

# Brochure Supplement

JUNE 13, 2019

## William Shinnars

360 Mt. Kemble Avenue, Suite 3002  
Morristown, NJ 07960

(833) 349-6961

This Brochure Supplement provides information about William Shinnars that supplements the Disclosure Brochure of Montgomery Wealth Management, LLC (hereinafter "MW"), a copy of which you should have received. Please contact MW's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about William Shinnars is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Montgomery Wealth Management, LLC, a Registered Investment Adviser**

360 Mt. Kemble Avenue, Suite 3002. | (201) 694-3422  
[www.TBD.com](http://www.TBD.com)

## Item 2. Educational Background and Business Experience

Born 1957

### Post-Secondary Education

Fairleigh Dickinson University | Studies in Marketing, No Degree | September 1978 – May 1980

### Recent Business Background

Montgomery Wealth Management, LLC | Chief Executive Officer and Chief Compliance Officer |  
January 2019 – Present

Morgan Stanley | Complex Manager | July 1998 – September 2017

## Item 3. Disciplinary Information

MW is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of William Shinnars. MW has no information to disclose in relation to this Item.

## Item 4. Other Business Activities

MW is required to disclose information regarding any investment-related business or occupation in which William Shinnars is actively engaged. MW has no information to disclose in relation to this Item.

## Item 5. Additional Compensation

MW is required to disclose information regarding any arrangement under which William Shinnars receives an economic benefit from someone other than a client for providing investment advisory services. MW has no information to disclose in relation to this Item.

## Item 6. Supervision

William Shinnars is the Chief Executive Officer of MW and is generally responsible for his own supervision. William Shinnars seeks to ensure that investments are suitable for his individual clients and consistent with their individual needs, goals, objectives and risk tolerance, as well as any restrictions requested by MW's clients.

MW supervises its personnel and the investments made in client accounts. MW monitors the investments recommended by William Shinnars to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested

by the client. MW periodically reviews the advisory activities of William Shinnars, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by William Shinnars.

### **Item 7. Requirements for State Registered Advisers**

MW is required to disclose information regarding William Shinnars's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. MW has no information to disclose in relation to this Item.