

BAKER STREET ASSET MANAGEMENT, LLC

Techi Pugeda Carreon
315 Montgomery Street, Suite 900
San Francisco, CA 94104
Phone 877-564-5175

November 6, 2019

The Brochure Supplement provides information about Techí Pugeda Carreon that supplements the Baker Street Asset Management, LLC (CRD #299027) Brochure. You should have received a copy of that brochure. Please contact Techí Carreon, Chief Compliance Officer by email at techí@bsam1.com or phone at 877-564-5175 if you did not receive any Brochure or if you have any questions about the contents of this supplement.

Additional information about Techí Pugeda Carreon (CRD #4364726) is available on the SEC's website at www.adviserinfo.sec.gov. You can search this site by a unique identifying number, known as the CRD number listed above.

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Techi Pugada Carreon

Born: 1974

Education:

- Graduate of El Camino, High School 1992
- Successfully passed the Series 7, Series 63, Series 65
- CA Insurance Agent License OC96625

- Recent Business Experience:

Managing Director, Baker Street Asset Management, LLC:	01/2019 to Present
Investment Advisor Representative, Investor FAN, LLC:	10/2018 to 01/2019
LiteBite Inc.:	01/2011 to Present
Investment Advisor, Raymond James Financial Services:	07/2011 to 08/2018
Investment Advisor, Raymond James Financial Services Advisers:	07/2011 to 08/2018
Financial Advisor, Wells Fargo Advisors LLC:	01/2011 to 07/2011
Financial Advisor, Wells Fargo Investments LLC:	04/2005 to 01/2011

ITEM 3 - DISCIPLINARY INFORMATION

In February 2014 client alleged she purchased IRA Variable Annuity in 2008 from another advisor (not Ms. Techí Carreon) and was told the annuity had a lifetime income rider. Ms. Techí Carreon took over the account after the previous advisor left the firm and discovered the annuity no longer had a lifetime income rider. Client settled with the firm. In 2008, Auction Rate Security market suffered a widespread illiquidity event. Firms repurchased the Auction Rate Securities at issue from the clients pursuant to a repurchase agreement entered with several regulatory bodies. The advisor was not part of this agreement but pursuant to the settlement agreement needed to be reported. Ms. Techí Carreon's FINRA BrokerCheck® may have additional information regarding the disciplinary history of Techí Carreon that is not included in this brochure supplement. (<http://brokercheck.finra.org>)

ITEM 4 - OTHER BUSINESS ACTIVITIES

Ms. Techí Carreon is licensed to sell insurance products. She spends approximately 10 hours a week during market hours. Techí Carreon is owner/proprietor of the restaurant and catering firm LiteBite. She devotes 112 hours per month, 80 hours during trading to the business. She closes the restaurant at night and works weekends as needed.

ITEM 5 - ADDITIONAL COMPENSATION

Techí Carreon does not receive any additional compensation other than what is listed under Item 4.

ITEM 6 - SUPERVISION

Advisors are supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by Techí Carreon herself, as Chief

Compliance Officer. Ms. Carreon is responsible for administering the policies and procedures and will review those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Ms. Carreon may be reached at techi@bsaml.com or by phone at 877-564-5175.

ITEM 7- Requirements for State Registered Advisor

A. Ms. Techii Carreon has not been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500.00 involving any of the following:

- a) An investment or an investment-related business or activity;
- b) Fraud, false statement(s), or omissions;
- c) Theft, embezzlement, or other wrongful taking of property;
- d) Bribery, forgery, counterfeiting, or extortion
- e) Dishonest, unfair or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) An investment or an investment-related business or activity;
- b) Fraud, false statement(s), or omissions;
- c) Theft, embezzlement, or other wrongful taking of property;
- d) Bribery, forgery, counterfeiting, or extortion
- e) Dishonest, unfair, or unethical practices.

B. Ms. Techii Carreon has not been the subject of a bankruptcy petition at any time.