

BAKER STREET ASSET MANAGEMENT, LLC

Aaron Thomas Leone
315 Montgomery Street, Suite 900
San Francisco, CA 94116
Phone 877-564-5175

November 20, 2019

The Brochure Supplement provides information about Aaron Thomas Leone that supplements the Baker Street Asset Management, LLC Brochure. You should have received a copy of that brochure. Please contact Techí Carreon, Chief Compliance Officer by email at techi.carreon@bsam1.com or phone at 877-564-5175 if you did not receive any Brochure or if you have any questions about the contents of this supplement.

Additional information about Aaron Thomas Leone (CRD# 2819579) is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as the CRD number listed above.

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Aaron Thomas Leone

Born: 1967

Post-Secondary Education:

- BS Business Administration, California State University, Fresno 1996
- Successfully passed the Series 7, Series 63
- CA Insurance Agent License #

- Recent Business Experience:

Managing Director, Baker Street Asset Management, LLC:	09/2018 to Present
Investment Advisor, Raymond James Financial Services:	01/2009 to 09/2018
Investment Advisor, Raymond James Financial Services Advisers:	01//2009 to 09/2018
Financial Advisor, Wachovia Securities LLC:	08/2007 to 12/2008

ITEM 3 - DISCIPLINARY INFORMATION

In September of 2011, Aaron T Leone filed Chapter 7 bankruptcy. On December 2011 it was discharged. Aaron T Leone FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Aaron T. Leone that is not included in this brochure supplement. (<http://brokercheck.finra.org>)

ITEM 4 - OTHER BUSINESS ACTIVITIES

Aaron T Leone is an owner and manager of property. He devotes approximately 10 hours a month and none during market hours for this activity. Mr. Leone also sells insurance products. He devotes 16 hours a week during non-market hours.

ITEM 5 - ADDITIONAL COMPENSATION

Aaron T Leone does not receive any additional compensation other than what is listed under Item 4.

ITEM 6 - SUPERVISION

Aaron T Leone is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer of Baker Street Asset Management, Ms. Techí Carreon. Ms. Carreon is responsible for administering the policies and procedures and will review these policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

The Chief Compliance Officer may be reached at techí.carreon@bsam1.com or by phone at 877-564-5175.

ITEM 7- REQUIREMENT FOR STATE REGISTERED ADVISOR

A. Mr. Aaron Leone has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500.00 involving any of the following:

- a) An investment or an investment-related business or activity;
- b) Fraud, false statement(s), or omissions;
- c) Theft, embezzlement, or other wrongful taking of property;
- d) Bribery, forgery, counterfeiting, or extortion
- e) Dishonest, unfair or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) An investment or an investment-related business or activity;
- b) Fraud, false statement(s), or omissions;
- c) Theft, embezzlement, or other wrongful taking of property;
- d) Bribery, forgery, counterfeiting, or extortion
- e) Dishonest, unfair, or unethical practices.

B. In September of 2011, Aaron T Leone filed Chapter 7 bankruptcy. On December 2011 it was discharged.