

**ITEM 1: COVER PAGE FOR  
PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT  
JULY 2019**

**AUSTIN D. HUGHES**

**Wealth Manager Group II LLC dba  
WEALTH MANAGER GROUP  
3131 CAMINO DEL RIO NORTH, SUITE 1650  
SAN DIEGO, CA 92108  
(619) 574-7526**

This brochure supplement provides information about Austin Hughes that supplements our brochure. You should have received a copy of that brochure. Please contact Matthew Luckham if you did not receive his brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Hughes is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #6077476.

## **Item 2 Educational Background and Business Experience**

### **Austin Douglas Hughes, CPA**

Year of Birth: 1991

#### **Education:**

2018: San Diego State University; Masters in Financial & Tax Planning

2014: San Diego State University; BS in Accounting

#### **Past 5 Year Work Experience History:**

01/19 to Present – Wealth Manager Group II, LLC dba Wealth Manager Group; Investment Advisory Representative and Director of Operations

07/12 to Present – Royal Alliance Associates, Inc.; Investment Advisory Representative & Registered Representative

10/11 to 01/19 – Wealth Manager Group, LLC; Associate

#### **Exams, Licenses & Other Professional Designations:**

- 12/2017: Series 66 Exam
- 08/2012: Series 7 Exam
- 10/2018: Certified Public Accountant (CPA)

#### **Certified Public Accountant (CPA):**

Mr. Hughes has a professional designation, Certified Public Accountant. CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, all American Institute of Certified Public Accountants (AICPA) members<sup>1</sup> are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

## **Item 3 Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Mr. Hughes, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

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<sup>5</sup> This description represents the requirements as of 1/1/2011. It is the responsibility of the adviser to disclose the qualifications in place when he or she attained the credential.

#### Item 4 Other Business Activities

A. If Mr. Hughes is actively engaged in any investment-related business or occupation, including if he is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and his other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

We have nothing to disclose in this regard.

2. If Mr. Hughes receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation he receives. We must explain that this practice gives him an incentive to recommend investment products based on the compensation received, rather than on your needs.

Mr. Hughes is a Certified Public Accountant. In such capacity, he may also provide income tax preparation or accounting services. These services are independent of our firm's financial planning and investment advisory services and are governed under a separate engagement agreement. Our firm does not actively solicit clients to utilize these services. Mr. Hughes spends 8 hours a month with related activities such as accounting and tax preparation.

Mr. Hughes is a Registered Representative and Investment Adviser Representative of Royal Alliance Associates, Inc., member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. This presents a conflict of interest to the extent that Mr. Hughes recommends that a client invest in a security which results in a commission being paid to him.

B. If Mr. Hughes is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of his income or involve a substantial amount of Mr. Hughes's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of his time and income, we may presume that they are not substantial.

Mr. Hughes does not receive any other economic benefit for providing advisory services in addition to advisory fees.

### **Item 5 Additional Compensation**

If someone who is not a client provides an economic benefit to Mr. Hughes for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include his regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

### **Item 6 Supervision**

We are required to explain how we supervise Mr. Hughes, including how we monitor the advice he provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising his advisory activities on behalf of our firm.

Matthew Luckham, Managing Member and Chief Compliance Officer of Wealth Manager Group II, LLC dba Wealth Manager Group, supervises and monitors Mr. Hughes's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Luckham if you have any questions about Mr. Hughes's brochure supplement at (619) 574-7526.

### **Item 7 Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, if Mr. Hughes has been involved in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

- B. If Mr. Hughes has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

We have nothing to disclose in this regard.