins with extensive research into new and innovative mathematical and statistical investing techniques by our team of accomplished Mathematicians, Physicists and Economists. The next phase is Coding. Once a topic of research is considered viable, our algorithm development teams begins to convert the research thesis into a real time tradeable strategy. Our in-house platform makes this process efficient and seamless. Next, we perform Backtesting. Before releasing any strategy, our algorithm review team first extensively backtest the performance and then make any necessary revisions. This ensures that all of our strategies are market-tested and optimized. We deploy all of our strategies to a Cloud based service to ensure maximum up-time and security.

The investment strategies that Tiancai will suggest to Clients may include long term purchases of securities held at least for one year or short sales.

***Clients need to be aware that investing in securities involves risk of loss that clients need to be prepared to bear. Clients are strongly encouraged to conduct their own analysis of the recommendations generated by Tiancai proprietary technology.***

### **B. Investment Strategy and Method of Analysis Material Risks**

During our research phase described in 8A., we utilize Technical analysis as a part of our process. Technical analysis is a method of evaluating securities by relying on the assumption that market data, such as charts of price, volume and open interest can help predict future (usually short-term) market trends. Technical analysis assumes that market psychology influences trading in a way that enables predicting when a stock will rise or fall. The risk is that markets do not always follow patterns and relying solely on this method may not work long term.

### **C. Material Risks of Investing**

Every type of investment involves risk. Risk refers to the possibility that you will lose money (both principal and any earnings) or fail to make money on an investment.

Generally speaking, risk and potential return are related. This is the risk/return trade-off. Higher risks are usually taken with the expectation of higher returns at the cost of increased volatility. While an investment with higher risk has the potential for higher return, it also has the greater potential for losses or negative returns. The school of thought when investing suggests that the longer your investment time horizon is the less affected you should be by short-term volatility. Therefore, the shorter your investment time horizon, the more concerned you should be with short-term volatility and higher risk.

Tiancai does primarily recommend that clients invest in exchange traded securities and the material risks of these types of investments are as follows:

#### Asset Class Risk

Securities in your portfolio(s) or in underlying investments may underperform in comparison to the general securities markets or other asset classes.

#### Concentration Risk

To the extent that Tiancai's algorithm recommends portfolio allocations that are concentrated in a particular market, industry or asset class, your portfolio is susceptible to loss due to adverse occurrences affecting that market, industry, or asset class.

#### Equity Securities Risk

Equity securities are subject to changes in value that are attributable to market perception of a particular issuer or general stock market fluctuations that affect all issuers. Investments in equity securities are more volatile than other types of investments.

#### Foreign Securities Risk

Foreign investments tend to be more volatile than U.S. securities, and are subject to risks that are not typically associated with U.S. securities. For example, such investments are adversely affected by changes in currency rates and exchange control regulations, unfavorable political, social and economic developments, and the possibility of seizure or nationalization of companies or imposition of withholding taxes on income. Moreover, less information is publicly available concerning certain foreign issuers than is available concerning U.S. companies. Foreign markets tend to be more volatile than the U.S. market due to economic and political instability, social unrest and regulatory conditions in certain countries.

#### Emerging Market Securities Risk

Many of the risks with respect to foreign investments are more pronounced for investments in developing or emerging market countries, which include several countries in Asia, Latin America, Eastern Europe, Africa, and the Middle East. The 10 economies of many of these countries depend heavily upon international trade and are therefore significantly affected by protective trade barriers and economic conditions of their trading partners. Many of these countries have government exchange controls, currencies with no recognizable market value relative to the established currencies of developed market economies, little or no experience in trading in securities, no financial reporting standards, a lack of banking or securities infrastructure, and a legal tradition which does not recognize rights to private property.

### Growth Securities Risk

Growth companies are companies whose earnings growth potential appears to be greater than the market, in general, and whose revenue growth is expected to continue over an extended period. Stocks of growth companies or “growth securities” have market values that are more volatile than those of other types of investments. Growth securities typically do not pay a dividend, which helps cushion stock prices in market downturns and reduce potential losses.

### Issuer Risk

Your account’s performance depends on the performance of individual securities in which your account invests. Any issuers performing poorly, causing the value of its securities to decline. Poor performance is caused by poor management decisions, competitive pressures, changes in technology, disruptions in supply, labor problems or shortages, corporate restructurings, fraudulent disclosures, or other factors. Changes to the financial condition or credit rating of an issuer of those securities causes the value of the securities to decline.

### Market Risk

Your account will lose money over short periods due to short-term market movements and over longer periods during market downturns. The value of a security declines due to general market conditions, economic trends, or events that are not specifically related to the issuer of the security or to factors that affect a particular industry or industries. During a general downturn in the securities markets, multiple asset classes are negatively affected.

### Political Risk

Government decisions can damage the value of your investments. Changes to social security, benefits law, and tax law impact your financial decisions. Any foreign investments are impacted by the decision of their local governments.

### Market Trading Risks

Your investment account faces numerous market trading risks, including the potential lack of an active market for investments held in your account and losses from trading in secondary markets.

### Larger Company Securities Risk

Securities of companies with larger market capitalizations underperform securities of companies with smaller and mid-sized market capitalizations in certain economic environments. Larger, more established companies might be unable to react as quickly to new competitive challenges, such as changes in technology and consumer tastes. Some larger companies are unable to grow at rates higher than the fastest growing smaller companies, especially during extended periods of economic expansion.

### Short Selling Risk

Short selling is highly risky. Short selling stocks generate unlimited losses while the upside is capped, as the price of a stock can in theory rise infinitely but cannot drop below zero. Over the long term, stock prices overall tend to rise rather than fall. As a result, short selling is against the overall direction of the market. Shorting stocks also involves using borrowed money, which creates leverage risk. This strategy is also subject to the risk of inaccurate timing. Even if the price of a stock falls substantially eventually, the price could rise in the near term, leading to losses for the short sellers.

### Regulatory Risk

Changes in government regulations adversely affect the value of a security. An insufficiently regulated industry or market might also permit inappropriate practices that adversely affect an investment.

### Smaller Company Securities Risk



Securities of companies with smaller market capitalizations, historically, tend to be more volatile and less liquid than larger company stocks. Smaller companies have no or relatively short operating histories, or be newly public companies. Some of these companies have aggressive capital structures, including high debt levels, or are involved in rapidly growing or changing industries and/or new technologies, which pose additional risks.

### **Item 9 Disciplinary Information**

Clients should be aware that neither Tiancai nor its management persons have had any legal or disciplinary events, currently or in the past.

### **Item 10 Other Financial Industry Activities and Affiliations**

#### **A. Broker-Dealer or Representative Registration**

Tiancai is not a broker dealer nor are any of its management persons registered representatives of a broker dealer.

#### **B. Futures or Commodity Registration**

Neither Tiancai nor any of its management persons are registered, or have an application pending as a futures commission merchant, commodity pool operator, or a commodity trading advisor, or as an associated person of the foregoing entities.

#### **C. Material Relationships Maintained by this Advisory Business and Conflicts of Interest**

Tiancai does not receive any compensation from any material relationships therefore no conflict of interest exists.

#### **D. Recommendation or Selection of Other Investment Advisers and Conflicts of Interest**

Tiancai does not recommend or select other investment advisers for clients.

### **Item 11 Code of Ethics, Participation or Interest in Client Transactions and Personal Trading**

#### **A. Code of Ethics Description**

Tiancai is registering with the SEC and maintains a Code of Ethics pursuant to SEC rule 204A-1. Tiancai has adopted a Code of Ethics that sets forth the basic policies of ethical conduct for all managers, officers, and employees of the adviser. In addition, the Code of Ethics governs personal trading by each employee of Tiancai deemed to be an Access Person and is intended to ensure that securities transactions effected by Access Persons of Tiancai are conducted in a manner that avoids any conflict of interest between such persons and Clients of the adviser or its affiliates. Tiancai collects and maintains records of securities holdings and securities transactions effected by Access Persons. These records are reviewed to identify and resolve conflicts of interest. Tiancai maintains a code of ethics, which is available upon request to [jun.ou@brokerplanner.com](mailto:jun.ou@brokerplanner.com).

#### **B. Investment Recommendations Involving a Material Financial Interest and Conflicts of Interest**

Neither Tiancai nor any of its related persons recommend to individual Clients or buys or sells for Client accounts, securities in which Tiancai has a direct material financial interest. Tiancai does not have investment authority to purchase any securities on behalf of Clients. Once a recommendation has been generated by the Client by utilizing the Internet service offered by Tiancai, it is in the sole discretion of

the Client whether or not to engage the services of a broker dealer or custodian for the execution of such portfolio. Tiancai's related persons will not purchase securities for their own accounts that are recommended to Clients.

### **C. Advisory Firm Purchase of Same Securities Recommended to Clients and Conflicts of Interest**

As previously stated above, Tiancai does not buy securities for its own account so no conflict exists at the firm level.

Access Persons will not own securities which are also owned by Clients so no conflict exists at the individual level.

### **D. Client Securities Recommendations or Trades and Concurrent Advisory Firm Securities Transactions and Conflicts of Interest**

See the response to Item 11C above.

## **Item 12 Brokerage Practices**

### **A. Factors Used to Select Broker-Dealers for Client Transactions**

As previous stated, a Client visiting our site who chooses to invest in the recommended investment strategy, must open an account with their owner broker dealer or custodian. Tiancai does not offer this service on its website. **Clients are strongly encouraged to research the broker dealer or custodian they select and the services they provide prior to engaging their services.**

### **Research and Other Soft Dollar Benefits.**

Tiancai does not receive research or other products or services from a broker dealer or any third party.

### **Brokerage for Client Referrals.**

Tiancai does not have Clients, therefore does not receive Client referrals from any broker dealer or third party.

### **B. Aggregating Securities Transactions for Client Accounts**

Tiancai does not execute Client transactions. Therefore, aggregating securities transactions is not applicable to the firm.

## **Item 13 Review of Accounts**

**A. Indicate whether your firm periodically reviews client accounts or financial plans. If you do, describe the frequency and nature of the review and the titles of the supervised persons who conduct the review.**

Tiancai does not have Client accounts nor does it prepare financial plans. Therefore Tiancai does not have any Client accounts to review. This question is not applicable to the firm.

**B. If the firm reviews client accounts on other than a periodic basis, describe the factors that trigger a review.**

As stated above, Tiancai does not have Client accounts. Therefore this question is not applicable to the firm.

**C. Describe the content and indicate the frequency of regular reports the firm provides to clients regarding their accounts. State whether these reports are written.**

Tiancai does not have Client accounts and therefore does not provide any written reports, other than the investment strategy, to Clients who select to utilize its web site.

**Item 14 Client Referrals and Other Compensation**

**A. Economic Benefits Provided to the Advisory Firm From External Sources and Conflicts of Interest**

Tiancai does not have any other arrangements in place whereby it receives any economic benefits from external sources.

**B. Advisory Firm Payments for Client Referrals**

Tiancai does not currently have any such arrangements.

**Item 15 Custody**

Tiancai does not have custody of Client assets. Therefore, this question is not applicable.

**Item 16 Investment Discretion**

Tiancai does not have discretionary authority over Client accounts.

**Item 17 Voting Client Securities**

Tiancai does not have Clients and therefore does not vote proxies on behalf of Clients. Tiancai does not have the authority to vote Client securities.

**Item 18 Financial Information**

**A. Balance Sheet**

Tiancai does not require or solicit prepayment of more than \$1,200 in fees per client, six months or more in advance. Therefore, a balance sheet is not included with this Brochure.

**B. Financial Conditions Reasonably Likely to Impair Advisory Firm's Ability to Meet Commitments to Clients**

Tiancai is not currently aware of any financial condition that is reasonably likely to impair its ability to meet contractual commitments to its Clients.

**C. Bankruptcy Petitions During the Past Ten Years**

Neither Tiancai nor its management persons have been the subject of a bankruptcy petition.

## Privacy Policy

Tiancai Global Markets Org LLC

Effective: May 30, 2019

### Our Commitment to You

Tiancai Global Markets Org LLC ("Tiancai") is committed to safeguarding the use of your personal information that we have as a result of your using our website. Tiancai (referred to as "we", "our" and "us" throughout this notice) protects the security and confidentiality of the personal information we have and make efforts to ensure that such information is used for proper business purposes in connection with servicing your account. Our relationship with you is our most important asset. We understand that you have entrusted us with your private information, and we do everything we can to maintain that trust.

We do not sell your non-public personal information to anyone. Nor does Tiancai provide such information to others except for discrete and proper business purposes in connection with servicing your account as discussed below.

Details of our approach to privacy and how your personal non-public information is collected and used are set forth in this privacy policy.

### The Information We Collect About You

You typically provide personal information when you electronically sign up for an account on our website. This information may include the following:

Social security or taxpayer identification number	Date of Birth
Name, address and phone number(s)	Assets and liabilities
Email address(es)	Income and expenses
Account information (including other institutions)	Investment activity
	Investment experience and goals

In addition, we may collect non-public information about you from the following sources:

- Information we receive in the course of establishing a customer relationship including, but not limited to, applications, forms, investment questionnaires;
- Information about your transactions with others

### Information about You That Tiancai Shares

Tiancai works to provide products and services that benefit our customers. We may share non-public personal information with non-affiliated third parties as necessary for us to provide agreed services and products to you consistent with applicable law. We may also disclose non-public personal information to other financial institutions with whom we have joint business arrangements for proper business purposes in connection with the management or servicing of your account. In addition, your non-public personal information may also be disclosed to you, persons we believe to be your authorized agent or representative, regulators in order to satisfy Tiancai's regulatory obligations, and is otherwise required or

permitted by law. Lastly, we may disclose your non-public personal information to companies we hire to help administrate our business. Companies we hire to provide services of this kind are not allowed to use your personal information for their own purposes and are contractually obligated to maintain strict confidentiality. We limit their use of your personal information to the performance of the specific service we have requested.

To repeat, we do not sell your non-public personal information to anyone.

#### **Information about Former Clients**

Tiancai does not disclose, and does not intend to disclose, non-public personal information to non-affiliated third parties with respect to persons who are no longer users of our website.

#### **Confidentiality and Security**

Our employees are advised about the firm's need to respect the confidentiality of our customers' non-public personal information. Additionally, we maintain physical, procedural and electronic safeguards in an effort to protect the information from access by unauthorized parties.

#### **We'll keep you informed**

We will publish notice of our privacy policy on our website for as long as you maintain an ongoing relationship with us. Periodically we may revise our privacy policy, and will update our website with a revised policy if the changes materially alter the previous privacy policy. We will not, however, revise our privacy policy to permit the sharing of non-public personal information other than as described in this notice. You may obtain a copy of our current privacy policy by contacting us at 02 97092763.